A Data Science Maturity Model for Enterprise Assessment

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June 16, 2020 | Version 2.0
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PURPOSE STATEMENT

This document is an update to the Data Science Maturity Model for Enterprise Assessment introduced in 2018. As an assessment tool, this Data Science Maturity Model provides a set of dimensions relevant to data science with five maturity levels in each—1 being the least mature, 5 being the most. Enterprises that increase their data science maturity are more likely to increase the value they derive from data science projects.
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INTRODUCTION

"Maturity models" aid enterprises in understanding their current and target states. Enterprises that already embrace data science as a core competency, as well as those just getting started, often seek a roadmap for improving that competency. A data science maturity model is one way of assessing an enterprise and guiding the quest for data science nirvana. Upping an enterprise’s level of data science maturity enables extracting greater value from data for making better data-driven decisions, realizing business objectives more efficiently, and having a more agile response to changing market conditions.

As an assessment tool, this Data Science Maturity Model provides a set of dimensions relevant to data science with five maturity levels in each—1 being the least mature, 5 being the most. Here are important maturity model dimensions with the goal to provide both an assessment tool and potential roadmap:

- **Strategy**—What is the enterprise business strategy for data science?
- **Roles**—What roles are defined and developed within the enterprise to support data science activities?
- **Collaboration**—How do data scientists collaborate with others in the enterprise to evolve and hand off data science work products?
- **Methodology**—What is the enterprise approach or methodology to data science projects?
- **Data Awareness**—How easily can data scientists learn about enterprise data resources?
- **Data Access**—How do data analysts and data scientists request and access data? How is data accessed, controlled, managed, and monitored?
- **Scalability**—How well do the tools used for data science scale and perform for data exploration, preparation, modeling, scoring, deployment, and collaboration?
- **Asset Management**—How are data science assets managed and controlled?
- **Tools**—What tools, including open source, are used within the enterprise for data science objectives?
- **Deployment**—How easily can data science work products be placed into production to meet timely business objectives?

In this white paper, we discuss each of these dimensions and levels by which business leaders and data science teams can assess where their enterprise is, identify where they would like to be, and consider how important each dimension is for the business and overall corporate strategy. Such introspection is a step toward identifying architectures, tools, and practices that can help achieve data science goals.
STRATEGY

What is the enterprise business strategy for data science?

A strategy can be defined as "a high-level plan to achieve one or more goals under conditions of uncertainty." With respect to data science, goals may include making better business decisions, making new discoveries, improving customer acquisition/retention/satisfaction, reducing costs, optimizing processes, and more. Depending on the quantity and quality of data available and the way that data is being used, the degree of uncertainty facing an enterprise can be significantly reduced or accentuated. Strategy, however, can sometimes be an abstract idea. Some strategy statements might include:

- “Ensure single version of the truth with enterprise data”
- “Strive to have enterprise decisions backed by data analytics”
- “Leverage AI to stay competitive, increase revenue and profits”
- “Build data science teams and develop skills in-house”
- “Democratize machine learning across the enterprise”

The five levels of the strategy dimension are:

**Level 1:** Enterprise has no (consistent) governing strategy for applying data science.

For enterprises at Level 1, the world of data science may be unfamiliar, but data certainly is not. Data analytics may be a routine part of enterprise activity but with no overall governing strategy or realization that data is a valuable corporate asset. The enterprise has defined goals, but the extent to which data supports those goals is limited.

**Level 2:** Enterprise is exploring the value of data science as a core competency.

The Level 2 enterprise realizes the potential value of data and the need to leverage that data for greater business advantage. With all the hype and substance around machine learning (ML) and artificial intelligence (AI), business leaders are investigating the value data science can offer and are actively conducting proofs-of-concept—exploring data science seriously as a core business competency.

**Level 3:** Enterprise recognizes data science as a core competency for competitive advantage.

Having done due diligence, enterprises at Level 3 have committed to pursuing data science as a core competency and the benefits it can bring. Systematic efforts are underway to enhance data science capabilities along the remaining dimensions of this maturity model.

**Level 4:** Enterprise embraces a data-driven approach to decision-making.

Once an enterprise establishes a competency in data science, enterprises at Level 4 feel confident to embrace the use of data-driven decision-making—backing up or substituting business instincts with measured results and machine learning. As data and skill sets are refined, business leaders have greater confidence to trust data science results when making key business decisions.
Level 5: Data is treated as an essential corporate asset—data capital.

A capping strategy with respect to data science involves giving data the "reverence" it deserves, recognizing it as a valuable corporate asset and a form of capital. At Level 5, the enterprise allocates adequate resources to conduct data science projects supported by proper management, maintenance, assessment, security, and growth of data assets, and the human resources to systematically achieve strategic goals.

**ROLES**

What roles are defined and developed in the enterprise to support data science activities?

A role can be defined as "a set of connected behaviors, rights, obligations, beliefs, and norms as conceptualized by people in a social situation." Data science within an enterprise can benefit from the introduction of new roles. There are several roles that have become more common in recent years, and they are worth considering if not found in your enterprise: data scientist, chief data officer, chief data science officer, data librarian. The Big Data Executive Survey 2018 notes that 62.5 percent of senior Fortune 1000 business and technology decision-makers stated their organization had appointed a CDO, which reflects the recognized importance of such roles.

