



Oracle Database 11.2 Upgrade Methods

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Agenda



Regular Upgrade Methods



Post Upgrade Tasks



Upgrade Alternatives

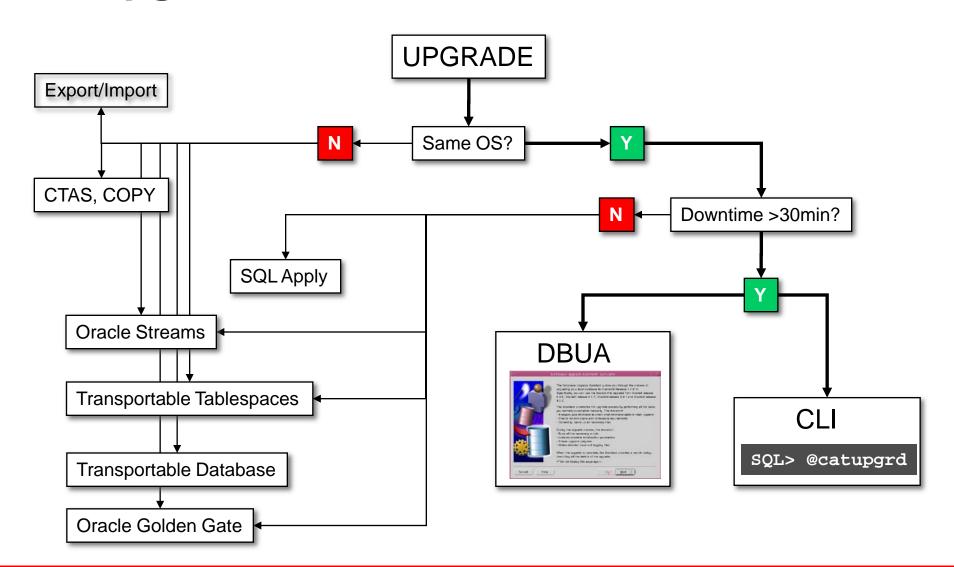


Summary



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Upgrade Alternatives



"Regular" Database Upgrade

- Upgrade duration is mainly dependent on the number of installed components
 - Completes usually in 20-90 minutes
 - No difference between DBUA and command line upgrade
 - This is not a recommendation to deinstall any components!!!

Component	HH:MM:SS	
Oracle Server	00:16:17	
JServer JAVA Virtual Machine	00:05:19	
Oracle Workspace Manager	00:01:01	
Oracle Enterprise Manager	00:10:13	
Oracle XDK	00:00:48	
Oracle Text	00:00:58	
Oracle XML Database	00:04:09	
Oracle Database Java Packages	00:00:33	
Oracle Multimedia	00:07:43	
Oracle Expression Filter	00:00:18	
Oracle Rule Manager	00:00:12	
Gathering Statistics	00:04:53	
Total Upgrade Time: 00:52:31		

Total Upgrade Time: 00:	30:47
Gathering Statistics	00:02:43
Oracle Database Java Packages	00:00:33
Oracle XML Database	00:04:09
Oracle Text	00:00:58
Oracle XDK	00:00:48
JServer JAVA Virtual Machine	
Component Oracle Server	00:16:17
Component	HH:MM:SS

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- Features:
 - Graphically led upgrade
 - Lots of important checks
 - RAC aware inclusion of all nodes
 - for RAC (almost) a must !!!
 - Offline Backup and Restore possible
 - ASM upgrade (until 11.1)
 - Oracle XE upgrade
 - Patch upgrades (as of 10.2.0.3)
 - Logs:
 - \$ORACLE_HOME/cfgtoollogs/dbua
 - Documentation:
 - Oracle® Database Upgrade Guide

11.1: http://download.oracle.com/docs/cd/B28359_01/server.111/b28300/toc.htm 11.2: http://download.oracle.com/docs/cd/E11882_01/server.112/e10819/toc.htm

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- Silent mode:
 - \$ dbua -help shows all valid options

-dbsnmpPassword manager

-sysmanPassword manager

See doc:

```
11.2: http://download.oracle.com/docs/cd/E11882_01/server.112/e10819/upgrade.htm#UPGRD12405
```

• Example:

```
dbua -silent -sid dwh
-oracleHome /opt/oracle/product/RDBMS10g
-diagnosticDest /opt/oracle/diag
-sysDBAUserName sys
-sysDBAPassword manager
-recompile_invalid_objects true
-degree_of_parallelism 4
-emConfiguration LOCAL
```

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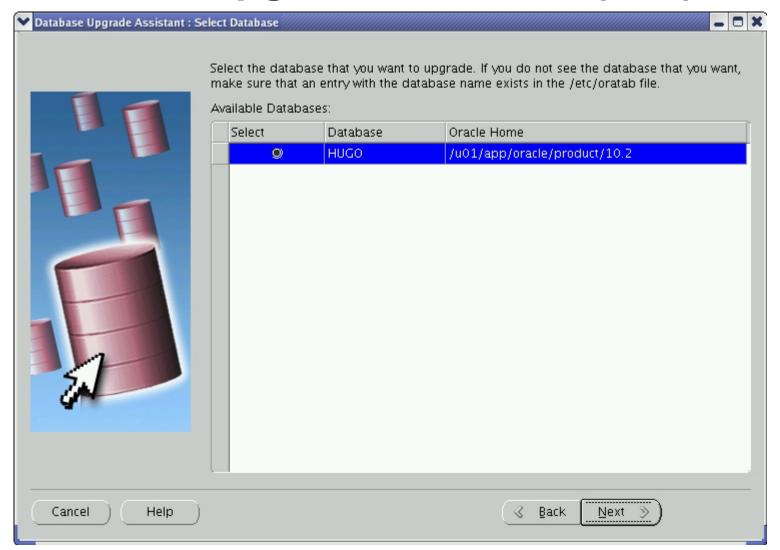


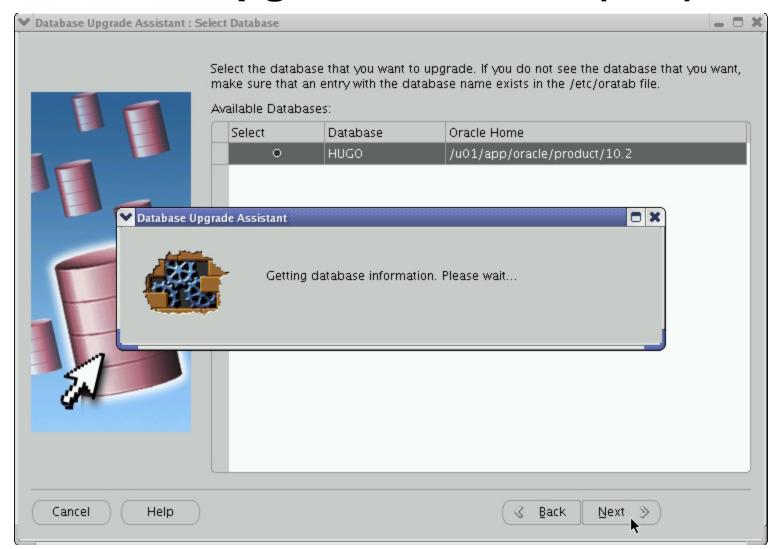
- Best Practice: Before you start DBUA
 - Run \$OH_11g/rdbms/admin/utlu112i.sql in your current environment
 - Check especially the components status in DBA_REGISTRY
 - To remove (or reinstall) components manually: Note:472937.1 Information On Installed Database Components Note:753041.1 How to diagnose Components with NON VALID status

