

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



## Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L72200MH1989PLC053666

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACC1448B

(ii) (a) Name of the company

ORACLE FINANCIAL SERVICES

(b) Registered office address

ORACLE PARK, OFF. WESTERN EXPRESS HIGHWAY,  
GOREGAON (EAST),  
MUMBAI  
Maharashtra  
400063

(c) \*e-mail ID of the company

investors-vp-ofss\_in\_grp@ora

(d) \*Telephone number with STD code

02267182814

(e) Website

www.oracle.com/financialserv

(iii) Date of Incorporation

27/09/1989

| (iv) | Type of the Company | Category of the Company   | Sub-category of the Company   |
|------|---------------------|---------------------------|-------------------------------|
|      | Public Company      | Company limited by shares | Indian Non-Government company |

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

| S. No. | Stock Exchange Name                      | Code  |
|--------|------------------------------------------|-------|
| 1      | BSE Limited                              | 1     |
| 2      | National Stock Exchange of India Limited | 1,024 |

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,  
Financial District, Nanakramguda, Serilingampally

(vii) \*Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☐ Yes ☒ No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

☐ Yes ☒ No

(f) Specify the reasons for not holding the same

AGM will be held in August 2021

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

| S.No | Main Activity group code | Description of Main Activity group | Business Activity Code | Description of Business Activity                         | % of turnover of the company |
|------|--------------------------|------------------------------------|------------------------|----------------------------------------------------------|------------------------------|
| 1    | J                        | Information and communication      | J6                     | Computer programming, consultancy and related activities | 100                          |

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

17

Pre-fill All

| S.No | Name of the company                  | CIN / FCRN            | Holding/ Subsidiary/Associate/<br>Joint Venture | % of shares held |
|------|--------------------------------------|-----------------------|-------------------------------------------------|------------------|
| 1    | Oracle Global (Mauritius) Limit<br>+ |                       | Holding                                         | 73.26            |
| 2    | Oracle Financial Services Softw<br>+ |                       | Subsidiary                                      | 100              |
| 3    | Oracle Financial Services Softw<br>+ |                       | Subsidiary                                      | 100              |
| 4    | Oracle Financial Services Softw<br>+ |                       | Subsidiary                                      | 100              |
| 5    | Oracle Financial Services Cons<br>+  |                       | Subsidiary                                      | 100              |
| 6    | Oracle Financial Services Softw<br>+ |                       | Subsidiary                                      | 100              |
| 7    | Oracle Financial Services Softw<br>+ |                       | Subsidiary                                      | 100              |
| 8    | Mantas Inc.                          |                       | Subsidiary                                      | 100              |
| 9    | Sotas Inc.                           |                       | Subsidiary                                      | 100              |
| 10   | Mantas India Private Limited         | U72900DL1999PTC099923 | Subsidiary                                      | 100              |
| 11   | Oracle (OFSS) ASP Private Limi<br>+  | U72900MH2001PTC131264 | Subsidiary                                      | 100              |
| 12   | Oracle (OFSS) Processing Servi<br>+  | U72900MH2005PLC151334 | Subsidiary                                      | 100              |
| 13   | ISP Internet Mauritius Compan<br>+   |                       | Subsidiary                                      | 100              |
| 14   | Oracle (OFSS) BPO Services Inc<br>+  |                       | Subsidiary                                      | 100              |
| 15   | Oracle (OFSS) BPO Services Lin<br>+  | U72900DL2002PLC180572 | Subsidiary                                      | 100              |
| 16   | Oracle Financial Services Softw<br>+ |                       | Subsidiary                                      | 100              |
| 17   | Oracle Financial Services Softw<br>+ |                       | Subsidiary                                      | 100              |

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

| Particulars                               | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|-------------------------------------------|--------------------|----------------|--------------------|-----------------|
| Total number of equity shares             | 100,000,000        | 86,062,233     | 86,062,233         | 86,062,233      |
| Total amount of equity shares (in Rupees) | 500,000,000        | 430,311,165    | 430,311,165        | 430,311,165     |

Number of classes

1

| Class of Shares                           | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|-------------------------------------------|--------------------|----------------|--------------------|-----------------|
| Equity Shares                             |                    |                |                    |                 |
| Number of equity shares                   | 100,000,000        | 86,062,233     | 86,062,233         | 86,062,233      |
| Nominal value per share (in rupees)       | 5                  | 5              | 5                  | 5               |
| Total amount of equity shares (in rupees) | 500,000,000        | 430,311,165    | 430,311,165        | 430,311,165     |

**(b) Preference share capital**

| Particulars                                   | Authorised capital | Issued capital | Subscribed capital | Paid-up capital |
|-----------------------------------------------|--------------------|----------------|--------------------|-----------------|
| Total number of preference shares             | 0                  | 0              | 0                  | 0               |
| Total amount of preference shares (in rupees) | 0                  | 0              | 0                  | 0               |

Number of classes

0

| Class of shares                               | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|-----------------------------------------------|--------------------|----------------|--------------------|-----------------|
|                                               |                    |                |                    |                 |
| Number of preference shares                   |                    |                |                    |                 |
| Nominal value per share (in rupees)           |                    |                |                    |                 |
| Total amount of preference shares (in rupees) |                    |                |                    |                 |

**(c) Unclassified share capital**

| Particulars                         | Authorised Capital |
|-------------------------------------|--------------------|
| Total amount of unclassified shares | 0                  |

**(d) Break-up of paid-up share capital**

| Class of shares                               | Number of shares | Total nominal amount | Total Paid-up amount | Total premium |
|-----------------------------------------------|------------------|----------------------|----------------------|---------------|
| <b>Equity shares</b>                          |                  |                      |                      |               |
| <b>At the beginning of the year</b>           | 85,879,298       | 429,396,490          | 429,396,490          |               |
| <b>Increase during the year</b>               | 182,935          | 914,675              | 914,675              | 54,922,236.7  |
| i. Public Issues                              | 0                | 0                    | 0                    | 0             |
| ii. Rights issue                              | 0                | 0                    | 0                    | 0             |
| iii. Bonus issue                              | 0                | 0                    | 0                    | 0             |
| iv. Private Placement/ Preferential allotment | 0                | 0                    | 0                    | 0             |
| v. ESOPs                                      | 182,935          | 914,675              | 914,675              | 54,922,236.7  |

|                                     |            |             |             |   |
|-------------------------------------|------------|-------------|-------------|---|
| vi. Sweat equity shares allotted    | 0          | 0           | 0           | 0 |
| vii. Conversion of Preference share | 0          | 0           | 0           | 0 |
| viii. Conversion of Debentures      | 0          | 0           | 0           | 0 |
| ix. GDRs/ADRs                       | 0          | 0           | 0           | 0 |
| x. Others, specify                  |            |             |             |   |
|                                     |            |             |             |   |
| <b>Decrease during the year</b>     | 0          | 0           | 0           | 0 |
| i. Buy-back of shares               | 0          | 0           | 0           | 0 |
| ii. Shares forfeited                | 0          | 0           | 0           | 0 |
| iii. Reduction of share capital     | 0          | 0           | 0           | 0 |
| iv. Others, specify                 |            |             |             |   |
|                                     |            |             |             |   |
| <b>At the end of the year</b>       | 86,062,233 | 430,311,165 | 430,311,165 |   |
|                                     |            |             |             |   |
| <b>Preference shares</b>            |            |             |             |   |
| <b>At the beginning of the year</b> | 0          | 0           | 0           |   |
| <b>Increase during the year</b>     | 0          | 0           | 0           | 0 |
| i. Issues of shares                 | 0          | 0           | 0           | 0 |
| ii. Re-issue of forfeited shares    | 0          | 0           | 0           | 0 |
| iii. Others, specify                |            |             |             |   |
|                                     |            |             |             |   |
| <b>Decrease during the year</b>     | 0          | 0           | 0           | 0 |
| i. Redemption of shares             | 0          | 0           | 0           | 0 |
| ii. Shares forfeited                | 0          | 0           | 0           | 0 |
| iii. Reduction of share capital     | 0          | 0           | 0           | 0 |
| iv. Others, specify                 |            |             |             |   |
|                                     |            |             |             |   |
| <b>At the end of the year</b>       | 0          | 0           | 0           |   |

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

| Class of shares              |                      | (i) | (ii) | (iii) |
|------------------------------|----------------------|-----|------|-------|
| Before split / Consolidation | Number of shares     |     |      |       |
|                              | Face value per share |     |      |       |
| After split / Consolidation  | Number of shares     |     |      |       |
|                              | Face value per share |     |      |       |

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

☒ Nil

[Details being provided in a CD/Digital Media]