What do the people in these roles do? A Chief Data Officer will typically oversee data-related functions such as managing what and how data is stored and for what purposes. A CDO has charge over ensuring data quality, governance, and master data management. CDOs will likely also set data strategy for data-driven decision-making with a business focus and oversee data analysts. A CDO is sometimes referred to as a Chief Analytics Officer. This is in contrast to a Chief (Digital) Information Officer, who may focus more on managing corporate IT strategy and computer systems supporting the enterprise.

A Chief Data Scientist, or Chief Data Science Officer, sets the hiring and skill set needs and development of the data science team, and may serve as a coach for junior and senior data scientists as a hands-on leader. The CDS is often the final decision-maker on data science projects involving the methodology and algorithms that should be applied, and evaluating the results achieved. The CDS presents data science project results to other CXOs as well as customers and clients.

Data librarians are increasingly becoming valuable resources for managing and curating data—further enabling its use and value. Data librarians may help guide the evolution of data libraries, archives, and repositories, while establishing institutional data management policy and infrastructure in coordination with the data science C-level executives.

Once considered unicorns, data scientists are now more numerous as universities offer degrees at both the masters and doctorate level. Even so, data scientists may have different strengths, ranging from their ability to prepare/wrangle data, write code, use machine learning algorithms, effectively use visualization, and communicate results to both technical and nontechnical audiences. As such, a given data science project may require a team of data scientists with complementary skills.
The five maturity levels of the roles dimension are:

**Level 1**: Traditional data analysts explore and summarize data using deductive techniques.

Enterprises at Level 1 may have people dedicated to data analysis—data analysts—and draw on skills of database administrators (DBAs) or business analysts to deliver business intelligence. They likely use a variety of tools that support, for example, spreadsheet analytics, visualization, dashboards, database query languages, among others. People in these roles typically use deductive reasoning in the sense that they formulate queries to answer specific questions.

**Level 2**: “Data scientist” role is introduced to begin leveraging machine learning and other advanced techniques.

The Level 2 enterprise recognizes the need for more sophisticated analytics and the value that those trained in data science—the now much-admired role of the data scientist—can bring to the enterprise. Level 2 enterprises can now more confidently explore, develop, and deploy solutions based on ML or AI. At Level 2, data scientists are typically added to individual departments or organizations as needed.

**Level 3**: Chief Data Officer (CDO) role is introduced to help manage data as a corporate asset.

Although not necessarily a pure data science role, the Chief Data Officer role is highly beneficial, if not critical, for the data science-focused enterprise. The CDO is responsible for enterprise-wide governance and use of data assets. Along with a CDO, the role of data librarian may also be introduced to support data curation within the enterprise. With the introduction of these roles at Level 3, not only is data science being taken more seriously, but the key input to data science projects—the data—is as well.

**Level 4**: The data scientist career path is codified and standardized across the enterprise.

Level 4 enterprises strive for greater uniformity across the enterprise for the data scientist role with respect to job description, skills, and training. In some enterprises, data science activities and/or data scientists may be organized under a common or matrix management structure.

**Level 5**: Chief Data Science Officer (CDSO) role introduced.

Just as the Chief Data Officer role is beneficial for enterprises taking data more seriously, the Level 5 enterprise also recognizes the need for a Chief Data Science Officer or Chief Data Scientist.

**COLLABORATION**

How do data scientists collaborate with others in the enterprise to evolve and hand off data science work products?

Data science projects solving important business problems often involve significant collaboration, defined as “two or more people or organizations working together to realize or achieve a goal.” Successful data science projects that positively impact an enterprise will often require the involvement of multiple individuals in different roles: data scientists, data and business analysts, business problem owners, domain experts, application and dashboard developers, database
administrators, data engineers, and information technology (IT) administrators, just to name a few. Collaboration can be informal or formal; however, in this context, we look to processes, methodologies, and tools that support, encourage, monitor, and guide collaboration among team members and business leaders, especially for business problem definition. Even just among data scientists, it is important to facilitate collaboration with common data access, sharing of data science work products, and interactive multiperson editing capabilities.

When forming a data science team, complementary skills in the area of visualization, modeling, communication, technology, data wrangling, and programming are needed across team members, where one person’s strength compensates for another’s weakness. In the figure, our goal is to form a team that ensures we have adequate coverage in each skill area. Making it easy for members of this team to collaborate—to share or hand off intermediate results at various stages of the project, even in real time—can greatly benefit overall team productivity and the quality of results.

The five maturity levels of the collaboration dimension are:

**Level 1:** Data analysts and/or data scientists work independently, storing data and results in local environments and handing results “over the wall.”

Enterprises at Level 1 often suffer from the silo effect, where data analysts and data scientists are in different parts of the enterprise and work in isolation, focusing narrowly on the data they have access to, to answer questions for their department or organization. Results produced in one area may not be consistent with those in another, even if the underlying question is the same. These differences may result from using (possibly subtly) different data, or versions of the same data, or taking a different approach to arrive at a given result. These differences can result in organizational misalignment and make for interesting cross-organization or enterprise-wide meetings, when results are presented.

**Level 2:** Greater collaboration between “data keepers” and “data users” for finding and enhancing data.

The Level 2 enterprise seeks greater collaboration among the traditional keepers of data (IT) and the various lines of business with their data analysts and data scientists. Sharing of data and results may still be ad hoc, but greater collaboration helps identify data to solve important business problems and communicate results within the organization or enterprise.

