

INFORMATION GOVERNS

Run Your Business Better—and Prove It

Oracle Solutions for Governance,
Risk, and Compliance





ORACLE IS THE INFORMATION COMPANY

High-Performing Organizations Thrive with Strong Controls

Leading enterprises are turning to Oracle's comprehensive governance, risk, and compliance (GRC) platform to meet the demands of increasingly rigorous regulatory mandates while continuing to innovate and grow their businesses.

Historically, many organizations have handled GRC initiatives as isolated projects scattered among different departments and business units, often across the globe. Each function, division, or line of business independently responds to a mandate, focusing on the implications for its own particular unit. This myopic approach is not only costly and chaotic—it also results in risks to compliance and integrity, and presents a significant barrier to agile business operations.

Moving forward from this shortsighted position requires that you address GRC with a holistic, structured, and proactive approach. Key to the success of this strategy is an integrated, standards-based GRC technology platform. This platform need not be monolithic, nor does it need to be implemented all at once. However, you do need to have a plan to guide you toward the overall goal. And you need technology able to self-integrate as you deploy components over time. Only Oracle offers such a solution.

Oracle delivers a comprehensive GRC platform that works across heterogeneous environments, combining end-to-end support for cross-industry and industry-specific processes, best-in-class infrastructure services, and integrated business insight. Oracle GRC solutions enable organizations to simplify GRC, reduce cost, and safeguard brand and reputation.

With Oracle GRC solutions, you not only run your business better—you can prove it.

Fact: Governance, risk, and compliance spending will reach US\$29.9 billion in 2007, an increase of 8.5 percent over 2006. In 2008, this number will increase an additional 3.6 percent, to US\$31 billion.

Source: AMR Research, John Hagerty and Eric Klein, "Market Demand for GRC 2007–2008," February 2007



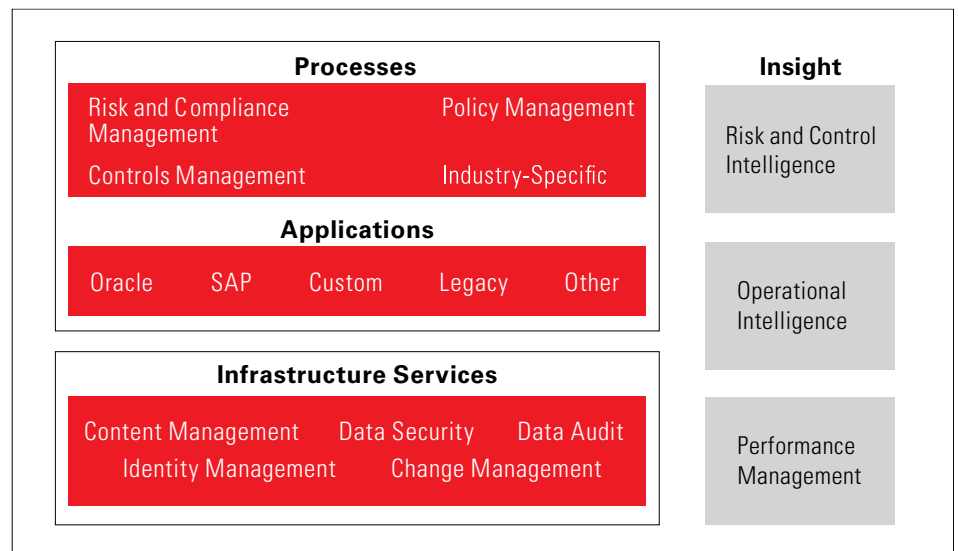
Choose a Complete Platform for Governance, Risk, and Compliance

Your organization's controls and associated governance and reporting mechanisms involve information and processes that are distributed across the enterprise, affecting the makeup of the entire company. You need a solution that supports governance no matter where the organization collects,

processes, or stores information—and no matter where processes are executed, or by whom.

By overseeing everything from records retention, electronic discovery, and employee ethics training, to the monitoring and enforcement of system controls, to regulatory reporting, the Oracle GRC platform brings together the three pillars necessary for effective GRC management—insight, process, and infrastructure.

Oracle Governance, Risk, and Compliance Platform



Fact: An international survey of 741 chief financial officers (CFOs) found that they blamed increasing CFO job turnover rates at least partly on the tedium of meeting regulators' mounting demands.

