The cost of combating financial crimes and managing compliance is on a constant rise. While financial institutions are experiencing increasingly stringent regulations and regulatory scrutiny, ongoing financial turmoil is pressuring budgets throughout the industry. This atmosphere has made high efficiency a business imperative. Automated monitoring of trader, customer, and employee activity within a comprehensive surveillance and investigations process is a natural first step to achieve this, but today’s atmosphere also demands a 360° oversight of financial crime and compliance program activity to ensure business continuity and a high ROI. Oracle Financial Services Energy and Commodity Trading Compliance Analytics enables data exploration, business intelligence, and analytical reporting that provides clear operational visibility into Financial Crimes and Compliance Management program performance.

**KEY FEATURES**

- Comprehensive financial services "Business Catalog" for risk & compliance business intelligence analysis & reporting
- Pre-defined reports for alert & data analysis
- Configurable analytics that can be customized to meet business needs
- Sophisticated ad hoc capabilities to develop custom analytics
- Reports & dashboards generated against operational data ensuring immediate access to information
- Export capabilities to share information with peers & other lines of businesses
- Secured information access to ensure data privacy & compliance with data entitlements

**KEY BENEFITS**

- Ability to assess business process health provides opportunity to enhance business process & realize efficiencies
- Pre-integrated analytics on industry proven Financial Services Data Model

**Sophisticated Analytics with Ad Hoc Exploratory Capabilities**

Oracle Financial Services Energy and Commodity Trading Compliance Analytics complements Oracle’s scenario-driven Financial Crimes and Compliance application to further explore raw data in a ad hoc manner, as well as measure program effectiveness and show areas where enhancements could assist in meeting ongoing or future demands.

While financial institutions have widely adopted automated approaches for discovering suspicious and fraudulent behaviors and producing reports for management and regulators, investigators often need access to business information on their own terms. Oracle Financial Services Energy and Commodity Trading Compliance Analytics delivers packaged analytics and reports that provide an immediate and comprehensive understanding of an institution’s financial crime and compliance program, but does not stop there. Increasingly, investigators want to easily derive the linkages between disparate information elements in a fashion that makes business sense. These information characteristics demand a reporting and analytical tool that can bridge multiple information silos and derive business value for advanced decision-making.

Oracle Financial Services Energy and Commodity Trading Compliance Analytics integrates Oracle Business Intelligence’s sophisticated ad hoc analytical tools with Oracle Financial Services’ industry proven Financial Services Data Model to meet these demands. Some of the key capabilities are:

- **Interactive dashboards** with drill down functions to analyze the data behind the
results in lower cost without compromising information intelligence

- Discover sophisticated financial crime, lower costs & improve efficiencies by sharing information & data across your Energy & Commodity surveillance programs

- OBI “Answers” allowing investigators to run ad hoc searches across business information such as firm trades, orders, accounts, and market data.

- Communicate business information by exporting information to other widely used productivity tool formats like MS Excel, PDF, etc.

- Collaborate by sharing custom reports with other team members

- Secured access to information without compromising data access policies and guidelines.

**“Future-Proof” Your Compliance Investment**

Oracle Financial Services Energy and Commodity Trading Compliance Analytics operates within the Oracle Financial Services Financial Crime and Compliance family of solutions, the first comprehensive set of applications to meet the particular needs of the financial services community. This solution comprises Oracle Financial Services’ best-in-class risk and compliance behavior detection solutions for Anti-Money Laundering, Fraud, Know Your Customer, and Trading and Broker Compliance applications. It surrounds these point solutions to provide an end-to-end solution that leverages a robust data model and comprehensive dashboard capabilities to deliver a single transparent, holistic view of all Financial Crime and Compliance related activities across the enterprise.

Oracle Financial Services helps you to identify operational inefficiencies that increase noncompliance risks and overall compliance costs.

The unified Oracle Financial Services Financial Crime and Compliance family of applications helps you tackle today’s tactical risk and compliance problems while future-proofing compliance spending across regulatory mandates. By establishing a strategy to address risk and compliance initiatives, you can respond to changing business and regulatory conditions while leveraging existing business, functional, and technology components thereby improving the consistency and effectiveness of your data, increasing your business performance, improving your time to production and strengthening your competitive advantage: all while controlling your overall compliance costs.

**About Oracle Financial Services Analytical Applications**

Oracle Financial Services Analytical Applications bring financial institutions best-of-breed capabilities to proactively manage Financial Crime, Compliance, Risk, Treasury, Finance and the Front Office. The applications are built upon a commonly available analytical infrastructure consisting of a unified financial services data model, analytical computations, a Metadata driven “R” modeling platform, and the industry-leading Oracle Business Intelligence platform.

A single, unified data model and infrastructure provides one version of the analytical “truth” to business users throughout the entire enterprise. This enables financial services institutions to confidently manage performance, governance, risk and compliance. Shared data, metadata, computations and business rules enable institutions to meet emerging business and regulatory requirements with reduced expenses and the unified platform helps financial institutions to leverage existing investments.

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**RELATED PRODUCTS**

The following products are also available from Oracle Financial Services Analytical Applications:

- Oracle Financial Services Energy and Commodity Compliance
- Oracle Financial Services Broker Compliance
- Oracle Financial Services Trading Compliance
- Oracle Financial Services TC/BC Analytics
- Oracle Financial Services Trade Blotter
- Oracle Financial Services Personal Trading Approval
- Oracle Financial Services Anti Money Laundering
- Oracle Financial Services Fraud
- Oracle Financial Services Know Your Customer
- Oracle Financial Services Enterprise Case Management
CONTACT US
For more information about Oracle Financial Services Energy and Commodity Trading Compliance Analytics, visit oracle.com/financialservices or call +1.800.633.0738 to speak to an Oracle representative.

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Hardware and Software, Engineered to Work Together

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