**Level 3:** Recognized need for greater collaboration in sharing, modifying, and handing off data science work products within data science team.

With the introduction of data scientists, and the desire to make greater use of data to solve business problems, Level 3 enterprises see the need to have greater collaboration across the team involved in or affected by data science projects. These include data scientists, business analysts, business leaders, and application/dashboard developers, among others. Collaboration takes the form of sharing, modification, and hand-off of data science work products. Work products consist of things such as data (raw and transformed); data visualization plots and graphs; requirements and design specifications; code written as R, Python, SQL, and other scripts directly or in web-based notebooks (e.g., Zeppelin, Jupyter); and predictive models. Use of traditional tools such as source code control systems or object repositories with version control may be used, but inconsistently.

**Level 4:** Tools introduced for sharing, modifying, tracking, and handing off data science work products.

![Forming a data science team—complementary skills](image-url)
Level 4 enterprises build on the progress from Level 3, introducing tools specifically geared toward enhanced collaboration among data science team members. This includes support for sharing and modifying work products, as well as tracking changes and the flow of work. The ability to hand off work products within a defined workflow in a seamless and controlled manner is key. Different organizations within the enterprise may experiment with a variety of tools, which typically do not interoperate.

**Level 5:** Standardized tools are introduced across the enterprise to enable seamless collaboration.

While the Level 4 enterprise made significant strides in enhancing collaboration, the Level 5 enterprise standardizes on processes, methodologies, and tools to facilitate cross-enterprise collaboration among data science team members.

**METHODOLOGY**

**What is the enterprise approach or methodology to data science?**

Methodologies come in various flavors. The most often cited methodology or process for machine learning—a key element of data science—is CRISP-DM. Other data science methodologies vary in their complexity, such as the Data Science Lifecycle or Team Data Science Process. Still others support general software development such as Agile and Scrum. Even established methodologies may need to be tailored to the needs of a given enterprise, for example, adding explicit feedback loops or expanded data awareness/access phases.

The goal is to reduce project risk, increase productivity, and enhance data science project outcomes using proven as well as enterprise-tailored methodologies. Following a solid data science methodology will often lead to more accurate and robust models. Guidelines and recommendations specific to model development may also be codified into a methodology, where best practices for feature engineering, prescriptive analytics, model evaluation, and statistical and ML model experiments are provided. A good methodology will also define the expected outputs for the various team roles.

The five maturity levels of the methodology dimension are:

**Level 1:** Data analytics is focused on business intelligence and data visualization using an ad hoc methodology.

For Level 1 enterprises, data analysts and other team members typically follow no established methodology, relying instead on their experience, skills, and preferences. The focus is on business intelligence and data visualization through dashboards and reports, relying on traditional deductive query formulation.

**Level 2:** Data analytics are expanded to include machine learning for solving business problems, but still using an ad hoc methodology.

Like Level 1, Level 2 enterprises typically follow no established methodology, relying instead on team member experience, skills, and preferences. However, enterprises at Level 2 supplement traditional roles such as data analysts who provide business intelligence and data visualization, with data scientists who introduce more advanced data science techniques such as ML. With the introduction of data scientists, there are implicit enhancements to the ad hoc data science methodology.

**Level 3:** Individual organizations begin to define and regularly apply a data science methodology.
Level 3 enterprises are in the experimental stage where individual organizations start to define their own methodological practices or leverage existing ones, such as CRISP-DM. Goals include increasing productivity, consistency, and repeatability of data science projects while controlling risk. Data science projects may or may not effectively track performance of deployed model outcomes.

**Level 4:** Basic data science methodology best practices are established for data science projects.

Level 4 enterprises build on the progress from Level 3 by establishing methodology best practices throughout the enterprise. Such best practices are derived from organizational experimentation or adopted from an existing methodology. As a result of establishing best practices, the enterprise sees increased productivity, consistency, and repeatability of data science projects with reduced risk of failure.

**Level 5:** Data science methodology best practices are formalized across the enterprise.

Having established best practices for data science in Level 4, the Level 5 enterprise formalizes additional key aspects of data science projects, including project planning, requirements gathering/specification, and design, as well as implementation, deployment, and project assessment.

**DATA AWARENESS**

How easily can data scientists learn about enterprise data resources?

The term *awareness* can be defined as “the state or condition of being aware; having knowledge; consciousness.” For data awareness, we might refine this definition as “having knowledge of the data that exists in an enterprise and an understanding of its contents.” As the image above suggests, enterprises often have many data repositories across organizations and departments. Data may reside in databases, flat files, spreadsheets, among others, across a range of hardware, operating systems, and file systems—the data landscape—or be data in motion from streaming sources like IoT sensors. Moreover, data silos form where one part of the enterprise is unaware of the existence of data in another, let alone the meaning of that data.
Data awareness across an enterprise give data science team members, especially data scientists, the ability to browse and understand data from a metadata perspective—what Gartner refers to as “data source discovery.” Such metadata may include textual descriptions of things such as tables and individual columns, key summary statistics, data quality metrics, among others. Data awareness is essential to increase productivity, but also to inventory data assets and enable an enterprise to move toward “a single version of the truth.”