Source: Duke University's Fuqua School of Business/CFO magazine Business Outlook Survey, March 2007



- **Insight:** The Oracle GRC platform provides unparalleled visibility, with role-based intelligence for risk and control performance. It aligns GRC initiatives with the achievement of strategic organizational objectives by concretely linking key risk and control metrics to strategy formulation and planning; actively monitoring day-to-day operations; and providing a single, accurate view of enterprisewide GRC activities to promote business transparency, actionable analysis, and rapid execution.
- **Process:** The Oracle GRC platform supports processes for operational risk management, financial compliance, IT governance, and workforce governance. Key cross-industry process capabilities allow you to quickly and efficiently document and communicate policies, procedures, and controls. The platform also makes it easy to perform risk and control assessments, establish reliable sampling and testing procedures, and implement organizational certification. In addition to cross-industry business process support, the Oracle GRC platform delivers industry-specific capabilities such as Basel II compliance for financial services and adverse event reporting for life sciences. By delivering automated controls, the platform also manages user access and authorizations across enterprise systems, tracks changes to critical functional configurations and application setups, and detects areas of heightened risk by monitoring transactions against corporate policies.
- **Infrastructure:** The Oracle GRC platform provides the infrastructure services that are the cornerstone of information protection and privacy. Whether your GRC information consists of structured records with consistent metadata or unstructured content such as e-mails and spreadsheets, the Oracle GRC platform's content management capabilities allow you to easily categorize, store, retain, and manage it. The Oracle GRC platform also delivers critical IT controls that protect sensitive information at the core database level.



“[Oracle’s] Hyperion Financial Management is at the core of our compliance program. We have multiple general ledgers and multiple business systems, and the application allows us to get accurate, consolidated financial results in five days. That gives us lots of time to review the numbers, drill down, and ensure that we have included every disclosure possible.”

Todd Naughton, Vice President and Controller, Zebra Technologies Corporation

Ensure Accountability Through Integrated Insight

Corporate governance involves setting up organizational objectives, authorizing a business strategy and model to achieve the objectives, and then evaluating actual performance to track progress against stated goals. When conceived and implemented properly, better corporate governance and accountability can lead to breakthrough company performance. Despite most organizations’ best efforts to improve governance, however, many organizations face common barriers. Fragmented financial and operational information, static planning processes, ineffective performance monitoring, and inflexible reporting are typical challenges that limit an organization’s ability to build a performance-accountable enterprise.

Build a Performance-Accountable Enterprise

As the global leader in enterprise performance management, Oracle’s Hyperion solutions deliver a comprehensive performance management framework with integrated planning, financial consolidation, reporting, and scorecarding capabilities. With Hyperion solutions from Oracle, you can identify and assess opportunities as well as risks, and develop a strategic plan that details how your organization will focus its resources to drive performance.

- **Hyperion Strategic Finance** is a financial modeling application that lets you simulate long-term alternative strategies, develop contingent scenarios, and stress test financial models so that you can proactively sense and respond to any change in business circumstances.
- **Hyperion Performance Scorecard** provides a flexible approach to the development of scorecards, to help everyone—from senior management to business unit managers and individual employees—understand strategic objectives and align their goals accordingly.
- **Hyperion Financial Data Quality Management** develops standardized financial data management processes and validates data from any source system. The solution reduces control risk by delivering complete audit trails, internal review, and validations so you can be completely confident in your numbers throughout the financial reporting process.
- **Hyperion Financial Management** is a financial consolidation and reporting solution that leverages the most-advanced Web technology, but has also been designed to be used and maintained by the finance team. The solution improves financial close and reporting processes, and delivers a finance system of record to support strategic and operational management decisions.

“The ability to attest to the integrity of audit data is one of the most critical components of any organization’s compliance program. Oracle Audit Vault will help to streamline this historically manual and resource-intensive process.”

Michael O’Donnell, Managing Director, Protiviti

Fact: 50 percent of 1,000 executives polled said that information technology (IT) is the most challenging area in achieving Sarbanes-Oxley 404 compliance—a factor that far exceeded all other issues. And as if challenges such as IT deficiencies and governance weren’t enough, many finance executives have expressed an increased dissatisfaction with the returns on IT investments.

