

ORACLE MANTAS REAL-TIME SANCTIONS FILTERING



FEATURES

- Communicates with SWIFT and many other processing platforms
- Supports multiple sanctions lists, including OFAC, EU, Bank of England, and World-Check
- Available Web application allows online information lookup
- Sophisticated matching capability delivers false positives of less than 1 percent
- Maintainable safe (“Good Guy”) lists
- High interest matches are further analyzed and investigated through Alert & Case Management workflows

BENEFITS

- Configure application to work with any new ASCII or Microsoft Excel list
- Deploy list scans centrally to target sanctions relevant to specific regions

Real-time monitoring and stopping “watch list” transactions are critical process for banks. By allowing payments to be analyzed in real time with HotScan from LogicaCMG, Oracle Mantas has made its financial crime and compliance management solutions even stronger.

Proven Real-Time Sanctions Filtering

Oracle Mantas knows that monitoring and stopping “watch list” transactions in real time is critical to banks and other financial institutions. Oracle Mantas financial crime and compliance applications leverage HotScan from LogicaCMG, for proven real-time sanctions filtering. Inherently scalable and leveraged in proven production deployments, HotScan meets the most sophisticated real-time filtering requirements and has been used by 8 of the world’s top 20 banks in the Americas, Europe, Asia, and Australia.

A Tiered Approach

Oracle Mantas and HotScan allow customers to use real-time scanning for names that match while leveraging a deep computing solution to find entities that are making a concerted attempt to avoid detection by disguising themselves.

- **Tier 1 (HotScan):** Finds people and entities that exactly or closely match on a watch list. These are typically people or entities that are not making an effort to avoid detection.
- **Tier 2 (Oracle Mantas):** Finds people and entities that have disguised themselves. The only way to detect these people or entities is by looking at related accounts, household information, ID information, and, in some cases, anticipated profiles.

Comprehensive Filtering Capabilities

The Oracle Mantas real-time sanctions filtering capability brings customers the best of both worlds by leveraging the proven industry-tested features of HotScan with the industry-leading compliance capabilities of Oracle Mantas. This capability:

- **Includes multiple modes of operation:** Includes a combination of several types of scanning: real-time scanning, historical or retrospective analysis, and batch scanning.
- **Provides greater flexibility and insight:** Includes advanced watch list capabilities, uses internal and external watch lists, references common watch lists between Oracle Mantas and HotScan.
- **Operates with an intelligent workflow:** Provides alerts that can be published

RELATED PRODUCTS

Oracle Mantas financial crime and compliance management products include

- Oracle Mantas Anti Money Laundering
- Oracle Mantas Fraud
- Oracle Mantas Trading Compliance
- Oracle Mantas Broker Compliance
- Oracle Mantas Case Management

to Oracle Mantas workflow, and delivers a tailored workflow for compliance and operations staff.

- **Delivers sophisticated scanning technology:** Scans structured messages, such as Society for Worldwide Interbank Financial Telecommunication (SWIFT), and unstructured messages, such as Telex.
- **Delivers greater match accuracy:** Includes artificial intelligence algorithms that tolerate variations in spelling and word order and can interpret synonyms, abbreviations, and aliases.
- **Maintains safe (“Good Guy”) lists:** Maintains lists of positively verified customers who have similar details to those on watch lists, facilitates straight-through processing, and avoids subsequent matches.
- **Allows rules to be configured according to local regulations:** Allows payments to be halted based on currency, source country, and destination country.
- **Allows sanctions lists definition and mapping:** Allows solutions’ administrators to define and map new sanctions lists and then concurrently scan data against these lists, other administrator-defined lists, and standard Office of Foreign Assets Control (OFAC), European Union (EU), and World Check Lists.

Contact Us

For more information about Oracle Mantas Real-Time Sanctions Filtering or Oracle Mantas products, please visit oracle.com or call +1.800.ORACLE1 to speak to an Oracle representative or email us at financialservices_ww@oracle.com



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