☐ Yes

☐ No

☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes

☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

|                                                    |  |         |  |                                                             |                                           |            |  |  |
|----------------------------------------------------|--|---------|--|-------------------------------------------------------------|-------------------------------------------|------------|--|--|
| Date of the previous annual general meeting        |  |         |  |                                                             |                                           |            |  |  |
| Date of registration of transfer (Date Month Year) |  |         |  |                                                             |                                           |            |  |  |
| Type of transfer                                   |  |         |  | 1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock |                                           |            |  |  |
| Number of Shares/ Debentures/ Units Transferred    |  |         |  |                                                             | Amount per Share/ Debenture/Unit (in Rs.) |            |  |  |
| Ledger Folio of Transferor                         |  |         |  |                                                             |                                           |            |  |  |
| Transferor's Name                                  |  |         |  |                                                             |                                           |            |  |  |
|                                                    |  | Surname |  | middle name                                                 |                                           | first name |  |  |
| Ledger Folio of Transferee                         |  |         |  |                                                             |                                           |            |  |  |
| Transferee's Name                                  |  |         |  |                                                             |                                           |            |  |  |
|                                                    |  | Surname |  | middle name                                                 |                                           | first name |  |  |

|                                                                         |                      |                                                            |                      |
|-------------------------------------------------------------------------|----------------------|------------------------------------------------------------|----------------------|
| Date of registration of transfer (Date Month Year) <input type="text"/> |                      |                                                            |                      |
| Type of transfer                                                        | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |                      |
| Number of Shares/ Debentures/<br>Units Transferred                      | <input type="text"/> | Amount per Share/<br>Debenture/Unit (in Rs.)               | <input type="text"/> |
| Ledger Folio of Transferor                                              |                      | <input type="text"/>                                       |                      |
| Transferor's Name                                                       | <input type="text"/> | <input type="text"/>                                       | <input type="text"/> |
|                                                                         | Surname              | middle name                                                | first name           |
| Ledger Folio of Transferee                                              |                      | <input type="text"/>                                       |                      |
| Transferee's Name                                                       | <input type="text"/> | <input type="text"/>                                       | <input type="text"/> |
|                                                                         | Surname              | middle name                                                | first name           |

**(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)**

| Particulars                                                                                         | Number of units | Nominal value per unit | Total value |
|-----------------------------------------------------------------------------------------------------|-----------------|------------------------|-------------|
| Non-convertible debentures                                                                          | 0               | 0                      | 0           |
| Partly convertible debentures                                                                       | 0               | 0                      | 0           |
| Fully convertible debentures                                                                        | 0               | 0                      | 0           |
| Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits   |                 |                        | 0           |
| Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits |                 |                        | 0           |
| Deposit                                                                                             |                 |                        | 0           |
| <b>Total</b>                                                                                        |                 |                        | 0           |

**Details of debentures**

| Class of debentures           | Outstanding as at the beginning of the year | Increase during the year | Decrease during the year | Outstanding as at the end of the year |
|-------------------------------|---------------------------------------------|--------------------------|--------------------------|---------------------------------------|
| Non-convertible debentures    | 0                                           | 0                        | 0                        | 0                                     |
| Partly convertible debentures | 0                                           | 0                        | 0                        | 0                                     |
| Fully convertible debentures  | 0                                           | 0                        | 0                        | 0                                     |

**(v) Securities (other than shares and debentures)**

0

| Type of Securities | Number of Securities | Nominal Value of each Unit | Total Nominal Value | Paid up Value of each Unit | Total Paid up Value |
|--------------------|----------------------|----------------------------|---------------------|----------------------------|---------------------|
|                    |                      |                            |                     |                            |                     |
|                    |                      |                            |                     |                            |                     |
| <b>Total</b>       |                      |                            |                     |                            |                     |

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)****(i) Turnover**

36,452,126,387

**(ii) Net worth of the Company**

56,459,881,000

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

| S. No. | Category | Equity | Preference |
|--------|----------|--------|------------|
|--------|----------|--------|------------|

|     |                                         | Number of shares | Percentage | Number of shares | Percentage |
|-----|-----------------------------------------|------------------|------------|------------------|------------|
| 1.  | Individual/Hindu Undivided Family       |                  |            |                  |            |
|     | (i) Indian                              | 0                | 0          | 0                |            |
|     | (ii) Non-resident Indian (NRI)          | 0                | 0          | 0                |            |
|     | (iii) Foreign national (other than NRI) | 0                | 0          | 0                |            |
| 2.  | Government                              |                  |            |                  |            |
|     | (i) Central Government                  | 0                | 0          | 0                |            |
|     | (ii) State Government                   | 0                | 0          | 0                |            |
|     | (iii) Government companies              | 0                | 0          | 0                |            |
| 3.  | Insurance companies                     | 0                | 0          | 0                |            |
| 4.  | Banks                                   | 0                | 0          | 0                |            |
| 5.  | Financial institutions                  | 0                | 0          | 0                |            |
| 6.  | Foreign institutional investors         | 0                | 0          | 0                |            |
| 7.  | Mutual funds                            | 0                | 0          | 0                |            |
| 8.  | Venture capital                         | 0                | 0          | 0                |            |
| 9.  | Body corporate<br>(not mentioned above) | 63,051,197       | 73.26      | 0                |            |
| 10. | Others                                  | 0                | 0          | 0                |            |
|     | <b>Total</b>                            | 63,051,197       | 73.26      | 0                | 0          |

**Total number of shareholders (promoters)**

1

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

| S. No. | Category                                | Equity           |            | Preference       |            |
|--------|-----------------------------------------|------------------|------------|------------------|------------|
|        |                                         | Number of shares | Percentage | Number of shares | Percentage |
| 1.     | Individual/Hindu Undivided Family       |                  |            |                  |            |
|        | (i) Indian                              | 5,400,304        | 6.27       | 0                |            |
|        | (ii) Non-resident Indian (NRI)          | 1,008,867        | 1.17       | 0                |            |
|        | (iii) Foreign national (other than NRI) | 3,135            | 0          | 0                |            |

|     |                                         |            |       |   |   |
|-----|-----------------------------------------|------------|-------|---|---|
| 2.  | Government                              |            |       |   |   |
|     | (i) Central Government                  | 4,000      | 0     | 0 |   |
|     | (ii) State Government                   | 0          | 0     | 0 |   |
|     | (iii) Government companies              | 0          | 0     | 0 |   |
| 3.  | Insurance companies                     | 341,960    | 0.4   | 0 |   |
| 4.  | Banks                                   | 0          | 0     | 0 |   |
| 5.  | Financial institutions                  | 2,829,748  | 3.29  | 0 |   |
| 6.  | Foreign institutional investors         | 9,133,027  | 10.61 | 0 |   |
| 7.  | Mutual funds                            | 3,603,711  | 4.19  | 0 |   |
| 8.  | Venture capital                         | 0          | 0     | 0 |   |
| 9.  | Body corporate<br>(not mentioned above) | 308,566    | 0.36  | 0 |   |
| 10. | Others Alternative Investment Funds     | 377,718    | 0.44  | 0 |   |
|     | <b>Total</b>                            | 23,011,036 | 26.73 | 0 | 0 |

**Total number of shareholders (other than promoters)**

48,570

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

48,571

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

189

| Name of the FII     | Address                           | Date of Incorporation | Country of Incorporation | Number of shares held | % of shares held |
|---------------------|-----------------------------------|-----------------------|--------------------------|-----------------------|------------------|
| WESSEX (MAURITIUS)  | CO CIM Corporate Services Ltd Les |                       |                          | 2,687,899             | 3.12             |
| SUSSEX (MAURITIUS)  | CO CIM Corporate Services Ltd Les |                       |                          | 1,190,158             | 1.38             |
| COPTHALL MAURITIUS  | C/O CIM CORPORATE SERVICES LT     |                       |                          | 1,075,472             | 1.25             |
| VANGUARD EMERGIN    | 100 VANGUARD BOULEVARD MAL        |                       |                          | 320,377               | 0.37             |
| ROBECO CAPITAL GRO  | 11-13 BOULEVARD DE LA FOIRE L     |                       |                          | 262,862               | 0.31             |
| VIRTUS KAR EMERGIN  | 101 MUNSON STREET GREENFIELD      |                       |                          | 250,474               | 0.29             |
| VANGUARD TOTAL IN   | 100 VANGUARD BOULEVARD MAL        |                       |                          | 246,433               | 0.29             |
| BNP PARIBAS ARBITRA | BNP PARIBAS HOUSE 1 NORTH AV      |                       |                          | 240,501               | 0.28             |