The five maturity levels of the data awareness dimension are:

**Level 1:** Data users unaware of broader data assets available in the enterprise.

Enterprises at Level 1 are often in the dark when it comes to understanding the data resources that may exist across the enterprise. Data may be siloed in spreadsheets or flat files on employee machines or stored in departmental data marts or application-specific databases. No map of the data landscape exists to assist in finding data of interest; moreover, the enterprise has not prioritized this as a need.

**Level 2:** Data analysts and data scientists seek additional data sources through “key people” contacts.

The Level 2 enterprise has “awakened” to the need for and benefits of finding the right data. As data analysts and data scientists take on more analytically interesting projects, the search for data ensues on a personal level—individually contacting data owners or others “in the know” within the enterprise to understand what data exists and where it resides. A significant amount of time is lost trying to find data, interpret it, and assess its quality.

**Level 3:** Existing enterprise data resources are cataloged and assessed for quality and utility for solving business problems.

The Level 3 enterprise sees the need for making it easier for data science teams to find data and have greater confidence in its quality for solving business problems. Ad hoc metadata catalogs begin to emerge, which make it easier to understand what data is available. However, such catalogs are nonstandard, not integrated, and dispersed across the enterprise.

**Level 4:** Enterprise introduces metadata management and data catalog tool(s).

The Level 4 enterprise builds on the progress from Level 3 by introducing metadata management tools where data scientists and others can discover data resources available to solve critical business problems. Since the enterprise is just starting to take metadata seriously, different departments or organizations within an enterprise may use different tools. While an improvement for data scientists, the metadata models across tools are not integrated, so multiple tools may need to be used.

**Level 5:** Enterprise standardizes on tool(s) for data catalog/metadata management and institutionalizes its use for all data assets.

The Level 5 enterprise has fully embraced the value of integrated metadata and facilitating the maintenance and organization of that metadata through effective tools. All data assets are curated for quality and utility with full metadata descriptions to enable efficient data identification and discovery across the enterprise. Data science team productivity and project quality increase as members can now easily find available enterprise data.
DATA ACCESS

How do data analysts and data scientists request and access data?
How is data access controlled, managed, and monitored?

When we consider data access, one definition refers to “software and activities related to storing, retrieving, or acting on data housed in a database or other repository.” This is normally coupled with authorization—who is permitted to access what—and auditing—who accessed what, when, and from where. As discussed below, data access can be provided with little or no control such as when handing someone a memory stick, or strict access control through secure database authentication and computer network authentication. Data access takes into account not only the user side, but also the ability of administrators to effectively manage the data access lifecycle—from initial request and granting privileges, to revoking privileges and post-use data cleanup. Applying encryption, obfuscation, and permission helps ensure data privacy, increasingly required by government entities such as the European Union’s General Data Protection Regulation (GDPR) and California Consumer Privacy Act (CCPA).

It is important to characterize the data lifecycle when thinking about data access. In Generate and Capture, data comes into an organization, usually through data entry, acquisition from an external source, or signal reception, such as transmitted...
sensor data. In Access, Secure, and Audit, data security is managed through explicit granting and revoking of access privileges. It is clear who is accessing what data, when, and for what purpose. In Prepare and Maintain, data is processed through integration with other data, or cleansed, and goes through extract, transform, and load (ETL) or extract, load, and transform (ELT), which likely needs to be done on an ongoing basis. In Use, this is typically where data science teams apply their skills with ML in support of enterprise objectives. In Publish, some data may be made available to a broader audience, sometimes the public. In Archive, data is removed from all production environments and maintained for a period of time depending on enterprise or legal requirements. In Purge and Destroy, data, which has typically been archived because it is no longer needed, is deleted.

The five maturity levels of the data access dimension are:

**Level 1:** Data typically accessed via flat files obtained from various sources.

Data science teams at Level 1 enterprises use what has historically been called the sneakernet. If you need data, you walk over to the data owners, get a copy on a hard drive or memory stick, and load it onto your local machine. This, of course, has morphed into emailing requests to data owners and either getting back requested data via email, drop boxes, or FedEx’d memory sticks or hard drives. Providing access to data in this manner is clearly not secure. Further, obtaining the “right” data is unlikely to occur on the first try, so multiple iterations may be needed with data custodians. This results in the data request cycle—yielding delays, frustration, and even annoying those data custodians.

**Level 2:** Data access is available via direct programmatic database access.

In Level 2 enterprises, the sneakernet is recognized as insecure and inefficient. Moreover, since much of enterprise data is stored in databases, authorization and programmatic access is more readily enabled. With direct access to databases via convenient APIs (ODBC, R, and Python packages, etc.), more data can be made available to data science teams, thereby shortening the data request cycle. However, any processing beyond what is possible in the data repository/environment itself, e.g., SQL for relational databases, still requires data to be pulled to the client machine, which can have security implications.

**Level 3:** Data scientists have authenticated, programmatic access to large volume data, but database administrators struggle to manage the data access lifecycle.

The Level 3 enterprise is experiencing data access growing pains. Data scientists now have access to large volume data and want to use more if not all of that data in their work. Database administrators are inundated with requests for both broad (multischema) and narrow (individual table) data access. Ensuring individuals have proper approvals for accessing the data they need and possibly implementing data masking causes data access request backlogs. The Level 3 enterprise has also started to supplement traditional structured database data with new “big data” repositories such as HDFS, NoSQL, etc. These even greater volumes of data include anything from social media data to sensor, image, text, and voice data.