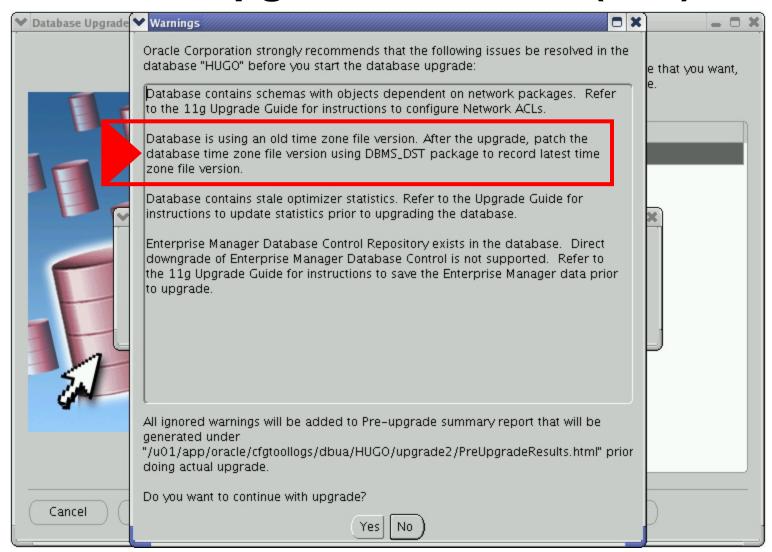
```
Components: [The following database components will be upgraded or installed]
                                             VALID
--> Oracle Catalog Views
                                  [upgrade]
--> Oracle Packages and Types
                                  [upgrade]
                                             VALID
--> JServer JAVA Virtual Machine [upgrade]
                                             VALID
--> Oracle XDK for Java
                                  [upgrade]
                                             VALID
--> Oracle XML Database
                                             VALID
                                  [upgrade]
--> Oracle Java Packages
                                  [upgrade]
                                             VALID
```

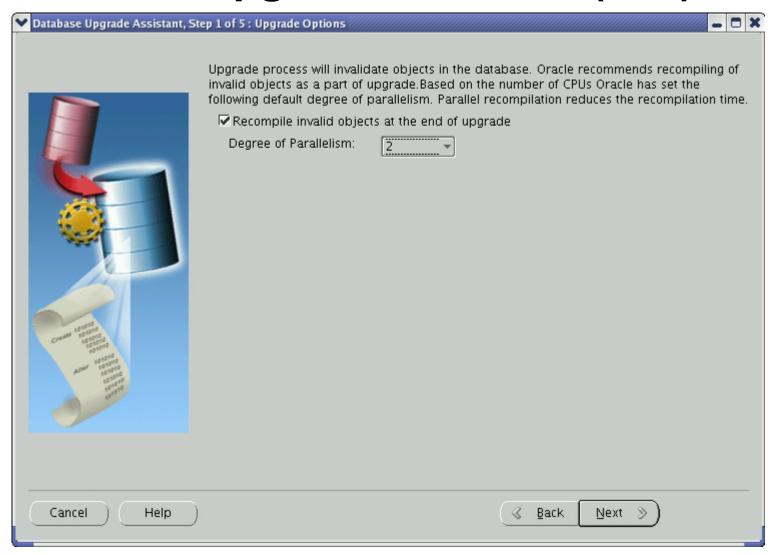
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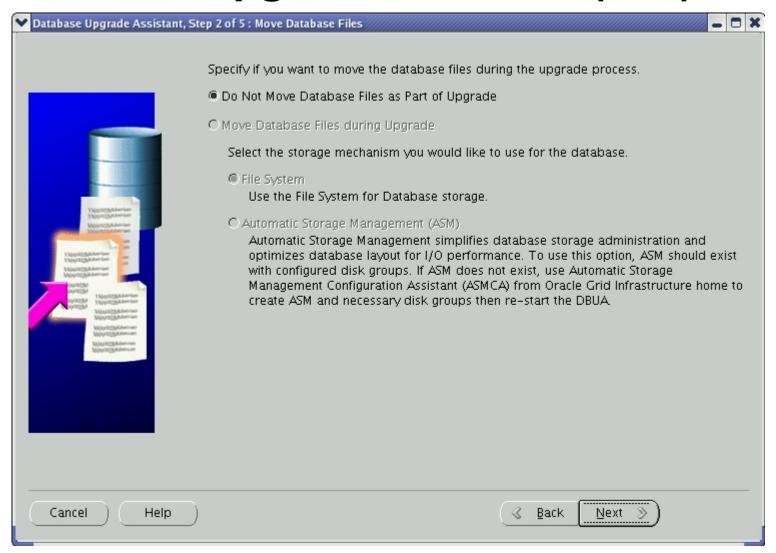