Source: KPMG 404 Institute, “Section 404 Compliance: Top Five Strategic Priorities for the Future,” 2006

Improve Visibility into Business Process Risk

Oracle Fusion Governance, Risk, and Compliance Intelligence provides business process risk and control analytics with the flexibility to drill down into transaction systems from high-level dashboards. The solution provides prebuilt key performance indicators (KPIs) that show the progress of risk and control activities and highlight specific areas of concern, such as unmitigated risks and ineffective controls. These indicators enable you to effectively analyze GRC activities to identify potential issues earlier, and to take more-timely and effective corrective actions. Oracle Fusion Governance, Risk, and Compliance Intelligence is also fully extensible, allowing users to create new KPIs and reports based on both Oracle and non-Oracle data sources.

Streamline IT Audits

Although system audit trails contain a wealth of valuable insights for IT auditors and security officers, this information is typically scattered throughout multiple silos across the enterprise. Oracle Audit Vault provides a central data warehouse that consolidates system audit information and enables meaningful analysis and reporting. IT auditors and IT security officers can use

prebuilt reports and alerts to understand and control potential audit events, including suspicious account management activity and multiple failed attempts to log in.

Align IT Investment with Business Objectives

Most organizations have historically viewed IT and business processes as separate. This means that IT project priorities are established independently of business planning, leading to IT organizations that might be viewed as misguided or—even worse— incompetent. Oracle Project Portfolio Analysis is a decision-support solution that enables IT to optimize business value by illuminating how resources should be allocated based upon investment opportunities. The solution provides a methodology so that IT can align its project portfolios with the overall objectives of the business.



“Using Oracle Governance, Risk, and Compliance Manager, our enhanced gap analysis to identify risks lacking controls has helped us focus on significant risks and true key controls. This has improved our ability to resolve compliance issues on a timely basis.”

Danny Waxenberg, Assistant Vice President of Internal Controls, Unum

With Oracle, Unum Optimizes Sarbanes-Oxley Compliance

Unum is the No. 1 provider of group and individual income-protection insurance in the United States and the United Kingdom. With economic pressures and demographic trends creating fundamental changes in the employee benefits market, Unum embarked on a continuous improvement plan in 2005, to increase operational effectiveness across its subsidiaries and better position the company to capitalize on new opportunities. Unum also sought to better manage the financial risks associated with the business and become more efficient at Sarbanes-Oxley compliance.

“Oracle Governance, Risk, and Compliance Manager enables us to distribute Sarbanes-Oxley compliance activities to employees across Unum, helping us become more efficient, which in turn allows us to recognize a compliance return on investment,” said Danny Waxenberg, assistant vice president of internal controls.

Comprehensive Applications Control Costs and Risks

Today, many organizations are considering implementing GRC solutions to replace labor-intensive—and costly—manual compliance activities with automated processes. By putting systems in place that continuously monitor controls throughout all daily business operations, you can streamline internal and external audits, flexibly accommodate changes to policies and procedures, and develop a strong baseline of key controls. The Oracle GRC platform allows you to do all this and more.

Manage Risk and Compliance Processes

Oracle Governance, Risk, and Compliance Manager automates the enforcement of internal controls and streamlines all compliance activities—including documenting, testing, monitoring, and certifying processes and controls—to effectively manage operational risk. Built on industry-leading content management technology, Oracle Governance, Risk, and Compliance Manager stores and manages data for all GRC initia-

tives, giving users a single view and access point to critical information, including policies and procedures, process diagrams, control descriptions, test plans, control matrices, and remediation plans. The solution’s advanced search capability quickly finds documents, spreadsheets, or any other type of risk and control evidence, without the overhead of inflexible data hierarchies.

And because Oracle Governance, Risk, and Compliance Manager maintains fully date-effective risk-and-control matrices, you can quickly identify and focus scarce resources on only those controls or processes that have changed since your last evaluation. With Oracle Governance, Risk, and Compliance Manager, you can orchestrate policies and controls for both business and IT processes, aligning with best-practice control and information security frameworks such as the Committee of Sponsoring Organizations of the Treadway Commission (COSO), Control Objectives for Information and Related Technology (COBIT), Information Technology Infrastructure Library (ITIL), and International Organization for Standardization (ISO) 17799.

“We’ve reduced the time it takes to complete routine audits from two months to two days.”