**Level 4:** Data access is more tightly controlled and managed with identity management tools.

While enterprises in some industries, for example, finance, will have addressed access control to varying degrees, when addressing data access more broadly, the Level 4 enterprise understands the importance of end-to-end lifecycle management of user identities, and it begins introducing tools to strengthen security and simplify compliance as appropriate. A goal for Level 4 enterprises is to make it easier for data science teams to request and receive access to data, while also making it easier for administrators to manage, especially with the introduction of more big data repositories. An enterprise-wide self-service access request web application may be used to facilitate requesting and granting data access. Ideally, this would be integrated with the metadata management tool used for data awareness.

**Level 5:** Data access lineage tracking enables unambiguous data derivation and source identification.

The Level 5 enterprise has standardized on identity management and auditing to support secure data access, and now focuses on the ability to answer the question “what is the source of the data that produced this result?” Even in enterprises that leverage an enterprise data warehouse, data may still be replicated to other databases, or various gateways leveraged to give transparent access to remote data. The Level 5 enterprise enables tracking the derivation of data science work products—their lineage—with verification of actual data sources.
SCALABILITY

Do the tools scale and perform for data exploration, preparation, modeling, scoring, and deployment?

As data, data science projects, and the data science team grow, is the enterprise able to support these adequately?

The term scalability can be defined as the "capability of a system, network, or process to handle a growing amount of work, or its potential to be enlarged to accommodate that growth." Scalability with respect to data science needs to reflect the hardware and software aspects, as well as the people and process aspects. This includes several factors: data volume (number of rows, columns, and overall bytes); algorithm design and implementation (parallel, distributed, memory efficient) for data preparation and model building and scoring; hardware (RAM, CPU, storage); volume and rate of data science work products produced; number of data science team members and projects; and workflow complexity.

Enterprises need to plan for scalability demands of data science projects just as they do for data management. An OpenAI blog noted as far back as 2012, “…since 2012, the amount of compute used in the largest AI training runs has been increasing exponentially with a 3.4-month doubling time (by comparison, Moore’s Law had a 2-year doubling period). …Since 2012, this metric has grown by more than 300,000X (a 2-year doubling period would yield only a 7X increase). Improvements in compute have been a key component of AI progress, so as long as this trend continues, it’s worth preparing for the implications of systems far outside today’s capabilities.”

Planning for scalability of people and processes also means employing automation to support or supplant iterative aspects of the data science process. For example, selecting the most appropriate algorithm or tuning algorithm hyperparameters for a specific dataset can be highly iterative building, evaluating, and tuning models using each algorithm. Moreover, these steps can be augmented with machine learning to produce potentially better results faster and with much less effort. This helps to scale data science human resources so they can focus on the more creative aspects of the data science process.

The five maturity levels of the scalability dimension are:

Level 1: Data volumes are typically "small" and limited by desktop-scale hardware and tools, with analytics performed by individuals using simple workflows.
Level 1 enterprises perform analytics on data that can fit and be manipulated in memory, typically on desktop hardware, and possibly using open source tools. At Level 1, data volumes are such that loading data from flat files or programmatically from databases does not introduce problematic latency. Similarly, algorithm efficiency in terms of memory consumption or ability to take advantage of multiple CPUs is not a significant issue. Data science work products are produced at a rate that taxes neither individuals nor infrastructure.

Level 2: Data science projects take on greater complexity and leverage larger data volumes.
In Level 2 enterprises, data science teams are taking on more projects of greater complexity that require more data. This increase in data volume introduces increasingly intolerable latency due to data movement, and highlights inadequate hardware resources and/or inefficient algorithm implementations. The need to produce more data science work products more frequently also taxes existing hardware resources. The Level 2 enterprise begins exploring scalable tools for processing data where it resides—instead of relying on data movement—as well as tools that can enhance the use of open source analytical engines and packages. Data scientists’ resort to data sampling to address tool limitations.

**Level 3:** Individual groups adopt varied scalable data science tools and provide greater hardware resources for data scientists.

The Level 3 enterprise is addressing its data science growing pains experienced at Level 2 by adopting tools that minimize latency due to data movement, have parallel algorithm implementations, and provide infrastructure for leveraging open source tools. These new tools enable data scientists to use more if not all desired data in their analytics; however, there is no standard suite of tools across the enterprise, and the various tools do not facilitate collaboration. An increase in available hardware resources (on-premise or cloud) for solving bigger and more complex data science problems yields significant productivity gains for the data science team.

**Level 4:** Enterprise standardizes on an integrated suite of scalable, automated data science tools, and dedicates sufficient hardware capacity to data science projects.

Having explored and test-driven various data science tools, the Level 4 enterprise standardizes on an integrated suite of scalable tools that enables data science teams to realize full-scale data science projects. Data science projects, and data scientists in particular, have sufficient hardware resources (on-premise or cloud) for both development and production. The enterprise recognizes the value of tools that automate key data science steps and introduces tools to increase data science team productivity.

**Level 5:** Data scientists have on-demand access to elastic compute resources on premise and/or in the cloud, with highly scalable algorithms and infrastructure.

The Level 5 enterprise focuses on elastic compute resources for data scientists. As data volumes increase, data science projects benefit from being able to quickly and easily increase/decrease compute resources, which in turn expedites data exploration, data preparation, ML model building, and data scoring. This applies to both individual models and massive predictive modeling involving thousands or even millions of individual models. Elastic compute resources can eliminate the need for dedicating resources for peak demand requirements. Alternatively, cloud-at-customer solutions can provide benefits while meeting regulatory or data privacy requirements. The combination of scalable algorithms and infrastructure with elastic compute resources enables the enterprise to meet time-sensitive business objectives while minimizing cost.