| Name of the FII           | Address                           | Date of Incorporation | Country of Incorporation | Number of shares held | % of shares held |
|---------------------------|-----------------------------------|-----------------------|--------------------------|-----------------------|------------------|
| JNL/LAZARD EMERGIN<br>+   | 1 CORPORATE WAY LANSING MI        |                       |                          | 202,037               | 0.23             |
| GOVERNMENT PENSIC<br>+    | Bankplassen 2 P O Box 1179 Sentri |                       |                          | 180,089               | 0.21             |
| THE JUPITER GLOBAL<br>+   | 6 ROUTES DE TREVES SENNINGERE     |                       |                          | 140,000               | 0.16             |
| VALUEQUEST INDIA M<br>+   | IFS COURT TWENTY EIGHT EBENE      |                       |                          | 108,083               | 0.13             |
| EMERGING MARKETS (C<br>+  | 6300 Bee Cave Road Building One   |                       |                          | 97,096                | 0.11             |
| VANGUARD FIDUCIAR<br>+    | JPMORGAN CHASE BANK N.A. IND      |                       |                          | 94,297                | 0.11             |
| SOCIETE GENERALE - C<br>+ | CSBI-SG GLOBAL SECURITIES SERVICE |                       |                          | 92,087                | 0.11             |
| GMO EMERGING MAR<br>+     | 40 Rowes Wharf Boston             |                       |                          | 91,947                | 0.11             |
| ACADIAN EMERGING I<br>+   | 260 FRANKLIN STREET BOSTON MA     |                       |                          | 66,074                | 0.08             |
| LEGAL AND GENERAL<br>+    | One Coleman Street London         |                       |                          | 65,069                | 0.08             |
| WISDOMTREE EMERGI<br>+    | 245 PARK AVENUE 35TH FLOOR N      |                       |                          | 63,713                | 0.07             |
| SUFFOLK (MAURITIUS)<br>+  | C/o CIM CORPORATE SERVICES LIM    |                       |                          | 61,380                | 0.07             |
| MORGAN STANLEY AS<br>+    | CITIBANK N.A. CUSTODY SERVICES    |                       |                          | 59,158                | 0.07             |
| CALIFORNIA PUBLIC E<br>+  | 400 Q STREET LPE ROOM 4800 SA     |                       |                          | 52,758                | 0.06             |
| MERCER QIF FUND PL<br>+   | 70 SIR JOHN ROGERSON'S QUAY D     |                       |                          | 52,731                | 0.06             |
| STICHTING DEPOSITAI<br>+  | OUDE LINDESTRAAT 70               |                       |                          | 51,319                | 0.06             |
| ALASKA PERMANENT<br>+     | 801 WEST 10TH STREET JUNEAU A     |                       |                          | 44,878                | 0.05             |

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

| Details                           | At the beginning of the year | At the end of the year |
|-----------------------------------|------------------------------|------------------------|
| Promoters                         | 1                            | 1                      |
| Members<br>(other than promoters) | 27,172                       | 48,570                 |
| Debenture holders                 | 0                            | 0                      |

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

| Category                                 | Number of directors at the beginning of the year |               | Number of directors at the end of the year |               | Percentage of shares held by directors as at the end of year |               |
|------------------------------------------|--------------------------------------------------|---------------|--------------------------------------------|---------------|--------------------------------------------------------------|---------------|
|                                          | Executive                                        | Non-executive | Executive                                  | Non-executive | Executive                                                    | Non-executive |
| <b>A. Promoter</b>                       | 0                                                | 4             | 0                                          | 4             | 0                                                            | 0             |
| <b>B. Non-Promoter</b>                   | 2                                                | 4             | 2                                          | 4             | 0.18                                                         | 0.01          |
| (i) Non-Independent                      | 2                                                | 0             | 2                                          | 0             | 0.18                                                         | 0             |
| (ii) Independent                         | 0                                                | 4             | 0                                          | 4             | 0                                                            | 0.01          |
| <b>C. Nominee Directors representing</b> | 0                                                | 0             | 0                                          | 0             | 0                                                            | 0             |
| (i) Banks & FIs                          | 0                                                | 0             | 0                                          | 0             | 0                                                            | 0             |
| (ii) Investing institutions              | 0                                                | 0             | 0                                          | 0             | 0                                                            | 0             |
| (iii) Government                         | 0                                                | 0             | 0                                          | 0             | 0                                                            | 0             |
| (iv) Small share holders                 | 0                                                | 0             | 0                                          | 0             | 0                                                            | 0             |
| (v) Others                               | 0                                                | 0             | 0                                          | 0             | 0                                                            | 0             |
| <b>Total</b>                             | 2                                                | 8             | 2                                          | 8             | 0.18                                                         | 0.01          |

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

|    |
|----|
| 11 |
|----|

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

| Name                                                                                                   | DIN/PAN  | Designation         | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|--------------------------------------------------------------------------------------------------------|----------|---------------------|--------------------------------|--------------------------------------------------------------|
| VENKATACHALAM SA    | 00257819 | Director            | 6,000                          |                                                              |
| CHAITANYA MADHUK    | 00969094 | Managing Director   | 90,500                         |                                                              |
| MAKARAND SHRIKAN    | 02115514 | Whole-time director | 63,777                         |                                                              |
| RICHARD DAVID JACK  | 06447687 | Director            | 0                              |                                                              |
| HARINDERJIT SINGH                                                                                      | 06628566 | Director            | 0                              |                                                              |
| SRIDHAR SRINIVASAN  | 07240718 | Director            | 0                              |                                                              |
| KIMBERLY WOOLLEY                                                                                       | 07741017 | Director            | 0                              |                                                              |
| YONG MENG KAU                                                                                          | 08234739 | Director            | 0                              |                                                              |

| Name              | DIN/PAN    | Designation      | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|-------------------|------------|------------------|--------------------------------|--------------------------------------------------------------|
| VINCENT SECONDO G | 08262388   | Director         | 0                              |                                                              |
| JANE MURPHY       | 08336710   | Director         | 0                              |                                                              |
| ONKAR NATH BANERJ | AEDPB6094E | Company Secretar | 226                            |                                                              |

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

0

| Name | DIN/PAN | Designation at the beginning / during the financial year | Date of appointment/ change in designation/ cessation | Nature of change (Appointment/ Change in designation/ Cessation) |
|------|---------|----------------------------------------------------------|-------------------------------------------------------|------------------------------------------------------------------|
|      |         |                                                          |                                                       |                                                                  |

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

| Type of meeting        | Date of meeting | Total Number of Members entitled to attend meeting | Attendance                 |                         |
|------------------------|-----------------|----------------------------------------------------|----------------------------|-------------------------|
|                        |                 |                                                    | Number of members attended | % of total shareholding |
| Annual General Meeting | 18/08/2020      | 30,568                                             | 79                         | 73.65                   |

**B. BOARD MEETINGS**

\*Number of meetings held

7

| S. No. | Date of meeting | Total Number of directors associated as on the date of meeting | Attendance                   |                 |
|--------|-----------------|----------------------------------------------------------------|------------------------------|-----------------|
|        |                 |                                                                | Number of directors attended | % of attendance |
| 1      | 08/05/2020      | 10                                                             | 10                           | 100             |
| 2      | 14/05/2020      | 10                                                             | 10                           | 100             |
| 3      | 24/06/2020      | 10                                                             | 10                           | 100             |
| 4      | 11/08/2020      | 10                                                             | 10                           | 100             |
| 5      | 09/09/2020      | 10                                                             | 10                           | 100             |
| 6      | 02/11/2020      | 10                                                             | 9                            | 90              |
| 7      | 28/01/2021      | 10                                                             | 10                           | 100             |

## C. COMMITTEE MEETINGS

Number of meetings held

20

| S. No. | Type of meeting                       | Date of meeting | Total Number of Members as on the date of the meeting | Attendance                 |                 |
|--------|---------------------------------------|-----------------|-------------------------------------------------------|----------------------------|-----------------|
|        |                                       |                 |                                                       | Number of members attended | % of attendance |
| 1      | Audit Committee                       | 08/05/2020      | 4                                                     | 4                          | 100             |
| 2      | Audit Committee                       | 14/05/2020      | 4                                                     | 4                          | 100             |
| 3      | Audit Committee                       | 11/08/2020      | 4                                                     | 4                          | 100             |
| 4      | Audit Committee                       | 02/11/2020      | 4                                                     | 4                          | 100             |
| 5      | Audit Committee                       | 28/01/2021      | 4                                                     | 4                          | 100             |
| 6      | Stakeholders Forum                    | 11/08/2020      | 4                                                     | 4                          | 100             |
| 7      | Nomination and Remuneration Committee | 10/03/2021      | 3                                                     | 3                          | 100             |
| 8      | Risk Management Committee             | 02/03/2021      | 3                                                     | 3                          | 100             |
| 9      | ESOP Allotment Committee              | 22/04/2020      | 4                                                     | 4                          | 100             |
| 10     | ESOP Allotment Committee              | 15/05/2020      | 4                                                     | 4                          | 100             |

## D. \*ATTENDANCE OF DIRECTORS

| S. No. | Name of the director | Board Meetings                                           |                             |                 | Committee Meetings                                       |                             |                 | Whether attended AGM held on |
|--------|----------------------|----------------------------------------------------------|-----------------------------|-----------------|----------------------------------------------------------|-----------------------------|-----------------|------------------------------|
|        |                      | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance |                              |
|        |                      |                                                          |                             |                 |                                                          |                             |                 | (Y/N/NA)                     |
| 1      | VENKATACHARI         | 7                                                        | 7                           | 100             | 18                                                       | 18                          | 100             |                              |
| 2      | CHAITANYA M          | 7                                                        | 7                           | 100             | 14                                                       | 14                          | 100             |                              |
| 3      | MAKARAND S           | 7                                                        | 7                           | 100             | 14                                                       | 14                          | 100             |                              |
| 4      | RICHARD DA           | 7                                                        | 7                           | 100             | 6                                                        | 6                           | 100             |                              |
| 5      | HARINDERJI           | 7                                                        | 6                           | 85.71           | 1                                                        | 1                           | 100             |                              |
| 6      | SRIDHAR SRI          | 7                                                        | 7                           | 100             | 20                                                       | 20                          | 100             |                              |
| 7      | KIMBERLY W           | 7                                                        | 7                           | 100             | 0                                                        | 0                           | 0               |                              |
| 8      | YONG MENG            | 7                                                        | 7                           | 100             | 0                                                        | 0                           | 0               |                              |
| 9      | VINCENT SEC          | 7                                                        | 7                           | 100             | 0                                                        | 0                           | 0               |                              |

|    |             |   |   |     |   |   |     |  |
|----|-------------|---|---|-----|---|---|-----|--|
| 10 | JANE MURPHY | 7 | 7 | 100 | 5 | 5 | 100 |  |
|----|-------------|---|---|-----|---|---|-----|--|