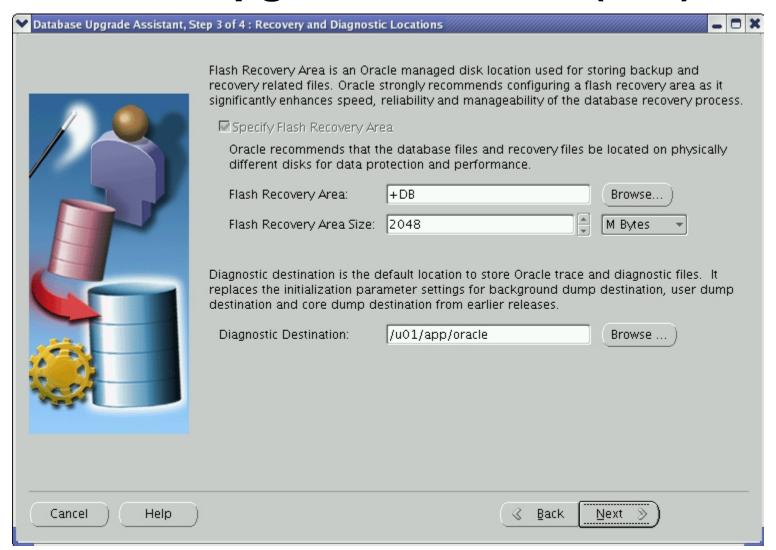


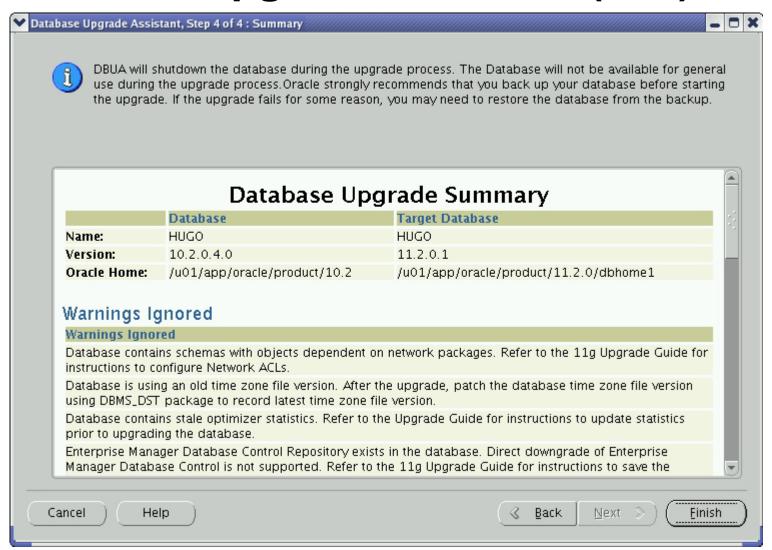


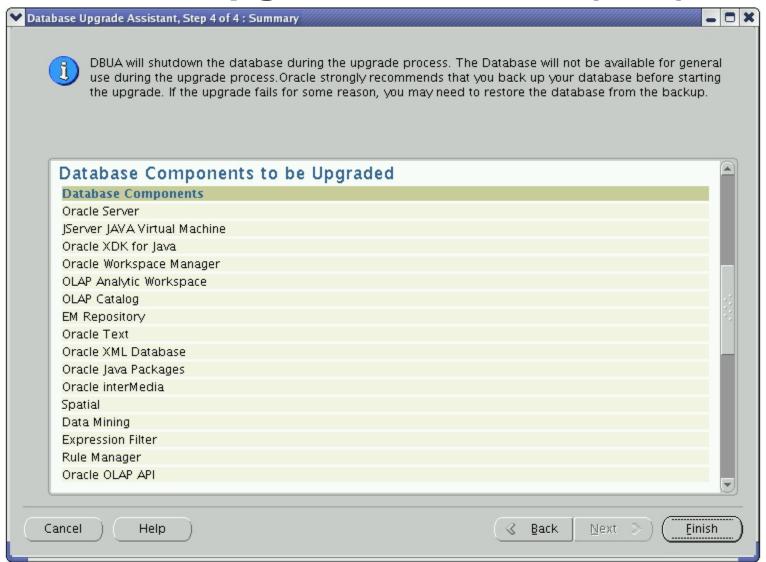


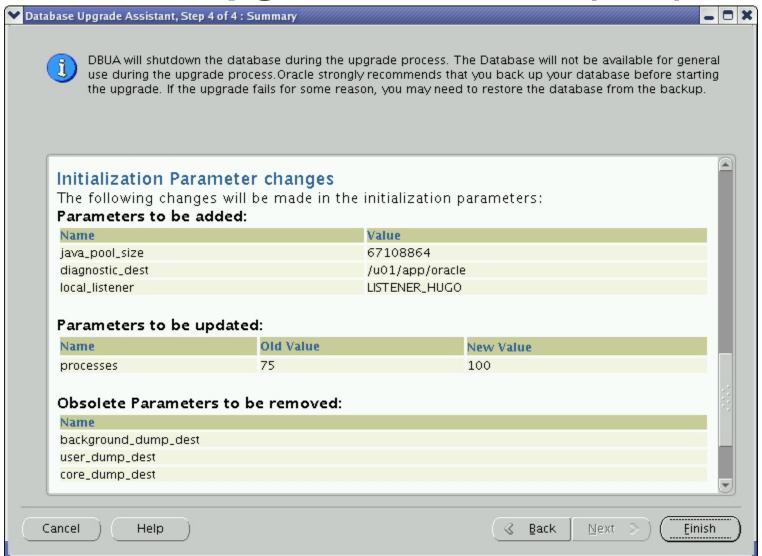




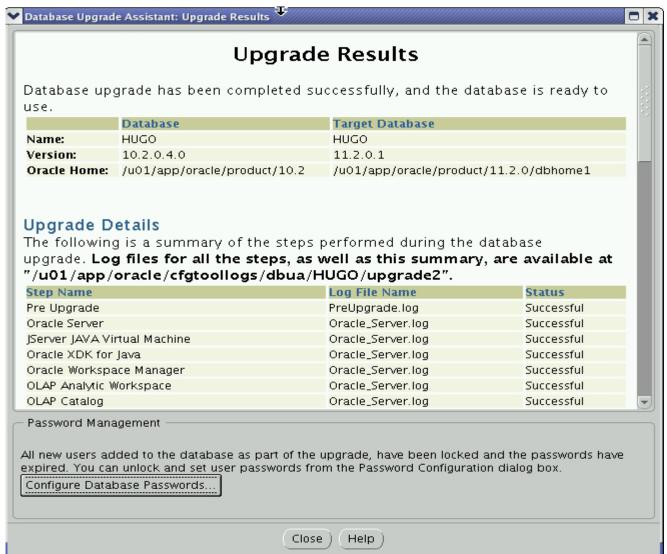


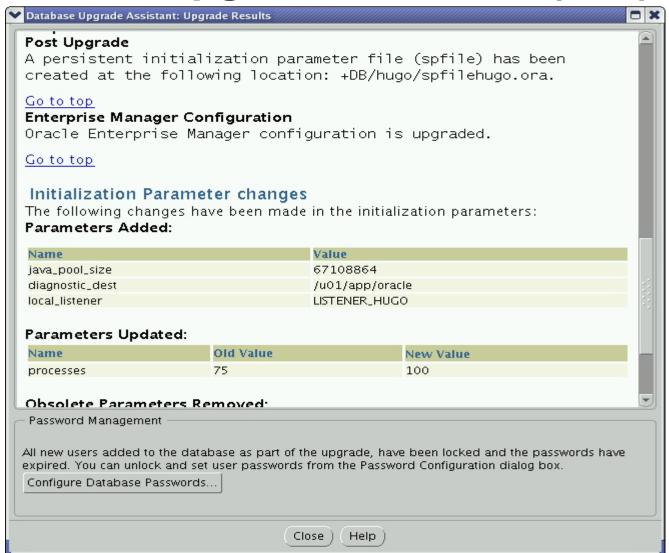






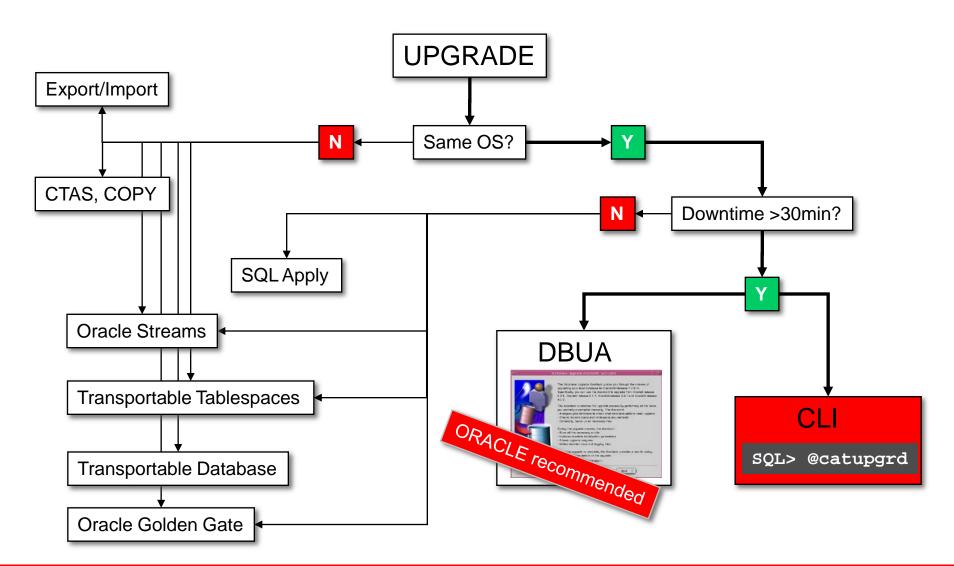




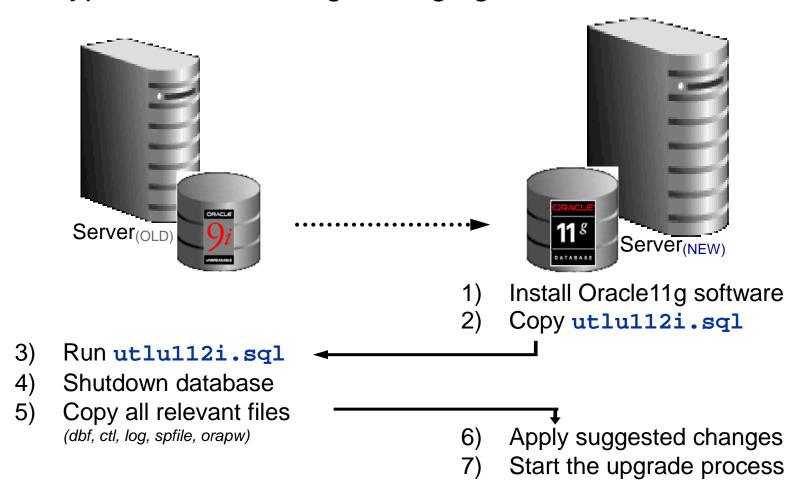


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Upgrade Alternatives



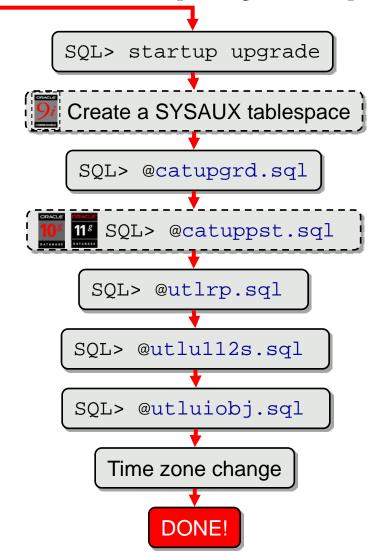
Typical scenario: e.g. changing to a new server



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Command Line Upgrade – Step-by-Step

Install and patch the new Oracle home Take a complete online backup of the current database Download and run utlu112i.sql and follow its recommendations Copy password file and spfile to the new \$OH/dbs Switch to the new 11.2 env. Start a new 11.2 listener



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Info

- Upgrade information script: utlu112i.sql
 - Run in the environment of the source database
 - Checks all init parameters and displays warnings for obsolete and deprecated parameters
 - Checks
 - Components
 - Tablespace SYSAUX
 - National Characterset
 - Timezone file version check
 - Cluster check

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- Get the current version of utlumi.sql
 - Download it now!
 - Note:884522.1

Coming From Version	Upgrade Target Version
9.2.0 (9.2.0.8 and beyond), 10.1.0, 10.2.0, 11.1.0	11gR2 - utlu112i.sql
9.2.0 (9.2.0.4 and beyond), 10.1.0,10.2.0	11gR1- utlu111i.sql
8.1.7, 9.0.1, 9.2.0 (9.2.0.4 and beyond), 10.1.0	10gR2 - utlu102i.sql

utlu112<u>i</u>.sql: DB info

```
09-21-2009 22:33:20
Dracle Database 11.2 Pre-Upgrade Information Tool
Database:
                    ORCL
--> name:
--> version:
                    10.2.0.3.0
--> compatible:
                   10.2.0.3.0
--> blocksize:
                    8192
--> platform:
                   Linux IA (32-bit)
--> timezone file: V4
Miscellaneous Warnings
WARNING: --> Database is using a timezone file older than version 11.
 ... After the release migration, it is recommended that DBMS_DST package
     be used to upgrade the 10.2.0.3.0 database timezone version
     to the latest version which comes with the new release.
```

- Timezone conversion should be done after the upgrade has completed
 - Recommended
 - Necessary if datatype TIMESTAMP WITH TIMEZONE is used

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utlu112i.sq1: Tablespaces adequate size?

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• utlu112<u>i</u>.sql: Init parameter changes?

```
Update Parameters: [Update Oracle Database 11.2 init.ora or spfile]
******************
WARNING: --> "java pool size" needs to be increased to at least 64 MB
Renamed Parameters: [Update Oracle Database 11.2 init.ora or spfile]
      -- No renamed parameters found. No changes are required.
Obsolete/Deprecated Parameters: [Update Oracle Database 11.2 init.ora or spfile]
*******************************
--> background dump dest
                          11.1
                                   DEPRECATED.
                                              replaced by