Darlene Mac Cormac, VP of Procurement and Strategic Sourcing, Harris Bank



Align Policy Documentation with Automated Controls Enforcement

Oracle Governance, Risk, and Compliance Manager provides a common repository to document policies and controls across regulations. Along with Oracle Governance, Risk, and Compliance Controls Suite, Oracle Governance, Risk, and Compliance Manager delivers a closed-loop solution that manages both enterprisewide GRC processes and the enforcement of automated controls.

- **Oracle Configuration Controls Governor** controls and tracks changes to critical functional configurations or application setups, using approval workflows and notifications to facilitate change management without negatively impacting core business operations. With Oracle Configuration Controls Governor, you can ensure application integrity and audit changes, and continuously monitor setups and code.
- **Oracle Application Access Controls Governor** provides real-time monitoring and proactive enforcement of crucial access policies, such as segregation of duties (SOD). The system anticipates potential SOD conflicts before they arise and makes it easy to grant appropriate application access and reduce risk across your user base. Oracle Application Access Controls Governor also extends key access controls to temporary users.
- **Oracle Transaction Controls Governor** continuously monitors transactions against policies to detect suspicious business practices or explicit control violations. The system proactively alerts stakeholders of events for effective and timely remediation of violations. Oracle Transaction Controls Governor provides controls that encompass business processes such as purchasing, inventory, receivables, and revenue recognition, and general computer controls that track system access and user activation status.
- **Oracle Preventive Controls Governor** works with the other modules within the Oracle Governance, Risk, and Compliance Controls Suite to prevent unauthorized changes to critical application data and setups and enforce real-time policy changes at a granular application level. Oracle Preventive Controls Governor offers simulation of SOD scenarios as well as monitoring and prevention of unauthorized changes to critical data.



“We chose Reveleus for the completeness and flexibility of the Reveleus Basel II solution and its ability to meet our current and future requirements. Furthermore, we’ve found that the Reveleus team possesses deep expertise and a detailed understanding of the Basel II regulatory capital requirements.”

Pete Carlson, Senior Vice President, Director of External Reporting, Wachovia

Oracle’s Proven Success in Financial Services GRC*

- The Reveleus Basel II solution is a leader in the Gartner Magic Quadrant for Basel II Software Applications, 2006.
- Chartis Research ranks Reveleus among the top 10 operational risk vendors globally.
- Reveleus is highly commended in *The Banker’s* 2006 Technology Awards.

Deep GRC Expertise for Financial Services

Accelerate Basel II Compliance Efforts

The **Reveleus Basel II solution** accelerates Basel II compliance initiatives with a complete set of rules, computation engines, business definitions, and underlying data structures that address the computational and reporting requirements for the Basel Accord. The solution comes with a prebuilt rules framework for determining capital adequacy, allowing you to manage various exposure types, handle collaterals and credit risk mitigants, and assign risk weights.

The Reveleus Basel II solution computes every important parameter—including effective maturity, add-ons for over-the-counter (OTC) derivatives, credit conversion factor (CCF) assignments for off-balance-sheet (OBS) items, and netting arrangements—and can calculate risk-weighted asset computations. In addition, the solution enables you to configure different rules for consolidated

calculations in the head office and entities in other countries, each in conformance with applicable local supervisory guidelines.

The Reveleus Basel II solution integrates with your current risk systems to address all three pillars of Basel II: capital adequacy calculations, supervisory oversight, and market discipline. Every calculation leaves a transparent audit trail open to internal and supervisory review, yet you’re never locked in to one approach. With the Reveleus Basel II solution, you can apply multiple approaches within one system, allowing you to compare your preferred approach to simultaneous “runs” under Standardized (STA), Foundation Internal Ratings Based (FIRB), and Advanced Internal Ratings Based (AIRB) approaches for credit risk calculations; or Basic Indicator, Standardized, and Advanced Measurement Approaches (AMA) for operational risk computations.

*i-flex solutions, a majority-owned subsidiary of Oracle, is a leading provider of financial services solutions. Among other i-flex solutions, Oracle sells the i-flex Reveleus suite of analytical applications, which is focused in the areas of risk management, customer insight, and enterprisewide financial performance.

“With Mantas, we are enhancing our preventative compliance risk management capabilities and consequently helping mitigate potential regulatory and reputational risks.”