#### X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

| S. No. | Name              | Designation         | Gross Salary | Commission | Stock Option/<br>Sweat equity | Others | Total<br>Amount |
|--------|-------------------|---------------------|--------------|------------|-------------------------------|--------|-----------------|
| 1      | Chaitanya Kamat   | Managing Director   | 225,727,223  | 0          | 30,000                        | 0      | 225,757,223     |
| 2      | Makarand Padalkar | Whole-time Director | 36,997,930   | 0          | 15,000                        | 0      | 37,012,930      |
|        | Total             |                     | 262,725,153  | 0          | 45,000                        | 0      | 262,770,153     |

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

| S. No. | Name               | Designation       | Gross Salary | Commission | Stock Option/<br>Sweat equity | Others | Total<br>Amount |
|--------|--------------------|-------------------|--------------|------------|-------------------------------|--------|-----------------|
| 1      | Onkarnath Banerjee | Company Secretary | 8,505,446    | 0          | 2,000                         | 0      | 8,507,446       |
|        | Total              |                   | 8,505,446    | 0          | 2,000                         | 0      | 8,507,446       |

Number of other directors whose remuneration details to be entered

4

| S. No. | Name              | Designation | Gross Salary | Commission | Stock Option/<br>Sweat equity | Others | Total<br>Amount |
|--------|-------------------|-------------|--------------|------------|-------------------------------|--------|-----------------|
| 1      | VENKATACHALAM     | INDEPENDENT | 0            | 4,400,000  | 0                             | 0      | 4,400,000       |
| 2      | RICHARD JACKSON   | INDEPENDENT | 0            | 4,200,000  | 0                             | 0      | 4,200,000       |
| 3      | SRIDHAR SRINIVASA | INDEPENDENT | 0            | 3,200,000  | 0                             | 0      | 3,200,000       |
| 4      | JANE MURPHY       | INDEPENDENT | 0            | 3,900,000  | 0                             | 0      | 3,900,000       |
|        | Total             |             | 0            | 15,700,000 | 0                             | 0      | 15,700,000      |

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which penalised / punished | Details of penalty/ punishment | Details of appeal (if any) including present status |
|------------------------------------------|----------------------------------------|---------------|--------------------------------------------------------------|--------------------------------|-----------------------------------------------------|
|                                          |                                        |               |                                                              |                                |                                                     |

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which offence committed | Particulars of offence | Amount of compounding (in Rupees) |
|------------------------------------------|----------------------------------------|---------------|-----------------------------------------------------------|------------------------|-----------------------------------|
|                                          |                                        |               |                                                           |                        |                                   |

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Prashant Diwan

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

1979

**I/We certify that:**

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...

5(xv)

dated

15/05/2015

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

To be digitally signed by

Director

DIN of the director

02115514

To be digitally signed by

- ☒ Company Secretary
- ☐ Company secretary in practice

Membership number

8547

Certificate of practice number

Attachments

1. List of share holders, debenture holders

2. Approval letter for extension of AGM;

3. Copy of MGT-8;

4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

List of attachments

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Annexure to point no. VI(c) of Form MGT 7

| Oracle Financial Services Software Limited                                         |                                                                                                 |                                                                                                                |                       |                          |                    |                  |
|------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-----------------------|--------------------------|--------------------|------------------|
| Details in respect of shares held by or on behalf of the FIIs/ FPIs in the Company |                                                                                                 |                                                                                                                |                       |                          |                    |                  |
| Sr. No.                                                                            | Name of FII/FPI                                                                                 | Address                                                                                                        | Date of Incorporation | Country of Incorporation | No. of Shares held | % of Shares held |
| 26                                                                                 | VANGUARD FTSE ALL-WORLD EX-US INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS | 100 VANGUARD BOULEVARD MALVERN PA                                                                              |                       |                          | 41276              | 0.05             |
| 27                                                                                 | HERMES EMERGING ASIA EQUITY FUND MASTER S.P.                                                    | 190 ELGIN AVENUE GEORGE TOWN GRAND CAYMAN                                                                      |                       |                          | 41231              | 0.05             |
| 28                                                                                 | MISSOURI EDUCATION PENSION TRUST MANAGED BY ACADIA N ASSET MANAGEMENT LLC                       | 3210 WEST TRUMAN BOULEVARD JEFFERSON CITY MISSOURI                                                             |                       |                          | 36456              | 0.04             |
| 29                                                                                 | STICHTING PENSIOENFONDS ING                                                                     | DE ENTREE 201 AMSTERDAM                                                                                        |                       |                          | 33289              | 0.04             |
| 30                                                                                 | SLG INTERNATIONAL OPPORTUNITIES,L.P                                                             | 1235 WESTLAKES DRIVE SUITE 295 BERWYN PENNSYLVANIA                                                             |                       |                          | 33222              | 0.04             |
| 31                                                                                 | ACADIAN EMERGING MARKETS MANAGED VOLATILITY EQUITY FUND LLC                                     | 260 FRANKLIN STREET BOSTON MASSACHUSETTS                                                                       |                       |                          | 32317              | 0.04             |
| 32                                                                                 | THE EMERGING MARKETS SERIES OF THE DFA INVESTMENT TRUST COMPANY                                 | 6300 Bee Cave Road Building One Austin Texas 78746 USA                                                         |                       |                          | 32198              | 0.04             |
| 33                                                                                 | CITY OF NEW YORK GROUP TRUST                                                                    | ONE CENTRE STREET NEW YORK NY 10007 2341                                                                       |                       |                          | 31179              | 0.04             |
| 34                                                                                 | LSV EMERGING MARKETS SMALL CAP EQUITY FUND, LP                                                  | 2711 Centerville Road Suite 400 Wilmington Delaware                                                            |                       |                          | 28700              | 0.03             |
| 35                                                                                 | MANSFIELD (MAURITIUS) LIMITED                                                                   | C/o CIM CORPORATE SERVICES LIMITED Les Cascades Building Edith Cavell Street Port Louis Mauritius              |                       |                          | 28620              | 0.03             |
| 36                                                                                 | PGGM WORLD EQUITY B. V.                                                                         | Noordweg-Noord 150 Zeist                                                                                       |                       |                          | 28572              | 0.03             |
| 37                                                                                 | SCHWAB EMERGING MARKETS EQUITY ETF                                                              | 211 MAIN STREET SAN FRANCISCO CA                                                                               |                       |                          | 28238              | 0.03             |
| 38                                                                                 | GENERAL ORGANIZATION FOR SOCIAL INSURANCE                                                       | PO BOX 2963 KING ABDULAZIZ ROAD RIYADH                                                                         |                       |                          | 26620              | 0.03             |
| 39                                                                                 | ACADIAN EMERGING MARKETS EQUITY II FUND LLC                                                     | 260 FRANKLIN STREET BOSTON MASSACHUSETTS                                                                       |                       |                          | 26456              | 0.03             |
| 40                                                                                 | ISHARES IV PUBLIC LIMITED COMPANY - ISHARES DIGITALISATION UCITS ETF                            | JP MORGAN HOUSE IFSC DUBLIN 1                                                                                  |                       |                          | 25471              | 0.03             |
| 41                                                                                 | ACADIAN EMERGING MARKETS EQUITY FUND                                                            | 200 CLARENDON ST 52ND FLOOR BOSTON MASSACHUSETTS                                                               |                       |                          | 23817              | 0.03             |
| 42                                                                                 | MDPIM EMERGING MARKETS EQUITY POOL                                                              | 1870 ALTA VISTA DRIVE OTTAWA ONTARIO CANADA                                                                    |                       |                          | 22312              | 0.03             |
| 43                                                                                 | THELEME INDIA MASTER FUND LIMITED                                                               | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI |                       |                          | 21443              | 0.02             |
| 44                                                                                 | MANAGED PENSION FUNDS LIMITED                                                                   | 20 CHURCHILL PLACE CANARY WHARF LONDON                                                                         |                       |                          | 21128              | 0.02             |
| 45                                                                                 | SEB ASIENFOND EX JAPAN                                                                          | SVEAVAGEN 8 ST6 STOCKHOLM                                                                                      |                       |                          | 20074              | 0.02             |
| 46                                                                                 | RONDURE NEW WORLD FUND                                                                          | 1290 BROADWAY SUITE 1100 DENVER CO                                                                             |                       |                          | 20000              | 0.02             |
| 47                                                                                 | ROBECO CAPITAL GROWTH FUNDS - ROBECO QI EMERGING MARKETS ACTIVE EQUITIES                        | 11-13 BOULEVARD DE LA FOIRE 1528                                                                               |                       |                          | 19918              | 0.02             |
| 48                                                                                 | OPSEU PENSION PLAN TRUST FUND                                                                   | 1 Adelaide Street East Suite 1200                                                                              |                       |                          | 19070              | 0.02             |
| 49                                                                                 | GMO IMPLEMENTATION FUND A SERIES OF GMO TRUST                                                   | 40 ROWES WHARF BOSTON MASSACHUSETTS                                                                            |                       |                          | 18697              | 0.02             |
| 50                                                                                 | WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC.                                                     | C/O CIM GLOBAL BUSINESS 33 EDITH CAVELL STREET PORT LOUIS                                                      |                       |                          | 17572              | 0.02             |
| 51                                                                                 | GAM INVESTMENT MANAGEMENT (SWITZERLAND) AG ON BEHALF OF REUSS - ASIA SMALL CAP                  | HARDSTRASSE 201 CH 8037 ZURICH SWITZERLAND                                                                     |                       |                          | 16529              | 0.02             |