"diagnostic dest"
--> user dump dest
                          11.1
                                   DEPRECATED
                                              replaced by
"diagnostic dest"
--> core dump dest
                          11 1
                                   DEPRECATED
                                              replaced by
"diagnostic dest"
```

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• utlu112i.sq1: Components and options?

```
Components: [The following database components will be upgraded or installed]
--> Oracle Catalog Views
                                      VALID
                             [upgrade]
--> Oracle Packages and Types
                            [upgrade]
                                     VALID
--> JServer JAVA Virtual Machine
                                     VALID
                            [upgrade]
--> Oracle XDK for Java
                             [upgrade]
                                      VALID
--> Oracle XML Database
                            [upgrade]
                                     VALID
--> Oracle Java Packages
                            [upgrade]
                                     VALID
```

Annotation:

You'll have to install all options installed for the release you are upgrading from – otherwise some components can't be upgraded

To remove (or reinstall) components manually:

```
Note:472937.1 Information On Installed Database Components and Schemas Note:300056.1 Debug and Validate Invalid Objects
Note:753041.1 How to diagnose Components with NON VALID status
Note:733667.1 How to Determine if XDB is Being Used in the Database?
```

- Create Dictionary statistics
- Shutdown the database (IMMEDIATE/NORMAL)
- Adjust init parameters:
 - COMPATIBLE ≥ 10.1.0
 - SGA_TARGET ≥ 524MB (32-bit) ... ≥ 748MB (64-bit)
 - PGA_AGGREGATE_TARGET ≥ 25MB
 - LOG_ARCHIVE_FORMAT must contain %s, %t and %r
- Move init.ora/SPFILE and PWDsid.ora to their new location
- Create a new 11g-Listener (use the NETCA)
- Change environment to point to the new \$ORACLE_HOME

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```
ALTER SYSTEM SET _system_trig_enabled=FALSE SCOPE=MEMORY;
Autotune of undo retention is turned off.
ALTER SYSTEM SET _undo_autotune=FALSE SCOPE=MEMORY;
ALTER SYSTEM SET undo_retention=900 SCOPE=MEMORY;
ALTER SYSTEM SET aq_tm_processes=0 SCOPE=MEMORY;
ALTER SYSTEM SET enable_ddl_logging=FALSE SCOPE=MEMORY;
Resource Manager disabled during database migration: plan '' not set
ALTER SYSTEM SET resource_manager_plan='' SCOPE=MEMORY;
Resource Manager disabled during database migration
```

Please note: This is an excerpt from the alert.log - these parameters will be set implicitely during a STARTUP UPGRADE

Supresses unnecessary error messages like
 ORA-00942: table or view does not exist thus logfiles will be easier to read and check

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• Create tablespace SYSAUX (only if source is a 9i db):

```
SQL> CREATE TABLESPACE sysaux

DATAFILE 'file' SIZE 500M

EXTENT MANAGEMENT LOCAL

SEGMENT SPACE MANAGEMENT AUTO

ONLINE;
```

One upgrade script for all releases and all components:

```
SQL> @catupgrd.sql
```

Useful:

```
SQL> SPOOL c:\temp\upgrade.log
```

Database will be shutdown when script has been completed

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Best Practice

- Post upgrade script: catuppst.sql
 - Only necessary when upgrading from ≥10.1
 - Located in ?/rdbms/admin
 - Runs when database is started up in normal mode
 - Will update the following information:
 - Upgrade Automatic Workload repository (AWR) baseline information
 - Upgrade ADDM task metadata
 - Update Oracle Label security (OLS) policies

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Generate fixed object stats:

```
SQL> execute
   dbms_stats.gather_fixed_objects_stats;
```

Purpose at this stage is to make the next step more efficient

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- Recompilation:
 - utlrp.sql
 - Calls utlprp.sql and dertermines the parallel degree for recompilation based on CPU cores
 - Recompiles <u>all</u> INVALID objects
 - Utilizes package utl_recomp
 - Re-enables functional indexes automatically
 - utlprp.sql can be called directly like:
 - SQL> @utlprp 7
 - This can be useful to minimize CPU usage

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Command Line Upgrade

Progress during recompilation :

```
1. Query returning the number of invalid objects remaining.
  This number should decrease with time.
    SELECT COUNT(*) FROM obj$ WHERE status IN (4, 5, 6);
2. Query returning the number of objects compiled so far.
  This number should increase with time.
    SELECT COUNT(*) FROM UTL RECOMP COMPILED;
3. Query showing jobs created by UTL_RECOMP.
     SELECT job_name FROM dba_scheduler_jobs
      WHERE job name like 'UTL RECOMP SLAVE %';
4. Query showing UTL_RECOMP jobs that are running.
     SELECT job name FROM dba_scheduler_running_jobs
      WHERE job name like 'UTL RECOMP SLAVE %';
```

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Command Line Upgrade

- Post upgrade script: utlu112s.sql
 - Run against new database in 11g environment
 - Checks the upgrade results according to DBA_REGISTRY
 - Displays duration of the upgrade per component and in total

Status

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Command Line Upgrade

Post upgrade script: utlu112g.sql

```
SQL> @?/rdbms/admin/utlu112s.sql
Oracle Database 11.2 Post-Upgrade Status Tool
                                                       10-07-2009 11:48:30
Component
                                                         Version
                                                                  HH:MM:SS
                                          Status
                                                                  00:24:32
Oracle Server
                                                      11.2.0.1.0
                                           VALID
JServer JAVA Virtual Machine
                                                      11.2.0.1.0
                                                                  00:06:10
                                           VALID
Oracle Workspace Manager
                                                      11.2.0.1.0
                                                                  00:01:11
                                           VALID
OLAP Analytic Workspace
                                           VALID
                                                      11.2.0.1.0
                                                                  00:00:44
OLAP Catalog
                                                      11.2.0.1.0
                                                                  00:01:33
                                           VALID
                                                                  00:00:58
Oracle OLAP APT
                                                      11.2.0.1.0
                                           VALID
                                                                  00:15:19
Oracle Enterprise Manager
                                                      11.2.0.1.0
                                           VALID
                                                                   00:06:11
Oracle XDK
                                                      11.2.0.1.0
                                           VALID
Oracle Text
                                                                  00:01:18
                                           VALID
                                                      11.2.0.1.0
Oracle XML Database
                                                      11.2.0.1.0
                                                                  00:07:43
                                           VALID
Oracle Database Java Packages
                                                      11.2.0.1.0
                                                                  00:00:42
                                           VALID
                                                      11.2.0.1.0
                                                                  00:09:57
Oracle Multimedia
                                           VALID
Spatial
                                                      11.2.0.1.0
                                                                  00:10:34
                                           VALID
Oracle Expression Filter
                                                      11.2.0.1.0
                                                                   00:00:23
                                           VALID
Oracle Rules Manager
                                                      11.2.0.1.0
                                                                  00:00:20
                                           VALID
Gathering Statistics
                                                                   00:11:31
Total Upgrade Time: 01:39:16
```

Agenda

Regular Upgrade Methods



Upgrade Alternatives

Summary



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Post Upgrade Task - SPFILE

- Always create an editable init.ora from the current SPFILE after the upgrade has been finished
- SPFILE is:
 - It's a binary file!!!
 - Default since Oracle 9.0
 - It simply exists after using DBUA or DBCA
 - Parameter can be changed by:

```
SQL> alter system set PARAMETER=VALUE scope=both;
```

or:

```
SQL> create pfile from spfile;

Now edit init.ora with an editor:

SQL> startup force pfile=initDB.ora

SQL> create spfile from pfile;
```

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Post Upgrade Task – Timezone

Only in 11g Release 2

Adjust timezone data in the database to DST V11 or higher:

```
startup upgrade
exec dbms dst.begin upgrade(new version => 11);
shutdown immediate;
startup;
set serveroutput on;
declare
num of failures number;
begin
 dbms dst.upgrade database(num of failures);
 dbms output.put line(num of failures);
 dbms dst.end upgrade(num of failures);
 dbms output.put line(num of failures);
end;
```

For more information see the Globalization Doc:

http://download.oracle.com/docs/cd/E11882 01/server.112/e10729/ch4datetime.htm#NLSPG261

Post Upgrade Task - Workload Statistics

 Gather system statistics during a regular workload period otherwise non-appropriate values for the CBO will be used:

```
SOL> EXECUTE dbms stats.gather system stats('start');
  << Run it for several hours – does not generate overhead!!! >>
SQL> EXECUTE dbms stats.gather system stats('stop');
SQL> select pname NAME, pval1 VALUE, pval2 INFO
      from aux stats$;
NAME
                        VALUE INFO
STATUS
                              COMPLETED
                              04-03-2010 12:30
DSTART
DSTOP
                              05-03-2010 12:30
FLAGS
CPUSPEEDNW
                      2498,65
                       11,405
IOSEEKTIM
                    25595,605
IOTFRSPEED
```

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Post Upgrade Task – Fixed Table Statistics

 Create fixed table statistics directly after catuppst.sql has completed:

```
SQL> execute dbms_stats.gather_fixed_objects_stats;
```

- Create fixed table statistics again after a week with regular production workload
- Thereafter, this task should be needed only a few times per year

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Agenda

Regular Upgrade Methods

Post Upgrade Tasks

Upgrade Alternatives

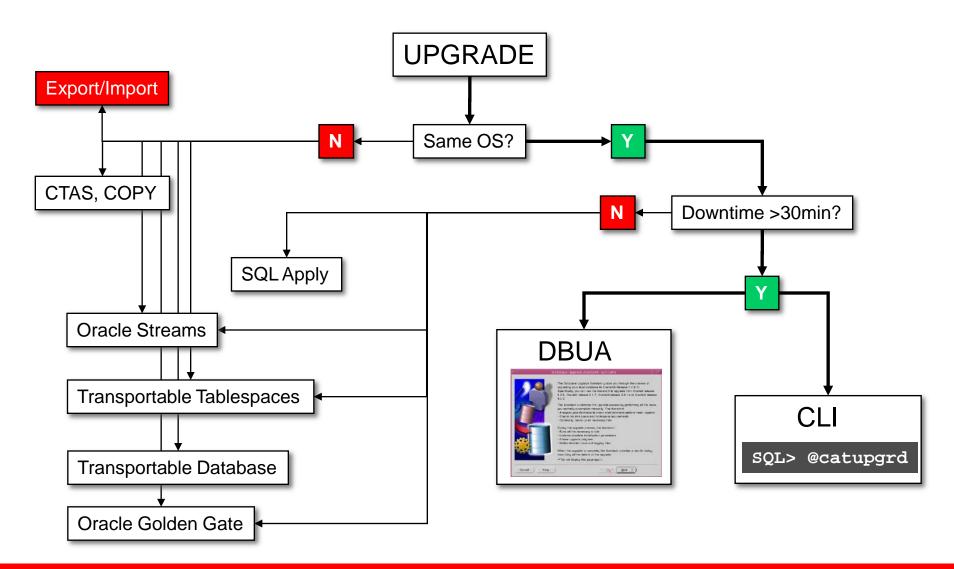
Summary



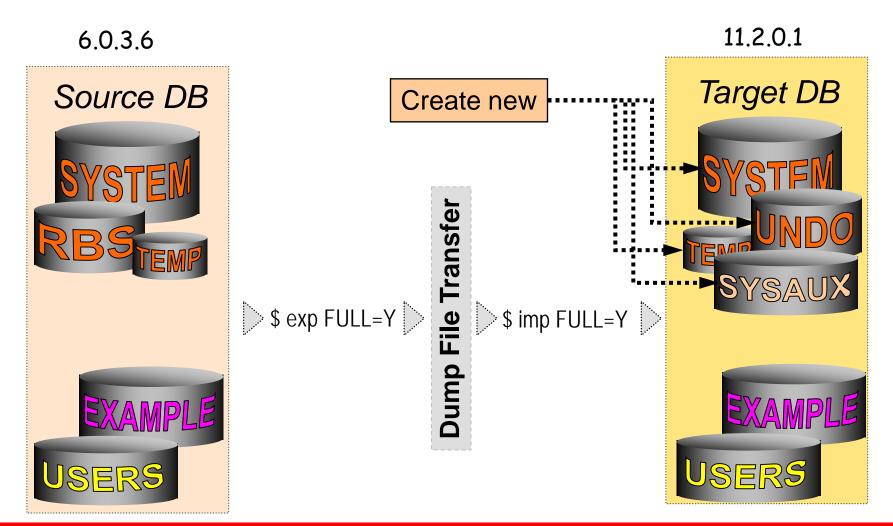
Upgrade Alternatives

- Platform Migration methods
- Minimal downtime methods
 - What does "minimal downtime" really mean?
 - 12 hours?
 - 60 minutes?
 - 5 minutes?
 - Less?
 - No downtime at all?

Upgrade Alternatives



Export - Import



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Export - Import

- All purpose
- Import of all versions ≥ Oracle V5 possible
- "exp" is not supported in 11g anymore
 - But the utility is still there and can be used
 - "imp" is still supported for importing older dumpfiles
- Not really fast but well known and reliable
 - Relation between amount of data and runtime
- Necessary and helpful:
 - Changing the database charactersets
 - Use Scanner Utility CSscan before altering the DB Character set Note: 123670.1
 - Changing the OS platform
 - Schema consolidation
 - Non-direct-upgrade supported releases such as 8.0.3

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Export - Import

- Hints and tricks
 - Transfer dump files always in BINARY mode
 - Do full database export always as user **SYSTEM**
 - GRANTs on SYS's objects have to be exported separately
 - Import takes approximately 3x times as long as Export
 - Export always with the lowest involved database version
 - Import always with imp of target database
 - See also: Note:286775.1
 - Export performance
 - DIRECT=Y ... bypasses SQL-Layer, but no conversions!
 - Parallelize export by dividing into logical independent chunks of data
 - Import performance
 - Increase BUFFER
 - INDEXES=N ... build indexes later in parallel ... INDEXFILE=...
 - Parameter COMMIT_WRITE=NOWAIT (10g) or COMMIT_WAIT=NOWAIT (11g) during import

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Data Pump

- The "new" exp/imp since Oracle Database 10g
 - Faster than exp/imp
 - Powerful concept and more capabilities than exp/imp
 - EXCLUDE
 - COMPRESS=ALL
 - SQL with WHERE clause