Stephen Morse, Head of Compliance, Barclays Capital

Fact: Readers of *Waters* magazine, the leading publication covering the financial IT market, voted Mantas the best anti-money-laundering solution.

Source: Fifth Annual *Waters* Magazine Rankings, July 2007

Enforce Anti-Money-Laundering Surveillance

The Mantas Behavior Detection Platform* provides automated, comprehensive, and consistent surveillance of accounts, customer data, and correspondence across all business lines to detect suspicious activities and potential instances of money laundering. The solution delivers greater protection and rapid time to compliance with industry-proven scenario libraries that cover indicative money-laundering behaviors. Scenario examples include

- **Rapid movement of funds**—Identify movement of funds typical of money-laundering activities, which are designed to integrate funds and give the appearance of legitimacy
- **Cash transactions**—Reveal where money launderers have structured cash transactions or deposits or purchases of monetary instruments in order to avoid reporting requirements, such as the filing of a currency transaction report (CTR)
- **Large depreciation of account value**—Guard against theft indicated by large or sudden decreases in net worth

- **High-risk geographies and entities**—Monitor activity involving high-risk entities or geographies, including the U.S. Department of Treasury’s Office of Foreign Assets Control (OFAC) and specially designated nationals (SDN) lists
- **Hidden relationships**—Reveal previously unknown relationships that could be indicative of efforts to launder funds

Advanced alerts provide a context of business data and historical information to streamline analysis and resolution. Mantas Behavior Detection Platform shortens investigation times by customizing risk and trust weightings to objectively identify unusual behavior in the context of that individual’s or entity’s expected behavior.

Fact: A study of 224 banks from 55 countries found that average spending on anti-money laundering rose by 58 percent over the past three years.

Source: KPMG Forensic, “Global Anti-Money Laundering Survey 2007”

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"We like to use the term *best practice* rather than *compliance*, because the gist of compliance involves proving you are doing things correctly and consistently.... As a direct result of using Oracle technology, we received a good audit review from the MHRA [Medicines and Healthcare Products Regulatory Agency]."

Chris Jones, Business Systems Manager, Napp Pharmaceutical Group

Deep GRC Expertise for Life Sciences

Fulfill FDA Electronic Records Regulations

Federal regulations require companies to maintain secure audit trails of information about every phase of developing, manufacturing, and distributing medical products. Oracle offers a simple and comprehensive method to comply with the Food and Drug Administration (FDA) electronic records regulations 21 Code of Federal Regulations (CFR) Part 11.

Oracle E-Records, part of Oracle E-Business Suite, securely captures, searches, and prints electronic records signatures. Oracle E-Records is the only application that allows you to determine when and where you will collect electronic signatures, simply by selecting from operational system checks that are prebuilt into approximately 100 good manufacturing process (GMP) workflows. It also provides you with a complete set of tools for managing electronic records—including strong security, audit trails, and archiving.

Assure Medical Product Safety and Reporting Compliance

Manufacturers of medical products, such as drugs, vaccines, and medical devices, are required by law to report adverse reactions in a timely fashion to all countries where their products are being marketed or studied. In addition to responding to adverse events as they arise, manufacturers are increasingly focused on proactive identification of safety issues.

Oracle Adverse Event Reporting System supplies product safety surveillance and signal detection that protects your product pipeline and allows you to design flexible global workflows without compromising your adherence to regulations. This solution also addresses important elements of the Corrective and Preventive Action (CAPA) quality measures required by 21 CFR Parts 210, 211, and 820. In addition, Oracle Adverse Event Reporting System lets you monitor trends and gain valuable insight into differentiators that can help you plan, manufacture, and sell your next-generation products.

“Information is one of our company’s greatest assets, and security of those assets should be a priority. All information in ‘strictest confidence at board level’ is encrypted, both current and historic. With Oracle Information Rights Management, the document is encrypted whether it is on a memory stick, a hard drive, or an e-mail inbox at home.”

Mark Sheircliff, Group Head of Information Security, 02



Best-in-Class Infrastructure Automates Enforcement

Information risk is business risk. Period. The global economy’s dependence on high-quality data, continuous service availability, system performance, and agility means that the oversight of core IT infrastructure components cannot be divorced from overall enterprise risk management and compliance. For more than three decades, Oracle has been the partner of choice for global enterprises seeking to reduce information risk and optimize the value of IT.