**ASSET MANAGEMENT**

How are data science assets managed and controlled?
Assets are typically both tangible and intangible things of value. For this discussion, we will consider the array of data science work products as assets and can define asset management at a high level as "any system that monitors and maintains things of value to an entity or group." As we introduced earlier, work products consist of things such as data (raw and transformed), data visualization plots and graphs, requirements and design specifications, code written as R/Python/SQL/other scripts directly or in web-based notebooks (e.g., Zeppelin, Jupyter), ML models, virtual machine/container images, and others. In this context, asset management should cover the full asset lifecycle—from creation to retirement. Throughout the lifecycle, the need for asset storage/backup/recovery, metadata-based search, security (e.g., privilege-based access control, auditability), versioning, archiving, and lineage must be addressed—basically governance. Specific to data science is the need for model management, which encompasses things such as model lifecycle, governance, repeatability, monitoring, and, of course, reporting.

The five maturity levels of the asset management dimension are:

**Level 1:** Analytical work products are owned, organized, and maintained by individual data science team members.

Data science teams at Level 1 enterprises are essentially "winging it," taking an ad hoc approach to asset management. Team members are responsible for maintaining their data science work products, typically on their local machines, which may or may not be backed up or secure. Asset loss and an inability to reproduce results are not uncommon. Across the enterprise, data science work products are "hidden" on individual machines, with no effective way to perform cross-project search.

**Level 2:** Efforts are underway to provide security, backup, and recovery of data science work products.

The Level 2 enterprise recognizes the need to manage data science work products. This typically begins with organization-based repositories that provide storage with backup and recovery to reduce asset loss, as well as security to control access.

**Level 3:** Data Science work product governance is systematically being addressed.

The Level 3 enterprise begins to see data science work products as an important corporate asset. As such, tools and procedures are introduced to centrally manage assets throughout their lifecycle. As the enterprise expands its data science effort with ML models, the need for model management also gains visibility. The need to determine which data and processes were used to produce data science work products is gaining recognition, with steps being taken to answer basic questions definitively, for example, on what is this result based?
Level 4: Data science work product governance is firmly established at the enterprise level, with increasing support for model management.

The Level 4 enterprise has adopted best practices for data science work product governance. Data science teams as well as the overall enterprise reap productivity gains through being able to easily locate, execute, reproduce, and enhance project content. The question of “how was this result produced and on what data?” can readily be answered.

Level 5: Systematic management of all data science work products with full support for model management.

The Level 5 enterprise surpasses the Level 4 enterprise by having introduced tools and procedures that support model management. As data science projects are deployed, their outcomes are fully monitored with reporting on value provided to the enterprise. Such outcomes are factored back into each project forming a closed loop—ensuring data science projects continue to provide value based on current relevant data and trends.

TOOLS

What tools are used within the enterprise for data science? Can data scientists take advantage of open source tools in combination with production quality infrastructure?

Data science projects are supported by a wide range of tools—from open source to proprietary, relational database to “big data” platforms, those with simple analytics to complex machine learning. Tools may support isolated activities or be highly collaborative and enable modeling-in-the-small to massive predictive modeling with full model management. Orthogonal to each of these is the scale at which these tools can perform. Some tools and algorithm implementations will perform well for small or even moderate sized data but fail or become unusable when presented with larger data volumes. For this, special parallel, distributed implementations are necessary to leverage multinode and multiprocessor machines and machine clusters.

Seldom will a single tool provide all required functionality—usually found in a mix of commercial and open source tools. Enterprises often desire commercial support for the tools adopted but are sometimes willing to use unsupported open source if it meets their needs. As a result, commercial tools that integrate with open source tools and provide support for data-parallel and task-parallel execution along with ease of deployment are highly desired.

The five maturity levels of the tools dimension are:

Level 1: An ad hoc array of nonscalable tools is predominantly used for isolated data analysis on desktop machines.

Analytics teams at Level 1 use traditional desktop tools for data analysis, relying heavily on spreadsheet-based tools along with various open source tools for analytics and visualization.

Level 2: Data is managed through data management tools with teams relying on open source libraries and specialized commercial tools.

Level 2 enterprises, taking data management more seriously, introduce relational database and other data management software tools. Data science projects also benefit from the broader open source package ecosystem for advanced data
exploration, statistical analysis, visualization, and machine learning. However, at Level 2, there is little integration between commercial and open source tools, and performance and scalability are an issue for data science teams.

**Level 3:** Enterprise seeks scalable tools to support data science projects involving large volume data.

Data science projects at Level 3 enterprises are hindered by performance and scalability of existing software and environment. A concerted effort is made to evaluate and acquire commercial and open source tools with a range of scalable ML algorithms and techniques to complement open source techniques and facilitate production deployment. Data science teams may begin to explore big data platforms to address new sources of high-volume data, scalability, and cost reduction. Cloud-based tools are also under review. As data science projects grow in complexity involving larger team efforts, tools supporting collaboration become a recognized need.

