MySQL Autopilot Indexing (Limited Availability)

Machine-learning-powered, workload–aware index recommendations for OLTP workloads

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Purpose statement

This document provides an overview of features and enhancements included in MySQL HeatWave. It is intended solely to help you assess the benefits of HeatWave and to plan your I.T. projects.

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Some of the benchmark queries are derived from the TPC-C benchmark, but results are not comparable to published TPC-C benchmark results since they do not comply with the TPC-C specification.
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**Introduction**

MySQL HeatWave is a fully managed database service that lets developers quickly develop and deploy secure, cloud native applications using the world’s most popular open-source database. MySQL HeatWave is the only MySQL cloud service with an integrated in-memory query accelerator. It combines transactions, analytics, and machine learning services into one MySQL database, delivering real-time analytics without the complexity, latency, and cost of ETL duplication. It also includes MySQL HeatWave Lakehouse, letting users process and query hundreds of terabytes of data in object store – in a variety of file formats, such as CSV, Parquet, Avro, and Aurora/Redshift backups.

MySQL Autopilot automates many of the most important and often challenging aspects of achieving high query performance at scale - including provisioning, data loading, query execution, and failure handling. It improves performance and scalability without requiring database tuning expertise, increases the productivity of developers and DBAs, and helps eliminate human errors. MySQL Autopilot uses advanced techniques to sample data, collect statistics on data and queries, and build machine learning models to model memory usage, as well as network load and execution time. These machine learning models are then used by MySQL Autopilot to execute its core capabilities.

MySQL Autopilot features at-a-glance

MySQL Autopilot is highly useful for OLTP, analytics, and machine learning workloads.

For OLTP applications, MySQL Autopilot has introduced Auto Thread Pooling which helps maintain throughput at high concurrency rates by performing smart admission control. It also includes Auto Shape Prediction which recommends the optimal buffer size and its associated shape for a given OLTP workload, helping users to always get the best price-performance.
Problems with manual performance tuning using MySQL indexes

Creating an optimal set of indexes has always been a challenging task for DBAs. On one hand, creating too few indexes results in low query performance, especially for SELECTs. On the other hand, creating too many indexes leads to excessive index maintenance during DMLs, which in turn, also hampers performance. Furthermore, the existence of too many indexes takes up storage and can also cause the query compilation to slow down as the optimizer tries to evaluate the best index candidate for a given table/query. For DBAs to recommend indexes, not only do they need to have a deep understanding of the ways in which indexes impact various query constructs (e.g., WHERE predicates, JOINs, ORDER BY), but they also need to come up with an optimal and minimal set of indexes for a given workload that maintains a balance between performance and storage. In addition, if the user workload changes, the DBA needs to revisit the index choices all over again, making their task quite daunting.

MySQL Autopilot Indexing

MySQL Autopilot Indexing (currently in limited availability) is an ML-based technology designed to optimize database systems for better cost and performance. With Autopilot Indexing, database administrators no longer need to manually identify which indexes are most beneficial for their workload. Autopilot Indexing automatically generates secondary index recommendations for creating and dropping indexes based on the current workload. Autopilot Indexing considers both the query performance and the cost of maintaining the indexes when generating recommendations. It provides performance and storage estimations, as well as explanations for the recommendations it generates. The Autopilot Indexing interface consists of a simple and intuitive console that customers can use to view and analyze the projected performance and storage impact of recommended index suggestions. This makes it easy to foresee the impact of changes to the database systems before applying the suggestions.

With MySQL Autopilot Indexing, users can easily identify and resolve performance issues with their database systems. Autopilot Indexing has a comprehensive set of features that allow users to tune the performance of database systems, such as:

1. Considers both query and DML performance (index maintenance cost)
2. Recommends CREATE and DROP of indexes
3. Generates DDLs for index creation/drop
4. Provides performance prediction (per query and total workload)
5. Provides storage prediction for the recommended indexes
6. Provides an explanation for the recommendations
7. Console integration to improve user experience
Autopilot Indexing Features

ML-powered automation
In the MySQL InnoDB engine, the base tables are stored in a B-Tree structure based on the primary keys that are either user-specified or auto-generated. Hence, data lookup based on the primary keys is very efficient. For many OLTP workloads, fast lookup on primary keys alone is not enough; secondary indexes are needed to further improve performance, and they play a crucial role in performance tuning. Unfortunately, secondary indexes are hard to determine manually as they involve complex data relationships. Autopilot Indexing uses advanced machine learning to predict secondary indexes based on the workload.

Unlike popular "what-if" approaches, which create hypothetical indexes by relying on the query optimizer’s cost estimation for index selection, Autopilot Indexing extracts features from existing query plans and uses machine learning models to estimate the performance impact of index candidates. By using ML models along with workload features, Autopilot Indexing:
• Takes guesswork or trial-and-error out of picking optimal indexes.
• Dynamically recommends indexes as workload changes.
• Provides explainable and quantifiable index suggestions (e.g., 2x better performance with 20% reduced storage)

Using ML models also enables Autopilot Indexing to adapt and generalize across different workloads, datasets, servers, and cloud environment characteristics. Autopilot Indexing ML models are constantly updated via a large variety of workloads, and new models are generated automatically for every release.