Protect Sensitive Data and Customer Privacy

The Oracle GRC platform is based on the industry’s most secure database. The **Oracle Advanced Security option of Oracle Database** protects sensitive information from unauthorized disclosure, whether in transit or at rest, to help organizations address Payment Card Industry (PCI) requirements and breach notification laws designed to protect personally identifiable information. Sensitive data—such as Social Security numbers, bank account data, or salary information—can be encrypted within

the Oracle Database itself with no need for changes to overlaying applications. The Oracle Advanced Security option also provides network encryption to prevent anyone from reading or tampering with data as it is transmitted to or from Oracle Database.

Outside the secure perimeter of the database, many companies have suffered from the theft or loss of sensitive information stored on desktops, laptops, or handheld devices. **Oracle Information Rights Management** addresses this risk by using encryption to “seal” documents, associating each with a policy and providing a digital signature to defend against tampering. Even outside your firewall, sensitive information contained in e-mails, spreadsheets, and other documents can be secured and tracked. With fine-grained rights for editing, printing, and copying documents, Oracle Information Rights Management ensures that only authorized users access secured information.

Fact: Instead of being a function that installs firewalls and enforces rules, IT security has become part of an overarching strategy for minimizing strategic and legal risks.

Source: *CIO Insight*, “The 30 Most Important IT Trends for 2007”



“At Silicon Image, we were able to achieve our goals with Oracle Identity Manager. We have become SOX-compliant in identity management and we have now reduced help desk tickets by more than 60 percent in the onboarding and offboarding of employees.”

Kenny Gilbert, Director of Technology Services, Silicon Image

Manage User Identities and Access

Oracle Identity Management centrally manages the creation, updating, and removal of user accounts across diverse enterprise systems such as directories, e-mail servers, databases, and enterprise resource planning (ERP) applications. By treating identities as a fundamental piece of the GRC infrastructure, Oracle Identity Management enforces segregation of duties via role-based user provisioning, which automatically assigns and revokes access privileges to sensitive systems based on users’ roles in the organization. Oracle Identity Management also provides automated attestation reviews and reporting, out-of-the-box and customizable audit reports, enterprisewide visibility into “who has access to what,” and continuous monitoring of rogue and orphan accounts.

In addition, **Oracle Database Vault** controls privileged user access to sensitive information. With this capability, you can enforce proper segregation of duties and prevent even highly privileged users, such as database administrators (DBAs), from accessing sensitive data outside their authorized responsibilities.

Oracle Database Vault achieves this by defining a protected zone around the applications or sensitive data that require controlled access. Once you define this protected zone, you can create associated rules to make sure that DBAs cannot view or change application data as they perform routine database management tasks. For example, Oracle Database Vault allows you to define a rule allowing access to sensitive human resources (HR) data only during predetermined patch windows and from specified internet protocol (IP) addresses inside your corporate network.

Fact: 42 percent of IT practitioners believe that their organizations are doing an inadequate job of reducing the loss or theft of confidential information. They also believe their companies lack the necessary security tools or internal controls to prevent, detect, and correct data security breaches.

Source: Ponemon Institute, "What Worries IT and Compliance Practitioners Most About Privacy and Data Security," June 2007



Enforce Configuration and Change Management Controls

Oracle Enterprise Manager is a comprehensive system management solution that monitors the performance and availability of databases, applications, and middleware components across both Oracle and non-Oracle environments. The solution gathers detailed configuration information about designated systems and provides a central change management database that records every revision to all hardware and software resources, allowing you to safely review and compare configurations and revert back to prior versions when necessary.

Oracle Enterprise Manager includes more than 240 best-practice policies that conform to reference architectures such as those from COBIT and the Center of Information Security (CIS), so that you can verify compliance of your baseline configurations. And Oracle Enterprise Manager's central policy management console tracks policy violations and deficient compliance scores, and routes automated alerts to appropriate personnel for rapid investigation and remediation.

Oracle Database Vault Benefits

- **Protect against insider threats.** Customizable realms and rules provide multifactor authorization capabilities to ensure that administrators as well as users have access only to what they need to do their jobs.
- **Restrict access to sensitive data.** Enforce access based on multiple requirements to provide greater certainty that users are who they state themselves to be.
- **Enforce compliance with ease.** An easy-to-use administrative interface simplifies the deployment of automated compliance controls with a few clicks of the mouse.