| Sr. No. | Name of FI/FPI                                                                                                       | Address                                                                         | Date of Incorporation | Country of Incorporation | No. of Shares held | % of Shares held |
|---------|----------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|-----------------------|--------------------------|--------------------|------------------|
| 52      | OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH ACADIAN ASSET MANAGEMENT                                   | 350 WINTER ST NE SALEM OREGON                                                   |                       |                          | 16330              | 0.02             |
| 53      | BLACKROCK COLLECTIVE INVESTMENT FUNDS - ISHARES EM ERGING MARKETS EQUITY INDEX FUND (UK)                             | 12 THROGMORTON AVENUE LONDON                                                    |                       |                          | 15555              | 0.02             |
| 54      | RUSSELL INVESTMENT COMPANY PLC - ACADIAN EMERGING MARKETS MANAGED VOLATILITY EQUITY UCITS - ACADIAN ASSET MANAGEMENT | 78 SIR JOHN ROGERSONS QUAY DUBLIN                                               |                       |                          | 14972              | 0.02             |
| 55      | ST. JAMES'S PLACE BALANCED MANAGED UNIT TRUST MANA GED BY GRANTHAM, MAYO, VAN OTTERLOO & CO. LLC                     | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI |                       |                          | 14289              | 0.02             |
| 56      | PACS-LIFE FUND                                                                                                       | 30 Cecil Street 30-01 Prudential Tower                                          |                       |                          | 14204              | 0.02             |
| 57      | SEB FUND 2 SEB ASIA EX. JAPAN FUND                                                                                   | 4 RUE PETERNELCHEN LUXEMBOURG                                                   |                       |                          | 13260              | 0.02             |
| 58      | GYMKHANA PARTNERS L.P.                                                                                               | c o Farley Capital 777 Third Avenue 27th Floor New York NY                      |                       |                          | 13175              | 0.02             |
| 59      | RUSSELL INVESTMENT COMPANY PLC - ACADIAN EMERGING MARKETS EQUITY UCITS II                                            | 78 SIR JOHN ROGERSON'S QUAY DUBLIN                                              |                       |                          | 13064              | 0.02             |
| 60      | PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO                                                                           | 277 EAST TOWN STREET COLUMBUS OH 43215 USA                                      |                       |                          | 12269              | 0.01             |
| 61      | ACADIAN EMERGING MARKETS PORTFOLIO                                                                                   | ONE FREEDOM VALLEY DRIVE OAKS PENNSYLVANIA                                      |                       |                          | 11843              | 0.01             |
| 62      | MERCER EMERGING MARKETS EQUITY FUND                                                                                  | 99 HIGH STREET BOSTON MA                                                        |                       |                          | 11625              | 0.01             |
| 63      | THE BOARD OF REGENTS OF THE UNIVERSITY OF TEXAS SY STEM-ACADIAN ASSET MANAGEMENT                                     | 401 CONGRESS AVENUE SUITE 2800 AUSTIN TEXAS                                     |                       |                          | 11309              | 0.01             |
| 64      | THE BOMBARDIER TRUST (UK)                                                                                            | AIRPORT ROAD BELFAST NORTHERN IRELAND                                           |                       |                          | 11260              | 0.01             |
| 65      | VIRGINIA RETIREMENT SYSTEM MANAGED BY ACADIANASSET MANAGEMENT                                                        | 1200 EAST MAIN STREET RICHMOND VIRGINIA                                         |                       |                          | 11078              | 0.01             |
| 66      | 1199 SEIU HEALTH CARE EMPLOYEES PENSION FUND                                                                         | 330 West 42nd Street New York City NY                                           |                       |                          | 10783              | 0.01             |
| 67      | MV SCIF MAURITIUS                                                                                                    | C/O MULTICONSULT LIMITED ROGER HOUSE 5 PRESIDENT KENNEDY STREET PORT LOUIS      |                       |                          | 10686              | 0.01             |
| 68      | IOWA PUBLIC EMPLOYEES' RETIREMENT SYSTEM - UBS ASS ET MANAGEMENT (AMERICAS) INC                                      | 7401 REGISTER DRIVE DES MOINES IOWA                                             |                       |                          | 10541              | 0.01             |
| 69      | NEW YORK STATE COMMON RETIREMENT FUND                                                                                | OFFICE OF THE STATE COMPTROLLER 110 STATE STREET 14TH FLOOR ALBANY NY           |                       |                          | 10190              | 0.01             |
| 70      | BOMBARDIER TRUST (CANADA) GLOBAL EQUITIES FUND                                                                       | 800 RENE LEVESQUE BOULEVARD WEST SUITE 2900 MONTREAL QUEBEC                     |                       |                          | 10126              | 0.01             |
| 71      | THE WALT DISNEY COMPANY RETIREMENT PLAN MASTER TRU ST - ACADIAN ASSET MANAGEMENT INC                                 | 500 S BUENA VISTA STREET BURBANK CALIFORNIA USA                                 |                       |                          | 10062              | 0.01             |
| 72      | EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL F UNDS ICVC                                                         | 20 Triton Street Regents Place London NW1 3BF U K                               |                       |                          | 9606               | 0.01             |
| 73      | PENSION RESERVES INVESTMENT TRUST FUND - ACADIAN                                                                     | C/O PRIM BOARD 84 STATE STREET SUITE 250 BOSTON BOSTON MASSACHUSETTS            |                       |                          | 9604               | 0.01             |
| 74      | BUMA-UNIVERSAL-FONDS I                                                                                               | THEODOR-HEUSS-ALLEE 70 FRANKFURT AM MAIN HESSEN                                 |                       |                          | 9595               | 0.01             |

| Sr. No. | Name of FI/FPI                                                                                             | Address                                                                                               | Date of Incorporation | Country of Incorporation | No. of Shares held | % of Shares held |
|---------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|-----------------------|--------------------------|--------------------|------------------|
| 75      | PIMCO EQUITY SERIES PIMCO RAE FUNDAMENTAL EMERGING MARKETS FUND                                            | 650 NEWPORT CENTER DRIVE NEWPORT BEACH CALIFORNIA                                                     |                       |                          | 9560               | 0.01             |
| 76      | COMMONWEALTH GLOBAL SHARE FUND 30                                                                          | 11 Harbour Street Sydney New South Wales                                                              |                       |                          | 9503               | 0.01             |
| 77      | BOFA SECURITIES EUROPE SA - ODI                                                                            | CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   |                       |                          | 9420               | 0.01             |
| 78      | VANGUARD TOTAL WORLD STOCK INDEX FUND                                                                      | 100 VANGUARD BOULEVARD MALVERN PA                                                                     |                       |                          | 9311               | 0.01             |
| 79      | TEACHERS' RETIREMENT SYSTEM OF THE STATE OF ILLINOIS - ACADIAN ASSET MANAGEMENT LLC                        | 2815 WEST WASHINGTON STREET SPRINGFIELD ILLINOIS                                                      |                       |                          | 9258               | 0.01             |
| 80      | VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST                | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI           |                       |                          | 9256               | 0.01             |
| 81      | FLORIDA RETIREMENT SYSTEM - ACADIAN ASSET MANAGEMENT, INC.                                                 | 1801 HERMITAGE BLVD SUITE 100 TALLAHASSEE FLORIDA                                                     |                       |                          | 8923               | 0.01             |
| 82      | STATE OF WISCONSIN INVESTMENT BOARD - ACADIANEMERGING EQUITY                                               | 121 EAST WILSON STREET MADISON WISCONSIN PO BOX 7842                                                  |                       |                          | 8910               | 0.01             |
| 83      | PGGM WORLD EQUITY II B. V.                                                                                 | Noordweg-Noord 150 Zeist                                                                              |                       |                          | 8777               | 0.01             |
| 84      | MONETARY AUTHORITY OF SINGAPORE ACCOUNT 1                                                                  | 10 SHENTON WAY MAS BUILDING SINGAPORE                                                                 |                       |                          | 8758               | 0.01             |
| 85      | VANGUARD FUNDS PUBLIC LIMITED COMPANY - VANGUARD FTSE EMERGING MARKETS UCITS ETF                           | 70 SIR JOHN ROGERSON'S QUAY DUBLIN                                                                    |                       |                          | 8352               | 0.01             |
| 86      | EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.                      | 6300 Bee Cave Road Building One Austin Texas 78746 USA                                                |                       |                          | 8255               | 0.01             |
| 87      | STICHTING SHELL PENSIOENFONDS                                                                              | BOGAARDPLEIN 41 RIJSWIJK ZH                                                                           |                       |                          | 8200               | 0.01             |
| 88      | GOLDMAN SACHS (SINGAPORE) PTE. - ODI                                                                       | STANDARD CHARTERED BANK SECURITIES SERVICES OPERATIONS 3RD FLOOR, 23-25, M.G ROAD FORT, MUMBAI, INDIA |                       |                          | 8029               | 0.01             |
| 89      | FIRST STATE SUPERANNUATION SCHEME                                                                          | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                       |                       |                          | 7863               | 0.01             |
| 90      | THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY                                  | 6300 Bee Cave Road Building One Austin Texas 78746 USA                                                |                       |                          | 7036               | 0.01             |
| 91      | EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO                                                           | CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   |                       |                          | 7013               | 0.01             |
| 92      | LOCKHEED MARTIN CORPORATION MASTER RETIREMENT TRUST                                                        | 50 South LaSalle Street Chicago IL                                                                    |                       |                          | 6963               | 0.01             |
| 93      | GMO GLOBAL REAL RETURN (UCITS) FUND, A SUB-FUND OF GMO FUNDS PLC                                           | 30 Herbert St Dublin 2                                                                                |                       |                          | 6886               | 0.01             |
| 94      | COMMONWEALTH OF PENNSYLVANIA PUBLIC SCHOOL EMPLOYEES RETIREMENT SYSTEM/GE328-ACADIAN ASSET MANAGEMENT INC. | 5 NORTH FIFTH STREET HARRISBURG PA                                                                    |                       |                          | 6766               | 0.01             |
| 95      | NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST                                                              | 2001 K Street NW Suite 1100 Washington DC                                                             |                       |                          | 6524               | 0.01             |
| 96      | GMO EMERGING MARKETS EQUITY FUND, A SUB-FUND OF GMO FUNDS PLC                                              | 30 Herbert St Dublin 2                                                                                |                       |                          | 6500               | 0.01             |
| 97      | BNP PARIBAS ARBITRAGE                                                                                      | 160 162 BD MACDONALD PARIS                                                                            |                       |                          | 6039               | 0.01             |