Autopilot Indexing can model desired optimization targets such as throughput, latency, and storage. As a result, Autopilot Indexing can:
• Model performance impact without creating the indexes (metadata OR the data)
• Impose minimal compute or storage overhead on MySQL HeatWave instances.
• Help validate the new index candidates without executing the queries in the background

Query- and DML-aware
There are three key user benefits of Autopilot Indexing when compared to similar approaches:
1. Both query and DML performance are considered
2. Get recommendations not only for the creation of new indexes but also for dropping existing indexes that are no longer needed.
3. Storage and performance predictions are provided for the indexes recommended, which enable users to balance the performance and storage gains with the index maintenance costs.
High-level diagram showing a sample user workload and indexes before/after using MySQL Autopilot Indexing.

The diagram above (left hand-side) depicts a sample user workload containing SELECT (#1, #2, #3) and DML (#4, #5) queries accessing columns of four different tables. In this scenario, the user workload already has two of the columns indexed. Autopilot Indexing suggests CREATE and DROP recommendations to optimize this workload. To do so, it not only considers the performance improvement but also the index maintenance cost, which can significantly impact DML operations, and recommends actions that strike a balance between query and DML performance. This ensures that your database remains efficient and optimized for both types of operations.

The left-hand side depicts a case where the user attempted to create appropriate indexes for their OLTP workload. Unfortunately, we observe that despite the best effort, some of the accessed columns (#1, #2, #5) do not possess an index, causing a potentially expensive scan of the base table. Furthermore, we also observe that the user-picked indexes are not necessarily used, causing an unnecessary storage overhead (i.e., indexes created for the blue base table).

The right-hand side depicts the state of the database system after the user took advantage of Autopilot indexing. Upon creating the right set of indexes, we observe that (i) queries use newly created secondary indexes (#1, #2), (ii) columns that receive DML activity use newly created indexes (#5), while for certain columns’ DMLs maintenance cost prevented an index recommendation (heavy DML activity of DML #4 shown with dark color), and (iii) unused indexes are dropped to optimize storage cost and efficiency of DML operations on the affected tables (i.e., index created for the blue base table).

Generates DDLs
Autopilot Indexing generates the necessary DDL (Data Definition Language) statements for index creation and drop so it is easy for the user to apply the recommendations either via the console or SQL interface.

Performance prediction per queries and overall workload
Autopilot Indexing uses machine learning to predict the performance of queries and DML statements with different candidate indexes. This allows the system to
make informed recommendations for creating and dropping indexes based on the predicted performance improvements. Autopilot Indexing has several individual models that help to predict the performance of the overall query. The machine learning models are trained on offline data and are constantly updated across versions to ensure that they are accurate and up-to-date with the latest version of the MySQL database system. The use of machine learning models also precludes the need to create either metadata or data for indexes, saving storage and compute costs as well as time during the index recommendation process.

**Storage prediction**

Autopilot Indexing provides storage prediction via machine learning. This allows the system to recommend the creation and drop of indexes based on the impact on storage. The storage predictions show the potential storage impact of the recommended changes. The storage prediction models are also trained on offline data and are constantly updated to ensure that they are accurate and up-to-date with the latest version of the MySQL database system.

**Explanation for the recommendations**

Autopilot Indexing provides explanations for the recommended index changes, including the performance and storage benefits. This helps you to understand the reasons behind the recommendations. For example, a DROP INDEX suggestion can be made because the index was *unused* or *duplicated*, while a CREATE INDEX could be suggested because it helps a query use an *index scan* instead of a *table scan*, thereby improving performance.

**Autopilot Indexing Results**

The diagram below presents the benefits of using Autopilot Indexing. Performance benefits of Autopilot Indexing are measured by using industry-standard OLTP benchmarks, which by default contain good, hand-tuned indexes. Our results show that Autopilot Indexing is not only able to identify indexes required for an OLTP workload, but it could also further improve the performance even when the baseline system is already tuned (e.g., Seats benchmark).

The quantitative benefits of using MySQL Autopilot Indexing
Autopilot Indexing User Interface

The Autopilot Indexing Advisor integrates with the MySQL HeatWave on AWS service console that allows users to see recommended index suggestions, navigate affected SQL statements for each recommendation, and visualize the performance and storage benefits of the recommended index changes. This makes it easier to understand the impact of the changes and make informed decisions.

In the console, users find the Autopilot Index Advisor in the Workspaces tab. The console screenshot below depicts the landing page of the Autopilot Index Advisor. The page is populated with recommendations from a sample workload that resulted in five different secondary index-related actions. The label (1) shows the recommendations that include CREATE and DROP indexes. Similarly, label (2) shows the exact reason why a certain index recommendation was made; in this case, the reasons vary between missing index, unused index, and duplicate index. The users are also presented with the indexed columns and performance improvement labels, shown asHIGH and LOW (for DROP suggestions no performance impact is presented given that such indexes were unused).

The second console UI figure depicts an additional window of the MySQL Autopilot Index console that lists the affected query statements based on the selected index recommendation(s). Each affected SQL statement is fully outlined at the bottom screen with additional execution metadata such as the number of times that a particular statement was executed in the analyzed workload. The screenshot label (3) shows the performance improvement estimation per query. Similarly, the screenshot label (4) shows the total storage impact when selected index suggestions are applied. By doing so, the users can understand both the performance and storage impact of applying the indexing recommendations. To apply the suggestions, the users can simply press the “Apply Recommendations” button and proceed with creating and dropping indexes based on the listed suggestions.
Autopilot Indexing is a powerful tool for database systems performance optimization, using machine learning to automate the creation, drop, and maintenance of indexes—helping customers eliminate the time-consuming tasks of creating optimal indexes for their OLTP workloads and maintaining those over time as workloads evolve. It provides performance and storage predictions along with an explanation for the recommendations and integrates with the console of the database system.