“Oracle provides us with a robust content and records management environment that addresses our compliance needs, integrates into our existing business processes, and is easy for our people to use.”

Loren Dugan, IT Director, POWER Engineers, Inc.

Benefits of Oracle Universal Records Management

- **Comprehensive records management.** The first enterprise-wide 5015.2 Chapter 2 and Chapter 4 certified electronic and physical records management system.
- **Automated retention management.** Enforce records management policies such as retention periods, dispositions, holds, and discovery to nonrecords content anywhere in the enterprise.
- **Seamless integration.** Out-of-the-box support for Oracle and third-party repositories, security, and enterprise applications.

Reduce Risk of Legal Liability

As regulatory mandates increase and the associated litigation consequences become more serious, businesses must find ways to effectively manage the growing volume of business content, including corporate records and policies and procedures. Most recently, the amendments to the Federal Rules of Civil Procedure (FRCP) present new legal risks for companies with massively growing data stores.

Under FRCP Rule 26(b), parties in litigation must produce all electronically stored information (ESI) that is relevant, not privileged, and “reasonably accessible.” Failure by a responding party to properly produce electronically stored information can result in serious sanctions. Consequently, businesses must now build sufficient processes and capabilities into their IT infrastructure in order to satisfy these new legal requirements.

Oracle Universal Records Management empowers your organization to apply records and retention policies, as well as legal discovery and holds, to all relevant content across the enterprise—from e-mail attachments and content stored in file servers to physical records in a warehouse. This makes your records and retention program more efficient, broadly adopted, and defensible.

The solution can consistently apply retention policies to change the process of legal discovery from a costly “fire drill” activity to a continuous business process. Content can be cataloged automatically on an ongoing basis, and retained as long as legal, regulatory, or business reasons require. With Oracle Universal Records Management, compliance and legal teams can apply litigation or audit holds to content, preventing the accidental or intentional deletion of information organizations are required to retain.

Building a GRC Community

Oracle recognizes that a GRC platform can only flourish with continuous collaboration from a strong GRC community. Oracle is committed to fostering a thriving network that includes recognized domain experts in diverse fields such as audit, risk and management consulting, independent software development, and systems integration. By bringing together thought leaders and customer practitioners in forums such as strategy councils and advisory boards, Oracle continues to drive leading-edge, standards-based, service-oriented solutions that can operate across diverse system environments