| Sr. No. | Name of FII/FPI                                                                                             | Address                                                                                                          | Date of Incorporation | Country of Incorporation | No. of Shares held | % of Shares held |
|---------|-------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-----------------------|--------------------------|--------------------|------------------|
| 98      | MERCER QIF FUND PLC - MERCER INVESTMENT FUND 1 - R OBECO INSTITUTIONAL ASSET MANAGEMENT B.V.                | 70 SIR JOHN ROGERSON'S QUAY DUBLIN                                                                               |                       |                          | 6011               | 0.01             |
| 99      | LEGAL & GENERAL GLOBAL EMERGING MARKETS INDEX FUND                                                          | 50 Bank Street London                                                                                            |                       |                          | 5437               | 0.01             |
| 100     | STICHTING BEDRIJFSTAKPENSIOENFONDS VOOR DE DETAILH ANDEL                                                    | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI             |                       |                          | 5338               | 0.01             |
| 101     | COPTHALL MAURITIUS INVESTMENT LIMITED - NON ODI AC COUNT                                                    | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI                      |                       |                          | 5197               | 0.01             |
| 102     | DOVETAIL INDIA FUND CLASS 5 SHARES                                                                          | 4th Floor 19 Bank Street Cybercity Ebene                                                                         |                       |                          | 5000               | 0.01             |
| 103     | FIRTH ASIAN SMALLER COMPANIES FUND                                                                          | C O ELIAN FIDUCIARY SERVICES CAYMAN LIMITED 89 NEXUS WAY CAMANA BAY GRAND CAYMAN                                 |                       |                          | 4856               | 0.01             |
| 104     | PUBLIC EMPLOYEES RETIREMENT SYSTEM OF MISSISSIPPI                                                           | 429 MISSISSIPPI STREET JACKSON MISSISSIPPI                                                                       |                       |                          | 4749               | 0.01             |
| 105     | THE NOMURA TRUST AND BANKING CO., LTD. RE NOMURA - ACADIAN GLOBAL EMERGING MARKETS EQUITY FUND MOTHE R FUND | 2-2-2 Otemachi Chiyoda-ku Tokyo                                                                                  |                       |                          | 4743               | 0.01             |
| 106     | ACADIAN EMERGING MARKETS ALPHA PLUS FUND TRUST                                                              | 320 BAY STREET TORONTO ONTARIO                                                                                   |                       |                          | 4598               | 0.01             |
| 107     | UNIVERSAL GOLDEN FUND                                                                                       | 3RD FLOOR NEXTERACOM TOWER III OFFICE 01 EBENE CYBERCITY                                                         |                       |                          | 4510               | 0.01             |
| 108     | FIDELITY COMMON CONTRACTUAL FUND II/FIDELITY GLOBA L EMERGING MARKETS EQUITY FUND                           | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI             |                       |                          | 4232               | 0.00             |
| 109     | PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF COLORAD O                                                        | 1301 Pennsylvania Street Denver CO                                                                               |                       |                          | 4213               | 0.00             |
| 110     | VANGUARD INTERNATIONAL HIGH DIVIDEND YIELD INDEX F UND                                                      | 100 VANGUARD BOULEVARD MALVERN PA                                                                                |                       |                          | 4192               | 0.00             |
| 111     | ACADIAN ALL COUNTRY WORLD EX US FUND                                                                        | 260 FRANKLIN STREET BOSTON MASSACHUSETTS                                                                         |                       |                          | 4149               | 0.00             |
| 112     | UTAH STATE RETIREMENT SYSTEMS                                                                               | 540 East 200 South Salt Lake City Utah                                                                           |                       |                          | 4093               | 0.00             |
| 113     | INVESCO INDIA ETF                                                                                           | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI |                       |                          | 4042               | 0.00             |
| 114     | LAERERNES PENSION FORSIKRINGSAKTIESELSKAB                                                                   | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI                      |                       |                          | 3981               | 0.00             |
| 115     | T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA IN VESTMENT DIMENSIONS GROUP INC.                           | 6300 Bee Cave Road Building One Austin Texas 78746 USA                                                           |                       |                          | 3866               | 0.00             |
| 116     | WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTM ENT DIMENSIONS GROUP INC.                                | 6300 Bee Cave Road Building One Austin Texas 78746 USA                                                           |                       |                          | 3772               | 0.00             |
| 117     | VANGUARD FUNDS PUBLIC LIMITED COMPANY - VANGUARD F TSE ALL- WORLD UCITS ETF                                 | 70 SIR JOHN ROGERSON'S QUAY DUBLIN                                                                               |                       |                          | 3740               | 0.00             |
| 118     | AXA ROSENBERG EQUITY ALPHA TRUST - AXA ROSENBERG A LL COUNTRY ASIA PACIFIC EX-JAPAN SMALL CAP ALPHA F UND   | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI             |                       |                          | 3706               | 0.00             |
| 119     | CAISSE DE DEPOT ET PLACEMENT DU QUEBEC                                                                      | 1000 PLACE JEAN- PAUL- RIOPELLE MONTREAL QUEBEC                                                                  |                       |                          | 3703               | 0.00             |

