

ORACLE MANTAS CASE MANAGEMENT

ORACLE

FINANCIAL SERVICES

COMPREHENSIVE CROSS - FUNCTIONAL INVESTIGATION AND CORRELATION CAPABILITIES, HELP FINANCIAL INSTITUTIONS TO ADDRESS THE CHALLENGES OF EFFECTIVE AND EFFICIENT INVESTIGATIONS FOR FINANCIAL CRIME AND COMPLIANCE MANAGEMENT

KEY FEATURES

- Cross channel investigation functions
- Correlation and network analysis
- Configurable workflows and access control definitions
- Comprehensive cross-functional business data coverage
- Audit and monitoring functions
- Services-based integration framework
- Productivity tools including export, email, and printing capabilities
- Regulatory reporting and filing integration
- Comprehensive analytics for investigation monitoring and oversight

KEY BENEFITS

- Achieve visibility across channels and jurisdictions, leading to comprehensive investigation capabilities
- Reduce cost of analysis and investigation process and gain efficiencies
- Address financial crimes and ensure compliance to regulations without increasing cost and compromising the required diligence

Investigation and collaboration functions are critical for a successful financial Crimes and compliance management program. A comprehensive case Management solution is a key element of any Financial Intelligence Unit (FIU). Case Management cuts across the boundaries of individual business risk and compliance functions like Anti-Money Laundering (AML), Fraud, KYC and takes on a far more centralized role in today's interconnected risk environment. Today, FIU's rely on Case Management capabilities to reduce their operational costs and to enhance money laundering, fraud and customer risk efforts. An enterprise-wide, cross-functional, cross-channel Case Management approach is critical for the successful execution of risk prevention and compliance management programs in financial institutions. Oracle Mantas Case Management is an enterprise level investigation and collaboration product, complementing the Oracle Mantas financial crime and compliance suite of applications with packaged investigation workflows that can be configured and customized to meet business demands. The result: reduced operational costs, enhanced compliance and risk prevention, and reduced reputational risk.

Investigate Cross-Channel Activities

In this age of countering risk and being compliant, institutions cannot afford to take a myopic approach to their investigation activities. Perpetrators are attacking financial systems through multiple channels at all levels. A money launderer is now recognized as far more likely to commit financial fraud or identity theft in addition to terrorism financing. The interrelation between the different streams of financial crime and compliance management solutions demands a comprehensive cross-channel investigation program. Traditional case management solutions have been workflow tools primarily allowing business users and knowledge workers to manage their workloads. Today, case management in the Financial Crimes and Compliance space must be responsible for centralizing all investigation activities. This enables:

- Standard practices for investigations to be followed across different risk and compliance channels.
- Sharing of information within a single system.
- Multi-jurisdiction and cross channel visibility into key information

elements required for effective investigation and decision making.

- Utilization of Case Management as an information hub for risk and compliance related activities across the enterprise.

Oracle Mantas Case Management's cross channel activity coverage and strength in transaction and activity monitoring for AML, KYC, Fraud, Trading Compliance and Broker Compliance, provides a complementary and powerful tool for assisting institutions in managing risk and meeting regulatory compliance needs around the world.

Analyze Activity Correlations and Networks

Whether it is the derivation of a customer's risk, a high percentage match of a customer name on a Sanctions List entry, an alert due to suspicious structuring behavior or all of the above, understanding the linkages between these separately monitored activities is a powerful and crucial tool for decision makers. Lack of correlated information and unexposed networks has a negative impact on the productivity of investigators and ultimately, on the overall effectiveness of a risk and compliance program.

Oracle Mantas' Case Management application layer enables business users to leverage a sophisticated correlation engine, which automatically "connects the dots," providing visibility into these correlations. Oracle Mantas' Network Visualization capability, further supplements investigations by identifying hidden, high-interest network patterns across multiple channels and business lines. Oracle Mantas Case Management brings to light underlying correlations and exposes hidden networks for accurate decision making.

Configurable Workflows Aligned with Business Processes

When it comes to combating risk and instituting AML programs, every institution and every unit within an institution generally differs in their approach. Geographically distributed groups, different business lines (for e.g. Fraud, AML, KYC etc...), and different channels (ATM Fraud vs. Card Fraud) approach investigations in their own specific manner. Oracle Mantas Case Management offers a powerful and flexible workflow function for its customers.