**Level 4:** Enterprise standardizes on a suite of tools to meet data science project objectives.

The Level 4 enterprise understands the needs of data science teams and projects to meet business objectives. Enhanced productivity requires scalable tools that support collaboration and work with data from a wide range of sources. Automation and integration play a major role in enhancing productivity, so tools that avoid paradigm shifts and automate tasks in data exploration, preparation, ML, and graph and spatial analytics are particularly valuable. Adopted tools are available or function across multiple platforms, including on-premise and cloud. As ML models have become a focal point for data science projects, adopted tools must support full model management.

**Level 5:** Enterprise regularly assesses state-of-the-art algorithms, methodologies, and tools for improving solution accuracy, insights, and performance, along with data scientist productivity.

Level 5 enterprises optimize their data science tool environment. Having understood what is required for effective data science projects and data science team member productivity at Level 4, enterprises work with tool providers to further enhance those tools to meet business objectives.

**DEPLOYMENT**

*How easily can data science work products be placed into production to meet timely business objectives?*

Data science comes with the expectation that amazing insights and predictions will transform the business and take the enterprise to a new level of performance and competitiveness. Too often, however, data science projects fail to “lift off,” resulting in significant opportunity cost for the enterprise. A data scientist may produce a predictive model with high accuracy; however, if those findings are not effectively put into production, i.e., deployed, or deployment is significantly delayed, desired gains are not realized. Deployment must take into account and reflect the original business goals. Through built-in monitoring, business leaders can more readily assess business impact and return on investment.
A more general definition of **deployment** relevant in this discussion is “the action of bringing resources into effective action.” The resources in this context refer to data science work products such as ML models, visualizations, statistical analyses, etc. Effective action is to deliver these resources in a way that provides business benefit: timely insights presented in interactive dashboards, predictions affecting which actions enterprises will undertake with respect to customers, employees, assets, and so on.

For data science in general, and machine learning in particular, much of the deployment mechanism—or plumbing—is the same across projects. Yet, enterprises often find individual project teams reinventing deployment infrastructure, requiring logic for data access, spawning separate analytic engines and recovery processes along with (often missing) rigorous testing. Leveraging tools that provide such plumbing can greatly reduce overhead and risk in deploying data science projects.

The five maturity levels of the deployment dimension are:

**Level 1:** Data science results have limited reach and hence provide limited business value.

At Level 1 enterprises, results from data science projects often take the form of insights documented in slide presentations or textual reports. Data analyses, visualizations, and even predictive models may provide guidance for human decision-making, but such results must be manually conveyed on a per-project basis.

**Level 2:** Production model deployment is seen as valuable, but often involves reinventing infrastructure for each project.

In Level 2 enterprises, the realization that ML models can and should be leveraged in front-line applications and systems takes hold. Some insights may be explicitly coded into application or dashboard logic; however, the time between model creation and deployment can significantly impact model accuracy as well as return on investment (ROI). This deployment latency occurs when the patterns in data used for model building diverge from current data used for scoring. Moreover, manually coding, for example, predictive model coefficients for scoring in C, Java, or even SQL for easier integration with existing applications or dashboards, takes developer time and can result in coding errors that only rigorous code reviews and testing can reveal. As a result, enterprises incur costs for data science projects, but do not fully realize potential project benefits.

**Level 3:** Enterprise begins leveraging tools that provide simplified, automated model deployment, inclusive of open source software and environments.

As more data science projects are undertaken, the Level 3 enterprise realizes that one-off deployment approaches waste valuable development resources, incur deployment latency that reduces model effectiveness, and increase project risk. In today’s internet-enabled world, patterns in data, such as customer preferences, can change overnight, requiring enterprises to have greater agility to build, test, and deploy models using the latest data. Enterprises at Level 3 begin to leverage tools that provide the needed infrastructure to support simplified and automated model deployment. Level 3 enterprises begin to closely monitor the effectiveness of data science solutions, for example, using model monitoring to assess actual business impact.

**Level 4:** Increased heterogeneity of enterprise systems requires cross-platform model deployment, with a growing need to incorporate models into streaming data applications.

The Level 4 enterprise has a combination of database, Hadoop, Spark, and other platforms for managing data and computation. Increasingly, the enterprise needs models and scripts produced in one environment to be deployed in another. This increases the need for tools that enable exporting models for use in a scoring engine library that can be easily integrated into applications. Level 4 enterprises seek tools that facilitate script and model deployment in real time or streaming analytics situations, as they begin to use data science results involving fast data.

**Level 5:** Enterprise has realized the benefits of immediate data science work product (re)deployment across heterogeneous environments with effective monitoring of business impact.

The Level 5 enterprise has adopted a standard set of tools to support deployment of data science work products across all necessary environments. ML models and scripts created in one environment can immediately be deployed and refreshed (redeployed) with minimal latency. Deployed data science projects are systematically monitored for business impact.
SUMMARY TABLE

Enterprises embracing data science as a core competency may want to evaluate what level they have achieved relative to each dimension. In some cases, an enterprise may straddle more than one level. As a next step, the enterprise may use this maturity model to identify a level in each dimension to which they aspire, or fashion a new Level 6.