| Sr. No. | Name of FI/FPI                                                                                       | Address                                                                                                          | Date of Incorporation | Country of Incorporation | No. of Shares held | % of Shares held |
|---------|------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-----------------------|--------------------------|--------------------|------------------|
| 120     | AXA ROSENBERG MANAGEMENT IRELAND LIMITED RE AXA RO SENBERG GLOBAL EMERGING MARKETS EQUITY ALPHA FUND | 78 SIR JOHN ROGERSONS QUAY DUBLIN 2                                                                              |                       |                          | 3432               | 0.00             |
| 121     | BOFA SECURITIES EUROPE SA                                                                            | CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI              |                       |                          | 3080               | 0.00             |
| 122     | PEOPLE'S BANK OF CHINA                                                                               | NO 32 CHENGFANG STREET XICHENG DISTRICT BEIJING                                                                  |                       |                          | 3059               | 0.00             |
| 123     | MERCER UCITS COMMON CONTRACTUAL FUND - NFU MUTUAL GLOBAL REGIONAL CORE FUND                          | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                                  |                       |                          | 3014               | 0.00             |
| 124     | HANDELSBANKEN EMERGING MARKETS INDEX                                                                 | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI                      |                       |                          | 3002               | 0.00             |
| 125     | CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN                                                     | 360 E Second Street Suite 600 Los Angeles CA                                                                     |                       |                          | 2877               | 0.00             |
| 126     | UBS ASSET MANAGEMENT LIFE LTD                                                                        | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI                      |                       |                          | 2799               | 0.00             |
| 127     | ERS PUBLIC EQUITY EMERGING MANAGER II LP                                                             | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI |                       |                          | 2500               | 0.00             |
| 128     | GMO BENCHMARK - FREE FUND, A SERIES OF GMO TRUST                                                     | 40 ROWES WHARF BOSTON MASSACHUSETTS                                                                              |                       |                          | 2115               | 0.00             |
| 129     | SEI TRUST COMPANY, AS TRUSTEE ON BEHALF OF ACADIAN GLOBAL SMALL CAP EQUITY CIT FUND                  | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI   |                       |                          | 2012               | 0.00             |
| 130     | FIDELITY COMMON CONTRACTUAL FUND II/FIDELITY ASIA PACIFIC EX-JAPAN EQUITY FUND                       | CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI              |                       |                          | 2000               | 0.00             |
| 131     | WISDOMTREE ISSUER PUBLIC LIMITED COMPANY - WISDOMT REE EMERGING MARKETS EQUITY INCOME UCITS ETF      | 25 28 NORTH WALL QUAY DUBLIN                                                                                     |                       |                          | 1990               | 0.00             |
| 132     | LGT SELECT FUNDS - LGT SELECT EQUITY EMERGING MARK ETS                                               | HERRENGASSE 12 VADUZ FL 9490                                                                                     |                       |                          | 1948               | 0.00             |
| 133     | PIMCO RAE FUNDAMENTAL EMERGING MARKETS FUND LLC                                                      | 2711 CENTERVILLE ROAD SUITE 400 WILMINGTON DE                                                                    |                       |                          | 1935               | 0.00             |
| 134     | DFA INTERNATIONAL CORE EQUITY FUND                                                                   | SUITE 1520 - 1500 WEST GEORGIA STREET VANCOUVER BRITISH COLUMBIA                                                 |                       |                          | 1918               | 0.00             |
| 135     | VANGUARD ESG INTERNATIONAL STOCK ETF                                                                 | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI                      |                       |                          | 1803               | 0.00             |
| 136     | AMONIS NV                                                                                            | DE JAMBLINNE DE MEUXPLEIN 4 BRUSSELS                                                                             |                       |                          | 1751               | 0.00             |
| 137     | EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENS IONAL FUNDS II PUBLIC LIMITED COMPANY             | 25/28 NORTH WALL QUAY DUBLIN I                                                                                   |                       |                          | 1701               | 0.00             |
| 138     | ACADIAN EMERGING MARKETS EQUITY UCITS                                                                | 78 SIR JOHN ROGERSON'S QUAY DUBLIN                                                                               |                       |                          | 1630               | 0.00             |
| 139     | LOCKHEED MARTIN CORPORATION DEFINED CONTRIBUTION P LANS MASTER TRUST                                 | 2 AVENUE DE LAFAYETTE BOSTON MASSACHUSETTS                                                                       |                       |                          | 1617               | 0.00             |
| 140     | POLICEMENS ANNUITY AND BENEFIT FUND OF CHICAGO                                                       | 221 N LaSalle Street Room 1626 Chicago Illinois                                                                  |                       |                          | 1587               | 0.00             |

| Sr. No. | Name of FI/FPI                                                                                                         | Address                                                                                                          | Date of Incorporation | Country of Incorporation | No. of Shares held | % of Shares held |
|---------|------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-----------------------|--------------------------|--------------------|------------------|
| 141     | VANGUARD FUNDS PUBLIC LIMITED COMPANY - VANGUARD F TSE ALL-WORLD HIGH DIVIDEND YIELD UCITS ETF                         | 70 SIR JOHN ROGERSON'S QUAY DUBLIN                                                                               |                       |                          | 1479               | 0.00             |
| 142     | EMERGING MARKETS TARGETED VALUE PORTFOLIO                                                                              | CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI              |                       |                          | 1473               | 0.00             |
| 143     | HSBC INDEX TRACKER INVESTMENTS FUNDS FTSE ALL-WORL D INDEX FUND                                                        | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI |                       |                          | 1389               | 0.00             |
| 144     | VANGUARD ASIA EX JAPAN SHARES INDEX FUND                                                                               | LEVEL 34 FRESHWATER PLACE 2 SOUTH BANK BOULEVARD SOUTH BANK VICTORIA                                             |                       |                          | 1371               | 0.00             |
| 145     | OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH DIMENSIONAL FUND ADVISORS LP                                 | 350 WINTER STREET NE SUITE 100 SALEM OREGON                                                                      |                       |                          | 1364               | 0.00             |
| 146     | FRANKLIN LIBERTYSHARES ICAV - FRANKLIN FTSE INDIA UCITS ETF                                                            | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                                  |                       |                          | 1312               | 0.00             |
| 147     | STATE STREET IRELAND UNIT TRUST STATE STREET IUT E MERGING MARKET EQUITY INDEX FUND                                    | 78 SIR JOHN ROGERSONS QUAY DUBLIN 2 IRELAND                                                                      |                       |                          | 1285               | 0.00             |
| 148     | JOHN HANCOCK FUNDS II EMERGING MARKETS FUND                                                                            | 601 CONGRESS STREET BOSTON MA 02210 2805 USA                                                                     |                       |                          | 1238               | 0.00             |
| 149     | MULTIPARTNER SICAV - TATA INDIA EQUITY FUND                                                                            | CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI              |                       |                          | 1200               | 0.00             |
| 150     | ING INTERNATIONAL SMALLCAP FUND                                                                                        | 7337 E Doubletree Ranch Road Scottsdale AZ NA                                                                    |                       |                          | 1199               | 0.00             |
| 151     | BLACKROCK AQUILA EMERGING MARKETS FUND                                                                                 | 12 THROGMORTON AVENUE LONDON                                                                                     |                       |                          | 1156               | 0.00             |
| 152     | WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVE STMENT DIMENSIONS GROUP INC.                                        | 6300 Bee Cave Road Building One Austin Texas 78746 USA                                                           |                       |                          | 1098               | 0.00             |
| 153     | SEDCO CAPITAL GLOBAL FUNDS - SC GLOBAL EMERGING MA RKET EQUITIES PASSIVE FUND                                          | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI |                       |                          | 1094               | 0.00             |
| 154     | FIDELITY RUTLAND SQUARE TRUST II STRATEGIC ADVISER S EMERGING MARKETS FUND AS MANAGED BY ACADIAN ASSE T MANAGEMENT LLC | 245 SUMMER STREET BOSTON MA                                                                                      |                       |                          | 1081               | 0.00             |
| 155     | OPTIMUM FUND TRUST - OPTIMUM INTERNATIONAL FUND                                                                        | 2005 MARKET STREET PHILADELPHIA PENNSYLVANIA                                                                     |                       |                          | 1076               | 0.00             |
| 156     | TEXAS MUNICIPAL RETIREMENT SYSTEM                                                                                      | 1200 NORTH INTERSTATE 35 AUSTIN TX                                                                               |                       |                          | 1048               | 0.00             |
| 157     | DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND                                                                       | 25/28 NORTH WALL QUAY DUBLIN 1                                                                                   |                       |                          | 1042               | 0.00             |
| 158     | DFA INTERNATIONAL VECTOR EQUITY FUND                                                                                   | SUITE 1520 - 1500 WEST GEORGIA STREET VANCOUVER BRITISH COLUMBIA                                                 |                       |                          | 1029               | 0.00             |
| 159     | REASSURE LIMITED                                                                                                       | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI |                       |                          | 865                | 0.00             |
| 160     | UBS (US) GROUP TRUST                                                                                                   | ONE NORTH WACKER DRIVE CHICAGO ILLINOIS                                                                          |                       |                          | 831                | 0.00             |