This function offers:

- Configuration of channel and jurisdiction specific workflows to meet specific business demands.
- Opportunities to improve end user productivity by automation and traceability.
- Sensitivity to roles assigned to end users and their corresponding access

control permissions

Comprehensive Coverage of Business Data

Information is critical for proper decision making. Investigators and knowledge workers are hungry for accurate information presented in the right context. An investigation platform's effectiveness depends on the amount and nature of information it can supply and a proper context when presented to investigators.

Oracle Mantas Case Management utilizes the underlying breadth and depth of the Oracle Mantas Financial Services Data Model (FSDM) to ensure availability and context of information. The FSDM is one of the most comprehensive data models available in the industry for addressing Risk and managing Compliance. The Oracle Mantas Case Management solution provides pre-defined and tested application interfaces for tracking various kinds of suspicious, fraudulent and risky behaviors. This "out-of-the-box" coverage of business data translates into low customization efforts and a lower lifetime total cost of ownership for Oracle Mantas customers.

Comprehensive Audit and Monitoring Functions

Audit and historical information is vital for evidentiary purposes. Managers and supervisors are often called upon to justify or explain the process of their organization to internal and external auditors and regulators. Comprehensive audit functions enable supervisors, managers and their team members to track user and system actions on workflow items.

Oracle Mantas Case Management platform supports comprehensive audit capabilities allowing business managers and stakeholders a clear trail of and access to all actions and business events which are taken against any workflow items. Oracle Mantas Case Management audit capability extends beyond investigative items and supports access to audit data on other important business elements like:

- Customer Risk Score Audit
- Threshold Audit
- Regulatory Report Audit

Productivity Tools to Realize End-User Efficiencies

Institutions are expected to continually improve their financial crime and compliance management programs while keeping costs under control. However, they must be judicious when facing cost cutting efforts so as not to compromise the effectiveness in mitigating risks and complying with existing and emerging regulations. As headcount contributes to a majority share of a program's cost structure, it is vital that the team is armed with high productivity tools and processes so that high efficiency and reduced costs are realized. Availability of effective timesaving productivity tools is instrumental in reducing analysis, investigation and reporting SLAs.

RELATED PRODUCTS AND SERVICES

Oracle Mantas financial crime and compliance products are part of a unified family of applications offered by Oracle Financial Services Software.

RELATED PRODUCTS

- Oracle Mantas Anti Money Laundering
- Oracle Mantas Fraud
- Oracle Mantas Trading Compliance
- Oracle Mantas Broker Compliance
- Oracle Mantas Know Your Customer
- Oracle Adaptive Access Manager
- Oracle Complex Event Processing
- Oracle Reveleus Operational Risk

Oracle Mantas Case Management helps maintain high productivity levels:

- Information export capabilities so that information can be exchanged with other lines of businesses, law enforcement agencies and other non-registered users of the application.
- Information communication functions like e-mail for sending and receiving information within the context of the ongoing investigation.
- Support for bulk actions and edits to save time.
- Automated workflows to automate the workflow transitions and reduce time to investigate and analyze.
- Support for supervisory review and managerial approvals to ensure accurate and timely decision making.

“Future-Proof” Your Compliance Investments

Oracle Mantas Case Management operates within the Oracle Mantas financial crime and compliance family of GRC solutions, the first comprehensive set of applications to meet the particular needs of the financial services community. This family comprises of Oracle’s best-in-class Oracle Mantas risk and compliance behavior detection solutions for Anti-Money Laundering, Know Your Customer, and Trading and Broker Compliance applications. It surrounds these point solutions to provide an end-to-end solution that leverages a robust data model and comprehensive dashboard capabilities to deliver a single transparent, holistic view of all Financial Crime and Compliance related activities across the enterprise.

Oracle Mantas helps you identify operational inefficiencies that increase noncompliance risks and overall compliance costs.

The unified Oracle Mantas financial crime and compliance family of applications helps you tackle today's tactical risk and compliance problems while future-proofing compliance spend across regulatory mandates. By establishing a strategy to address future risk and compliance initiatives, you can respond to changing business and regulatory conditions while leveraging existing business, functional, and technology components thereby improving the consistency and effectiveness of your data, increasing your business performance, improving your time to production and strengthening your competitive advantage: all while controlling your overall compliance costs.

Contact Us

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