Oracle Watchlist Screening

Version 11.1.1.7.3 New Features Overview

Enterprise Data Quality
July, 2014
Safe Harbor Statement

The following is intended to outline our general product direction. It is intended for information purposes only, and may not be incorporated into any contract. It is not a commitment to deliver any material, code, or functionality, and should not be relied upon in making purchasing decisions. The development, release, and timing of any features or functionality described for Oracle’s products remains at the sole discretion of Oracle.
Compatibility

• Oracle Watchlist Screening 11.1.1.7.3 requires
  – Enterprise Data Quality 11.1.1.7.3 or later
  OR
  – Enterprise Data Quality 12.1.3 or later

• Batch screening integration with Siebel requires
  – Enterprise Data Quality Siebel Connector 11.1.1.7.3 or later
  – Siebel CRM or UCM version 8.1 or later
Major New Features

• Improved Support for ‘Embedded Screening’ deployments
• Pre-integrated batch screening for Siebel
• Support for the Dow Jones Anti-Corruption list
• Expanded UI translation to 9 languages
• Case Management improvements
Embedded Screening

• New match outputs simplifies integration with external Case Management
• New Private List Interface simplifies integration with external List Management and additional watch lists
• Allows use as an embedded screening engine for batch and real-time screening within a full AML or Compliance Suite
Siebel Integration

- Built-in batch screening for master Account, Contact and Prospect data in Siebel CRM or UCM
- Ensures screening is working from the highest quality customer data
Dow Jones Anti-Corruption list

Watch List Management

• Out-of-the-box optimized screening against the Dow Jones Anti-Corruption List

• Subscription-based service complementing existing Dow Jones Watchlist

• Used for compliance with anti-corruption regulations such as:
  – UK Bribery Act
  – US Foreign Corrupt Practices Act

Out-of-the-box watch lists

- World-Check
- World-Check Premium
- Dow Jones Watchlist
- Dow Jones Anti-Corruption
- Accuity
- UK-HMT
- OFAC
- EU
- UN
Globalization

Extended UI Translation

• Translation of all Watchlist Screening user interfaces
  – Configuration (Director), Server Console, Real-time Screening, Case Management

• Localizations now available for the following locales:
  – German (de)
  – English (en)
  – Spanish (es)
  – Italian (it)
  – French (fr)
  – Japanese (ja)
  – Korean (ko)
  – Brazilian Portuguese (pr_BR)
  – Simplified Chinese (zh_CN)
  – Traditional Chinese (zh_TW)
Case Management Improvements

Reports and filters

• Instant searching and operational reporting for large data volumes
• Aggregations (banding) in reports
• Search on history
  – Find all cases commented on by user
  – Find all cases transitioned in workflow by user
• Use negative search logic
  – Find all cases where value is NOT x
Case Management Improvements

Workflow enhancements

• Availability of transitions in workflows can be controlled using flexible conditions
  – Based on case/alert status
  – Whether the user has made ‘blocker’ transitions

• Allows enforcement of a ‘4-eye’ review process, even where users have full permissions
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