

# Oracle Financial Services

## Crime and Compliance

# Investigation Hub

Financial information stored in operational silos and disparate transaction monitoring and sanctions detection engines means investigations into financial crime are complex, time-consuming and often incomplete. Leveraging the Oracle Enterprise Financial Crimes Graph Model which links customers, accounts, external entities, transactions and external data, Oracle Financial Services Crime and Compliance Investigation Hub is Oracle's end-user application for consolidated financial crime investigations.

### COMPREHENSIVE AND CONTEXTULISED FINANCIAL CRIME INVESTIGATION

Oracle Financial Services Crime and Compliance Investigation Hub is a portal into the Financial Crime Data Lake for investigators to rapidly view case and adhoc information. The in-built scoring, matching and correlation engines create meaningful units of investigation and pre-configured red flags and risk factors target investigative effort effectively. Oracle Financial Services Financial Crime and Compliance Investigation Hub enables financial institutions to::

- Prevent the manual collation of information from disparate sources for ad hoc investigations
- Accelerate investigations by aggregating relevant data and automatically generating case narrative and insights
- Increase investigator productivity by recommending focus areas based on risk and historic decisions
- Provide a 360 degree of a customer, external entity or account for a holistic view of alert, transactions and external data of interest.
- Utilize fuzzy matching and transliteration to identify links within the graph model and with external sources of data such as watchlist information, company hierarchy and beneficial owner details and external investigations.

### Key Business Benefits

- Richer, more contextual data on cases and case focal entities
- Immediately search full Enterprise Financial Crime Graph model for persons or entities of interest. No more having to laboriously piece together siloed data
- Search on and compute a consolidated risk score for any focal entity and get a view of transactions, accounts, related parties
- Configurable fuzzy name matching and transliteration to find suspicious parties and obfuscated links with the data

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## Key Features

- Pre-built user interfaces for case investigation, special and adhoc investigations and sanctions
- Configurable red flags and risk factors to highlight key areas for investigation
- Case summary in narrative format and case recommendation
- In-built correlation and scoring algorithms
- Exploration of the financial crimes global-graph using an interactive and visual graph explorer tool
- Utilizes proven Enterprise Financial Crimes Graph model which accelerates financial crime investigation use cases
- Integrates fully with Oracle Financial Crimes Application Data and external data sources such as watchlist and company hierarchy data and is readily usable across the enterprise financial crimes data lake
- Built on Oracle Financial Service Crime and Compliance Studio which includes a highly scalable in-memory Oracle Graph Analytics Engine (PGX), AI and machine learning.

## “FUTURE-PROOF” YOUR COMPLIANCE INVESTMENT

Oracle Financial Services Crime and Compliance Investigation Hub is built on Oracle Financial Services Crime and Compliance Studio which is our strategic platform for graph analytics, machine learning and artificial intelligence. An investment in Financial Crime and Compliance Studio and Investigation Hub is an investment in these ever more critical technologies for Financial Crime and Compliance Management.

## ABOUT ORACLE FINANCIAL SERVICES CRIME AND COMPLIANCE MANAGEMENT

Financial crime and compliance is one of four analytical subject areas in the unified Oracle Financial Services Analytical Applications (OFSA) suite. Oracle Financial Crime and Compliance Management is a family of analytical applications with comprehensive coverage of money laundering, sanctions, financial fraud, and onboarding compliance needs. Along with Oracle Financial Services Crime and Compliance Studio, this family of applications includes Oracle’s best-in-class, integrated Anti-Money Laundering, Enterprise Case Management, Know Your Customer, Transaction Filtering, Customer Screening, Enterprise Fraud, and Trading and Broker Compliance applications.

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## Integrated Cloud Applications & Platform Services

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