| Sr. No. | Name of FII/FPI                                                                                    | Address                                                                                                          | Date of Incorporation | Country of Incorporation | No. of Shares held | % of Shares held |
|---------|----------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-----------------------|--------------------------|--------------------|------------------|
| 161     | SEI TRUST COMPANY, AS TRUSTEE ON BEHALF OF ACADIAN ALL COUNTRY WORLD EX US EQUITY CIT FUND         | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI |                       |                          | 826                | 0.00             |
| 162     | TRUSTEES OF THE MINeworkers' PENSION SCHEME LIMITE D-MINeworkers' PENSION SCHEME                   | VENTANA HOUSE 2 CONCOURSE WAY SHEAF STREET SHEFFIELD S1 2BJ UNITED KINGDOM                                       |                       |                          | 823                | 0.00             |
| 163     | VANTAGETRUST III MASTER COLLECTIVE INVESTMENT FUND S TRUST                                         | 777 NORTH CAPITOL STREET NE SUITE 600 WASHINGTON DC                                                              |                       |                          | 800                | 0.00             |
| 164     | QIC INTERNATIONAL EQUITIES FUND                                                                    | Level 5 66 Eagle Street Brisbane                                                                                 |                       |                          | 670                | 0.00             |
| 165     | FRANKLIN TEMPLETON ETF TRUST - FRANKLIN FTSE INDIA ETF                                             | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                                  |                       |                          | 646                | 0.00             |
| 166     | COX ENTERPRISES, INC. MASTER TRUST                                                                 | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI |                       |                          | 634                | 0.00             |
| 167     | FLEXSHARES MORNINGSTAR EMERGING MARKETS FACTOR TIL T INDEX FUND                                    | 50 S LASALLE STREET CHICAGO IL                                                                                   |                       |                          | 632                | 0.00             |
| 168     | SPDR S AND P EMERGING MARKETS ETF                                                                  | STATE STREET FINANCIAL CENTER ONE LINCOLN STREET BOSTON MA                                                       |                       |                          | 598                | 0.00             |
| 169     | CI WISDOMTREE EMERGING MARKETS DIVIDEND INDEX ETF                                                  | 161 BAY STREET 27TH FL TORONTO ONTARIO                                                                           |                       |                          | 552                | 0.00             |
| 170     | COAL STAFF SUPERANNUATION SCHEME TRUSTEES LIMITED- BRITISH COAL STAFF SUPERANNUATION SCHEME        | VENTANA HOUSE 2 CONCOURSE WAY SHEAF STREET SHEFFIELD S1 2BJ UNITED KINGDOM                                       |                       |                          | 525                | 0.00             |
| 171     | SEI TRUST COMPANY, AS TRUSTEE ON BEHALF OF THE ACA DIAN EMERGING MARKETS EQUITY CIT FUND           | 1 FREEDOM VALLEY DRIVE OAKS PENNSYLVANIA                                                                         |                       |                          | 518                | 0.00             |
| 172     | GLOBAL MANAGED VOLATILITY FUND ACADIAN ASSET MANAG EMENT LLC                                       | 130 KING STREET WEST SUITE 2810 TORONTO ONTARIO                                                                  |                       |                          | 446                | 0.00             |
| 173     | VANGUARD FTSE ASIA EX JAPAN HIGH DIVIDEND YIELD IN DEX ETF                                         | C/O STATE STREET TRUST HK LIMITED 68/F TWO INTERNATIONAL FINANCE CENTRE 8 FINANCIAL STREET CENTRAL HONG KONG     |                       |                          | 397                | 0.00             |
| 174     | VANGUARD INVESTMENTS FUNDS ICVC-VANGUARD FTSE GLOB AL ALL CAP INDEX FUND                           | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                                  |                       |                          | 359                | 0.00             |
| 175     | VANGUARD INVESTMENT SERIES PLC - VANGUARD ESG EMER GING MARKETS ALL CAP EQUITY INDEX FUND          | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI          |                       |                          | 305                | 0.00             |
| 176     | WISDOMTREE GLOBAL HIGH DIVIDEND FUND                                                               | CITIBANK N.A. CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI              |                       |                          | 277                | 0.00             |
| 177     | COLONIAL FIRST STATE INVESTMENTS LIMITED AS RESPON SIBLE ENTITY FOR COMMONWEALTH SPECIALISTFUND 10 | 11 HARBOUR STREET SYDNEY                                                                                         |                       |                          | 252                | 0.00             |
| 178     | TEACHERS RETIREMENT SYSTEM OF THE CITY OF NEW YORK                                                 | 55 WATER STREET NEW YORK NEW YORK                                                                                |                       |                          | 245                | 0.00             |
| 179     | HSBC ETFS PLC - HSBC EMERGING MARKET SUSTAINABLE E QUITY UCITS ETF                                 | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI |                       |                          | 217                | 0.00             |
| 180     | KUBER CAPITAL FUND                                                                                 | BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI                          |                       |                          | 209                | 0.00             |
| 181     | SOCIETE GENERALE                                                                                   | 29 BOULEVARD HAUSSMANN PARIS                                                                                     |                       |                          | 207                | 0.00             |

| Sr. No. | Name of FII/FPI                                                                               | Address                                                                                                          | Date of Incorporation | Country of Incorporation | No. of Shares held | % of Shares held |
|---------|-----------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-----------------------|--------------------------|--------------------|------------------|
| 182     | HSBC ETFS PLC - HSBC ASIA PACIFIC EX JAPAN SUSTAIN ABLE EQUITY UCITS ETF                      | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI |                       |                          | 139                | 0.00             |
| 183     | FRANKLIN TEMPLETON ETF TRUST - FRANKLIN FTSE ASIA EX JAPAN ETF                                | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                                  |                       |                          | 114                | 0.00             |
| 184     | GOLDMAN SACHS ETF TRUST - GOLDMAN SACHS EMERGING M ARKETS EQUITY ETF                          | DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                                 |                       |                          | 87                 | 0.00             |
| 185     | JAPAN TRUSTEE SERVICES BANK, LTD. AS TRUSTEE FOR S MBCTB INNOVATION INDEX FINTECH MOTHER FUND | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI             |                       |                          | 65                 | 0.00             |
| 186     | METIS EQUITY TRUST                                                                            | 1201 Market Street Suite 1202 Wilmington DE                                                                      |                       |                          | 58                 | 0.00             |
| 187     | DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIM ENSIONAL ETF TRUST                         | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI             |                       |                          | 36                 | 0.00             |
| 188     | VANGUARD FUNDS PLC / VANGUARD ESG GLOBAL ALL CAP U CITS ETF                                   | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI |                       |                          | 23                 | 0.00             |
| 189     | MORGAN STANLEY ASIA (SINGAPORE) PTE.                                                          | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI             |                       |                          | 14                 | 0.00             |

Oracle Financial Services Software Limited

Committee Meetings

Total number of meetings held during the FY 2020-21 : 20 meetings

| Sr.<br>No. | Type of meeting                  | Date of meeting    | Total<br>number of<br>Members as<br>on the date<br>of meeting | Attendance                       |                       |
|------------|----------------------------------|--------------------|---------------------------------------------------------------|----------------------------------|-----------------------|
|            |                                  |                    |                                                               | Number of<br>members<br>attended | as % of<br>attendance |
| 11         | ESOP Allotment Committee Meeting | June 24, 2020      | 4                                                             | 4                                | 100.00                |
| 12         | ESOP Allotment Committee Meeting | July 22, 2020      | 4                                                             | 4                                | 100.00                |
| 13         | ESOP Allotment Committee Meeting | August 24, 2020    | 4                                                             | 4                                | 100.00                |
| 14         | ESOP Allotment Committee Meeting | September 23, 2020 | 4                                                             | 4                                | 100.00                |
| 15         | ESOP Allotment Committee Meeting | October 20, 2020   | 4                                                             | 4                                | 100.00                |
| 16         | ESOP Allotment Committee Meeting | November 25, 2020  | 4                                                             | 4                                | 100.00                |
| 17         | ESOP Allotment Committee Meeting | December 22, 2020  | 4                                                             | 4                                | 100.00                |
| 18         | ESOP Allotment Committee Meeting | January 20, 2021   | 4                                                             | 4                                | 100.00                |
| 19         | ESOP Allotment Committee Meeting | February 24, 2021  | 4                                                             | 4                                | 100.00                |
| 20         | ESOP Allotment Committee Meeting | March 24, 2021     | 4                                                             | 4                                | 100.00                |



**PRASHANT DIWAN**

**B.Com, LL.B, FCS, AICWA**

**Practicing Company Secretary**

**B-703/704, Anand Sagar**

**M. G. Road, Kandivali (W), Mumbai 400067**

**Form No. MGT-8**

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]*

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I have carried out examination of the registers, records, books and papers through digital mode of **Oracle Financial Services Software Limited** (the Company), as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31<sup>st</sup> March, 2021. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. its status under the Act;
  2. maintenance of registers/records & making entries therein within the time prescribed thereof;
  3. filing of forms and returns with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities as the case may be within the prescribed time or with additional fees as applicable;
  4. calling/ convening/ holding meetings of Board of Directors or its committees and the meetings of the Members of the Company on due dates as stated in the Annual Return in respect of which meetings, proper notices were generally given and the proceedings including the circular resolutions have been generally recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
  5. closure of Register of Members / Security holders, as the case may be;
  6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act; *(no advances/loans given)*
  7. contracts/arrangements with related parties as specified in section 188 of the Act; *(section 188 not applicable as the contracts/arrangements entered into with related parties are at Arm's length basis and in ordinary course of business)*
  8. issued and allotted 1,82,935 Equity Shares to the employees of the Company under Employee Stock Option Plan ("ESOP") on April 22, 2020; May 15, 2020; June 24, 2020; July 22, 2020; August 24, 2020; September 23, 2020; October 20, 2020; November 25, 2020; December 22, 2020; January 20, 2021; February 24, 2021 and March 24, 2021;  
*(no events took place in respect of transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates)*

**PRASHANT DIWAN****B.Com, LL.B, FCS, AICWA****Practising Company Secretary****B-703/704, Anand Sagar****M. G. Road, Kandivali (W), Mumbai 400067**

9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act; *(no such event took place)*
10. (i) declaration/ payment of dividend; (ii) transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act; *(no amount was required to be transferred to the Investor Education and Protection Fund)*
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them as applicable;
13. appointment/ re-appointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act as applicable;
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act; *(no such approval was required)*
15. acceptance/ renewal/ repayment of deposits; *(no such transaction took place)*
16. borrowings from its director, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect; *(no borrowings and no creation/ modification/ satisfaction of charges)*
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act; *(no loans, investments and guarantee given and no security provided)*
18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company; *(no such events took place)*

The above report is based on the information/records and registers made available to me as were found, to the best of my knowledge, to be necessary for the purpose of Audit.

I have carried out the verification of the records through digital mode as well as relied upon the Management representation made by the Company due to prevailing conditions of COVID-19 in the country.

**Prashant Diwan**  
**Practising Company Secretary**  
FCS 1403 C. P. No.: 1979

PR: 530/2017

UDIN: \_\_\_\_\_

Place: Mumbai

Date: \_\_\_\_\_