 - Compatibility and version changes: Note:553337.1

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Data Pump with NETWORK_LINK

10.2.0.4 Source DB Create new **Database Link** << TRANSFER >> YSAUX \$ impdp FULL=Y \$ impdp USER=abc \$ impdp ... EXAMPLE

11.2.0.1 Target DB EXAMPLE USERS

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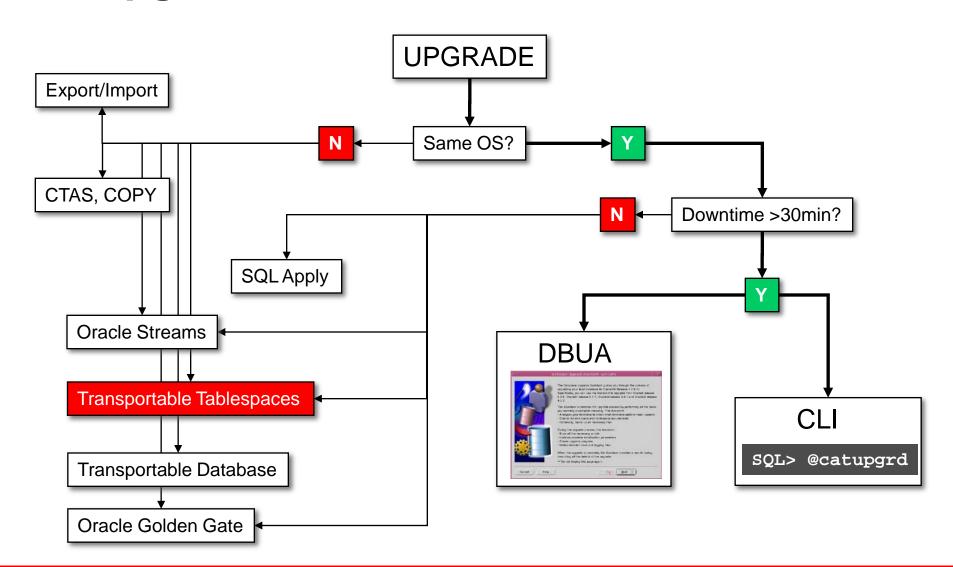
Data Pump

- Data Pump cross database link
 - Parameter: NETWORK_LINK
 - Run impdp on the target system
 - No expdp necessary
 - Does not work with LONG/LONG RAW and object types
 - No disk-IO and no dump file transfer will be performed
 - Limitation: network bandwidth
 - Example:

\$ impdp system/pw NETWORK_LINK=mydblink FULL=Y

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Upgrade Alternatives



Concept:

- Create an "empty" database in the new environment
- Plug in all data tablespaces from source to target database
 - SYSTEM+SYSAUX tablespaces can't be transported
 - Additional steps necessary to move views, synonyms etc.
- "Possibly" very fast upgrade
- Complexity could be constraining
- Works cross-platform and cross-Endianness since Oracle Database 10g

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FILE

TTS x-platform (v\$transportable_platform):

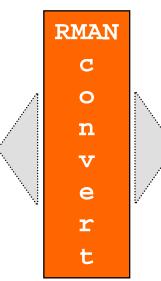
Little Endian

HP Open VMS HP Tru64 UNIX

Linux IA (32-bit) Linux IA (64-bit) Linux 64-bit for AMD

Microsoft Windows IA (64-bit) Microsoft Windows 64-bit for AMD Microsoft Windows IA (32-bit)

Solaris Operating System (x86)



Big Endian



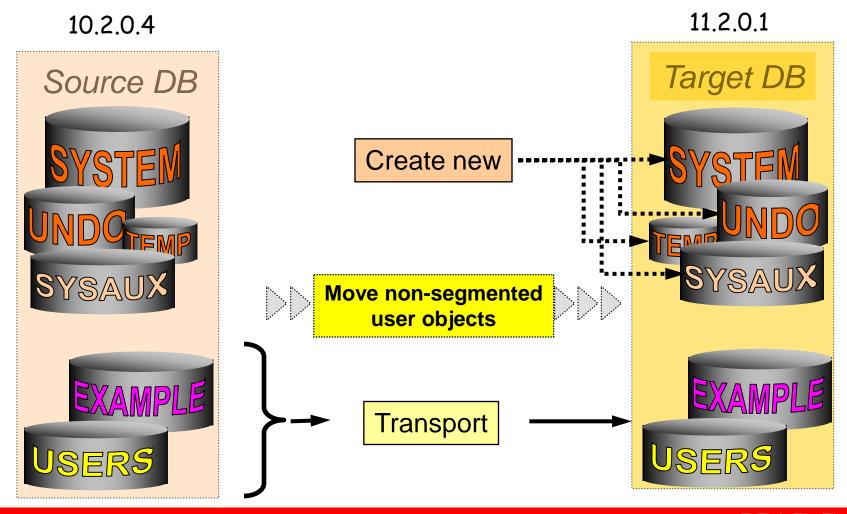
Apple Mac OS

HP-UX (64-bit) HP-UX IA (64-bit)

AIX-Based Systems (64-bit)
IBM zSeries Based Linux
IBM Power Based Linux

Solaris[tm] OE (32-bit) Solaris[tm] OE (64-bit)

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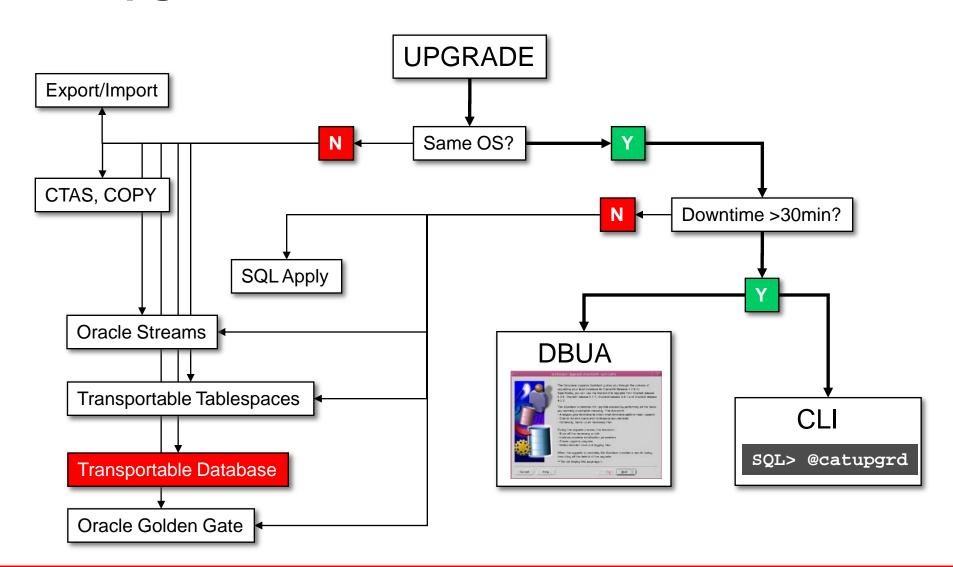
Upgrade Alternatives

- Transportable Tablespaces 3 ways
 - The "brutal" way
 - Full exp/imp with ROWS=N
 - The "smart" way
 - Generate scripts
 - String concatenation with || ...
 - DBMS_METADATA
 - The "very smart" way
 - RMAN clone with SKIP TABLESPACES option
 - BUT: Take care especially of sequences!!

Tips & Tricks

- Talk as early as possible to the application development if TTS will be your upgrade strategy
 - Less complex design is the requirement for fast TTS