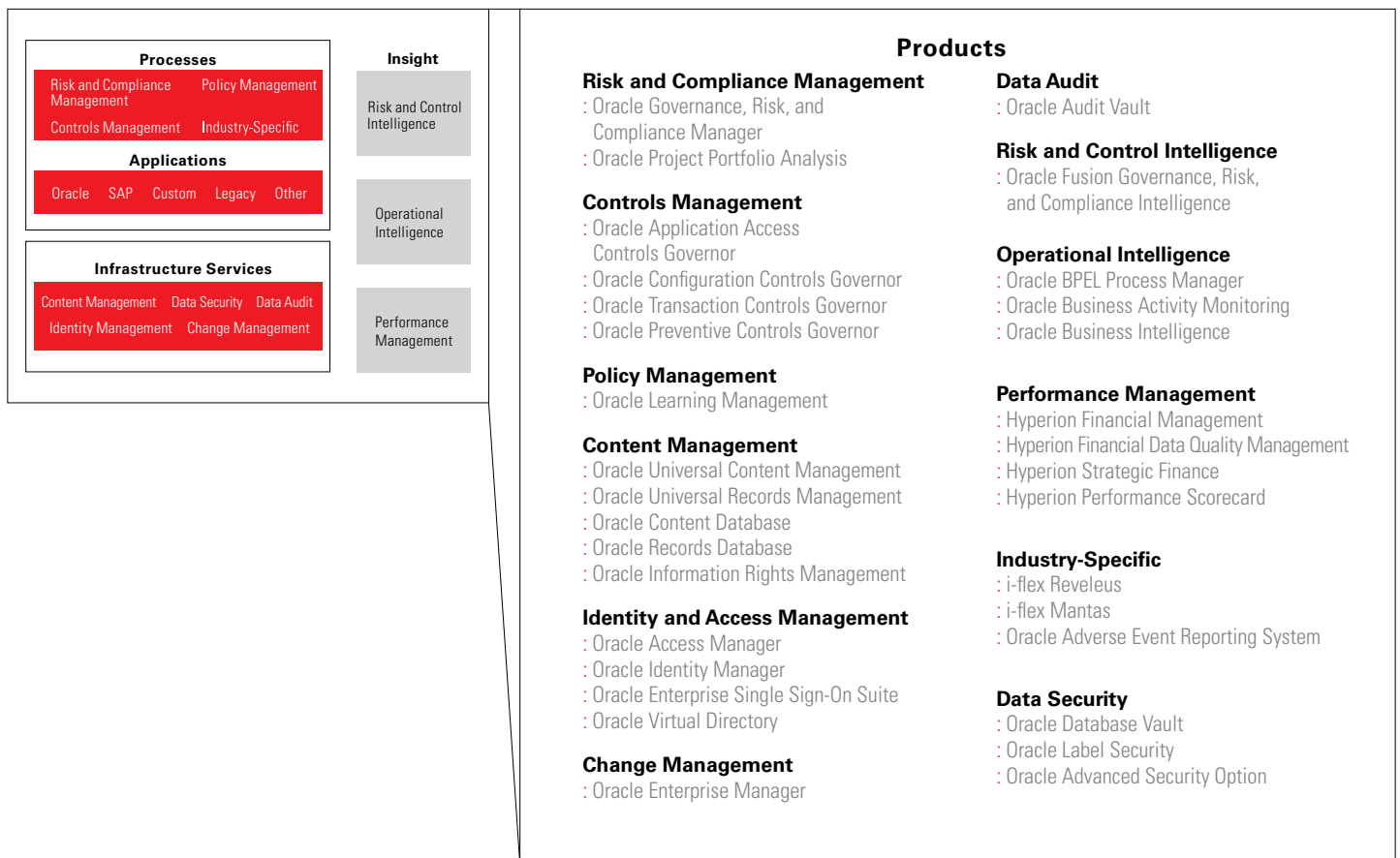
Fact: The ability to handle electronic discovery requirements is a concern for most organizations. A survey of 422 senior corporate counsels revealed that the vast majority (81 percent) of all respondents report being not at all prepared or only somewhat prepared.

Source: Fulbright Jaworski LLC, "Third Annual Litigation Trends Survey," 2006



for true enterprise-class GRC management.

Oracle Solutions for Governance, Risk, and Compliance





The Most Complete GRC Solution

Only Oracle delivers a comprehensive platform for GRC that provides support for

- Integrated intelligence, for insight into the status of all GRC activities across the enterprise
- Cross-industry as well as industry-specific GRC processes and automated controls enforcement
- Best-in-class infrastructure services for content and records management, identity management and access control, and change and configuration management

The Payoff: From Compliance to Risk-Intelligent Performance

Organizations are constantly being charged to deliver “quick wins” that boost morale and build momentum, forestall investor uncertainty, and ward off competition. There is growing recognition, however, that a so-called quick win in one business unit or function will often have unintended consequences in another, and this is especially true in GRC management. Without a unifying strategy that articulates business objectives in the context of risk tolerance and operational control, you can never be certain about your compliance with regulatory mandates, the accuracy of the information you share with stakeholders, the security of your critical information assets, or the quality of your business decisions.

Oracle can help you build a robust, enabling platform for GRC that not only meets current regulatory requirements, but also forms a road map for embedding control, security, and predictability into the very fabric of your organization. By taking a comprehensive approach to GRC—one that combines cross-industry and industry-specific GRC processes, best-in-class infrastructure for data security and IT control, and integrated business insight—Oracle helps you take advantage of improved transparency to deliver risk-adjusted performance.

Whichever GRC discipline you are currently focused on—whether financial compliance, IT governance, legal risk mitigation, or any number of industry-specific initiatives—the comprehensive Oracle GRC platform provides you with the capabilities you need to succeed today, along with the long-term vision and commitment that will help you succeed tomorrow.

CONTACT US

To learn more about Oracle solutions for GRC, call **+1.800.ORACLE1** to speak to an Oracle representative or visit oracle.com/grc

Outside North America, visit oracle.com/corporate/contact to find the phone number for your local Oracle office.



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