Data Science Maturity Model

<table>
<thead>
<tr>
<th>QUESTIONS</th>
<th>LEVEL 1</th>
<th>LEVEL 2</th>
<th>LEVEL 3</th>
<th>LEVEL 4</th>
<th>LEVEL 5</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Strategy</strong></td>
<td>What is the enterprise business strategy for data science?</td>
<td>Enterprise has no governing strategy for applying data science.</td>
<td>Enterprise is exploring the value of data science as a core competency.</td>
<td>Enterprise recognizes data science as a core competency for competitive advantage.</td>
<td>Enterprise embraces a data-driven approach to decision-making.</td>
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<tr>
<td><strong>Roles</strong></td>
<td>What roles are defined and developed in the enterprise to support data science activities?</td>
<td>Data analysts explore and summarize data using deductive techniques.</td>
<td>“Data scientist” role emerges, leveraging ML and other advanced techniques.</td>
<td>Chief Data Officer (CDO) role is introduced to help manage data as a corporate asset.</td>
<td>Chief Data Science Officer (CDSO) role is introduced.</td>
</tr>
<tr>
<td><strong>Collaboration</strong></td>
<td>How do data scientists collaborate among themselves and other data science teams to evolve and hand off data science work products?</td>
<td>Data analysts and/or data scientists work independently, storing data and results in local environments.</td>
<td>Greater collaboration exists between IT and line-of-business organizations.</td>
<td>There is a recognized need for greater collaboration in sharing, modifying, and handing off data science work products within data science team.</td>
<td>Tools are introduced to enable sharing, modifying, tracking, and handing off data science work products.</td>
</tr>
<tr>
<td><strong>Methodology</strong></td>
<td>What is the enterprise approach or methodology to data science?</td>
<td>Data analytics is focused on business intelligence and data visualization using an ad hoc methodology.</td>
<td>Data analytics are expanded to include ML for solving business problems, but still using ad hoc methodology.</td>
<td>Individual organizations begin to define and regularly apply a data science methodology.</td>
<td>Basic data science methodology best practices are defined.</td>
</tr>
<tr>
<td><strong>Data Awareness</strong></td>
<td>How easily can data scientists learn about enterprise data resources?</td>
<td>Data users are unaware of broader data assets available in the enterprise.</td>
<td>Data analysts and data scientists seek additional data sources through “key people.”</td>
<td>Enterprise data resources are cataloged and assessed for quality and utility for solving business problems.</td>
<td>Enterprise introduces metadata management and data catalog tool(s).</td>
</tr>
<tr>
<td><strong>Data Access</strong></td>
<td>How do data analysts and data scientists request and access data? How is data access controlled, managed, and monitored?</td>
<td>Data is typically accessed via flat files obtained from IT or other sources.</td>
<td>Data access is available via direct programmatic database access.</td>
<td>Data scientists have authenticated, programmatic access to large volume data, but DBAs struggle to manage the data access lifecycle.</td>
<td>Data access is more tightly controlled and managed with identity management tools.</td>
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<tr>
<th><strong>Scalability</strong></th>
<th>Do the tools scale and perform for data exploration, preparation, modeling, scoring, and deployment? As data, data science projects, and the data science team grow, is the enterprise able to support these adequately?</th>
<th>Data volumes are typically “small” and limited by desktop-scale hardware and tools, with analytics performed by individuals using simple workflows.</th>
<th>Data science projects take on greater complexity and leverage larger data volumes.</th>
<th>Individual groups adopt varied scalable data science tools and provide greater hardware resources for data scientists.</th>
<th>Enterprise standardizes on an integrated suite of scalable, automated data science tools, and dedicates sufficient hardware capacity to data science projects.</th>
<th>Data scientists have on-demand access to elastic compute resources both on premise and in the cloud with highly scalable algorithms and infrastructure.</th>
</tr>
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<tbody>
<tr>
<td><strong>Asset Management</strong></td>
<td>How are data science assets managed and controlled?</td>
<td>Analytical work products are owned, organized, and maintained by individual data science team members.</td>
<td>Efforts are underway to provide security, backup, and recovery of data science work products.</td>
<td>Data science work product governance is being systematically addressed.</td>
<td>Data science work product governance is firmly established at the enterprise level with increasing support for model management.</td>
<td>Systematic management of all data science work products is used with full support for model management.</td>
</tr>
<tr>
<td><strong>Tools</strong></td>
<td>What tools are used within the enterprise for data science objectives? Can data scientists take advantage of open source tools in combination with production quality infrastructure?</td>
<td>An ad hoc array of nonscalable tools is predominantly used for isolated data analysis on desktop machines.</td>
<td>Data is managed through DBMSs with teams relying on extensive open source libraries, along with specialized commercial tools.</td>
<td>Enterprise seeks scalable tools to support data science projects involving large volume data.</td>
<td>Enterprise standardizes on a suite of tools to meet data science project objectives.</td>
<td>Enterprise regularly assesses state-of-the-art algorithms, methodologies, and tools for improving solution accuracy, insights, performance, and productivity.</td>
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<td><strong>Deployment</strong></td>
<td>How easily can data science work products be placed into production to meet timely business objectives?</td>
<td>Data science results have limited reach and hence provide limited business value.</td>
<td>Production model deployment is seen as valuable, but often involves reinventing infrastructure for each project.</td>
<td>Enterprise begins leveraging tools that provide simplified, automated model deployment, inclusive of open source software and environments.</td>
<td>Heterogeneous enterprise systems require cross-platform model deployment, with a growing need for streaming data applications.</td>
<td>Enterprise has realized benefits of immediate model (re)deployment across heterogeneous environments via standardized toolset with effective monitoring of business impact.</td>
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