- Use a Physical Standby as transport system
 - Fallback possibility to the old system
- If you don't move datafiles:
 - Tablespaces can be mounted from both databases simultaneously as long as they are READ ONLY
 - As soon as a tablespace will be set READ WRITE on one database it'll be "lost" for the other one

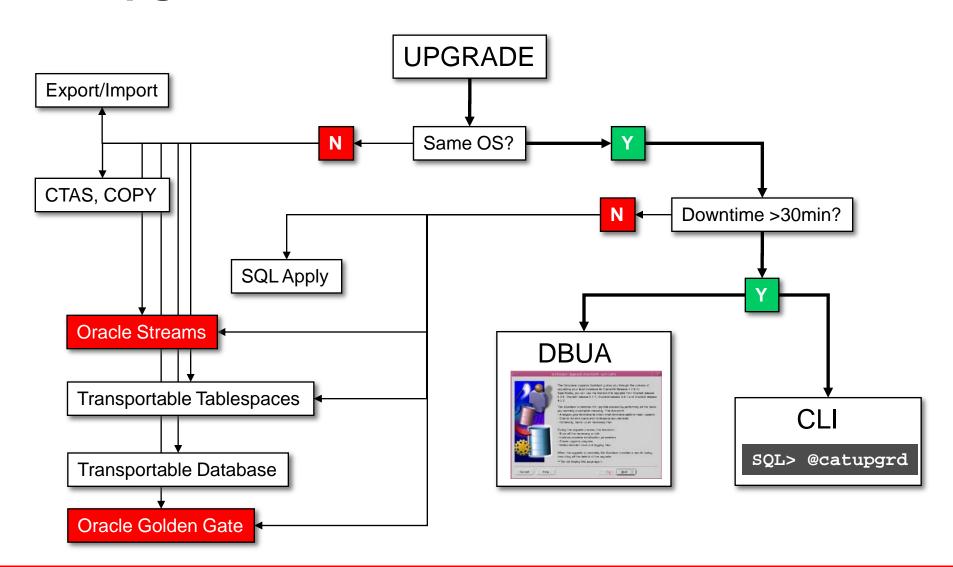
Upgrade Alternatives



Transportable Database

- Feature since Oracle Database 10g Release 2
 - Cross-platform
 - Unfortunately <u>not</u> cross-Endianness!!!
 - With RMAN in an automated way
 - Database must be switched to READ ONLY mode
 - Datafiles must be converted with RMAN into target format
 - RMAN CONVERT DATABASE command
 - Either on the source or the target system in most cases completes faster on the target system
 - Not a real minimal downtime concept
 - But very comfortable for migrations within one Endianness group

Upgrade Alternatives



Oracle Streams

- Concept
 - Build up a copy of your database and upgrade it
 - Synchronize it with the source database
 - Downtime:
 - Just reconnecting the clients
 - Cross platform
 - Cross version since Oracle 9iR2
 - Some effort necessary to set it up
 - Fallback possible
 - Logminer
 - Datatype restrictions
 - Performance
 - How to:

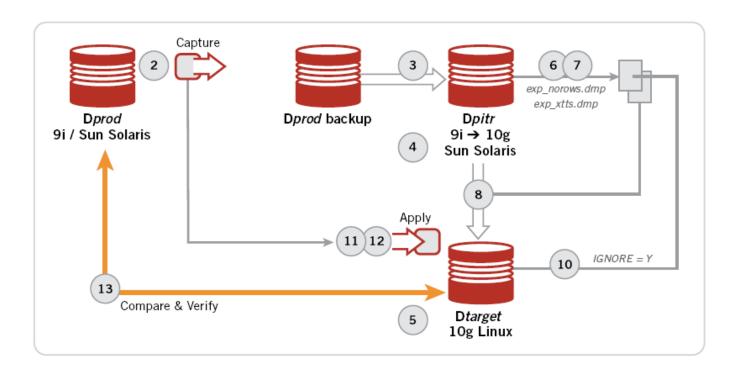
Oracle® Streams Concepts and Administration: Appendix D

http://download.oracle.com/docs/cd/E11882_01/server.112/e10704/ap_strmnt.htm#CIHJBIAA

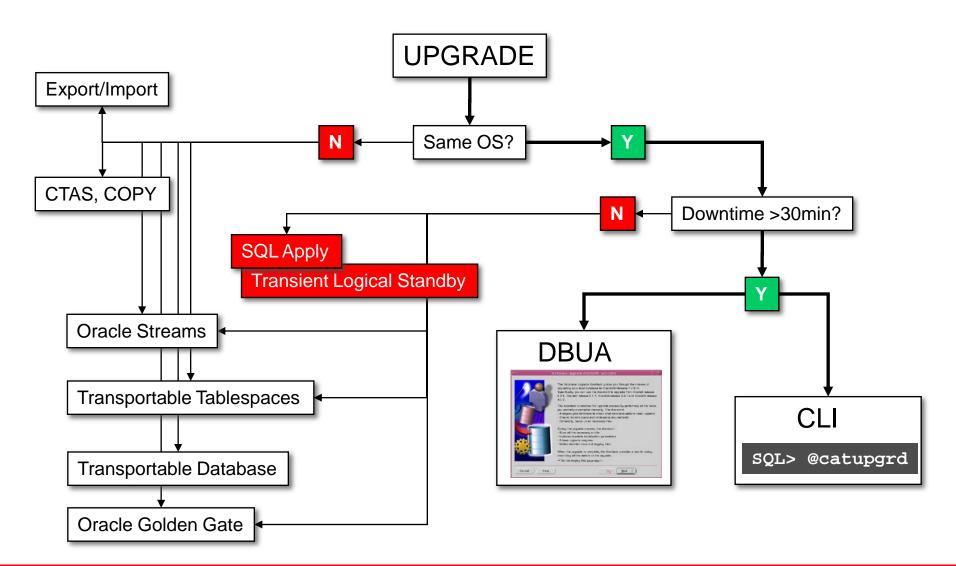
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Oracle GoldenGate

- Concept
 - Create a copy of your database with Transportable Tablespaces
 - GoldenGate CDG mechanism for synchronization
 - http://www.goldengate.com/



Upgrade Alternatives

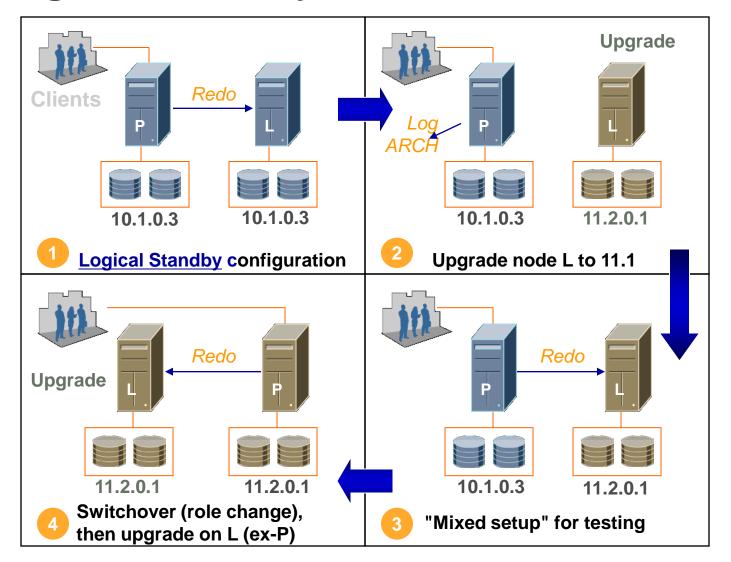


Logical Standby with Oracle Data Guard

Concept:

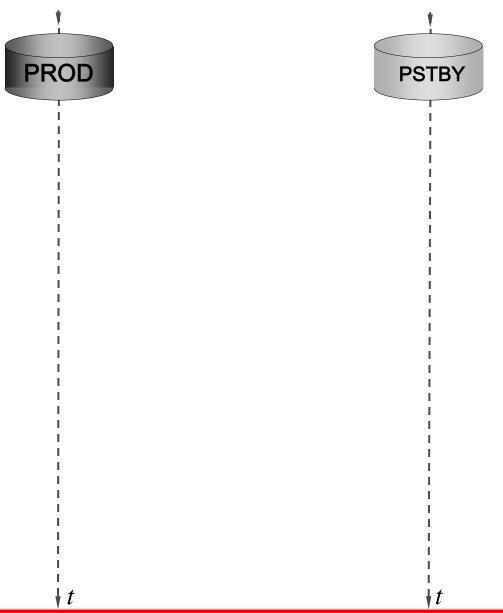
- Build up a Physical Standby database
- Convert the Physical Standby into a Logical Standby
- Upgrade the Logical Standby database
- Switchover Standby will be production system now
- Then: Upgrade of the former production database
- Eventually: Switchover to the original roles
 - Downtime less 2 minutes
 - BUT:
 - No OS change possible
 - Logminer has known restrictions

Logical Standby with Oracle Data Guard

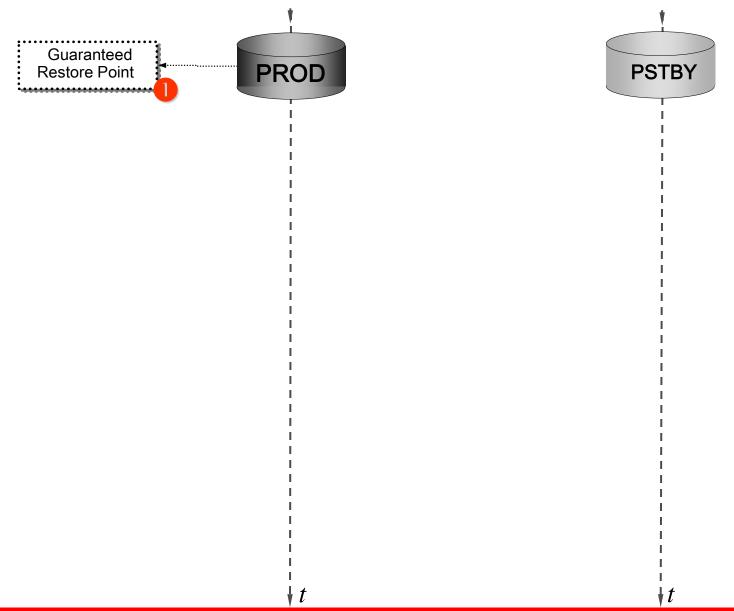


Transient Logical Standby

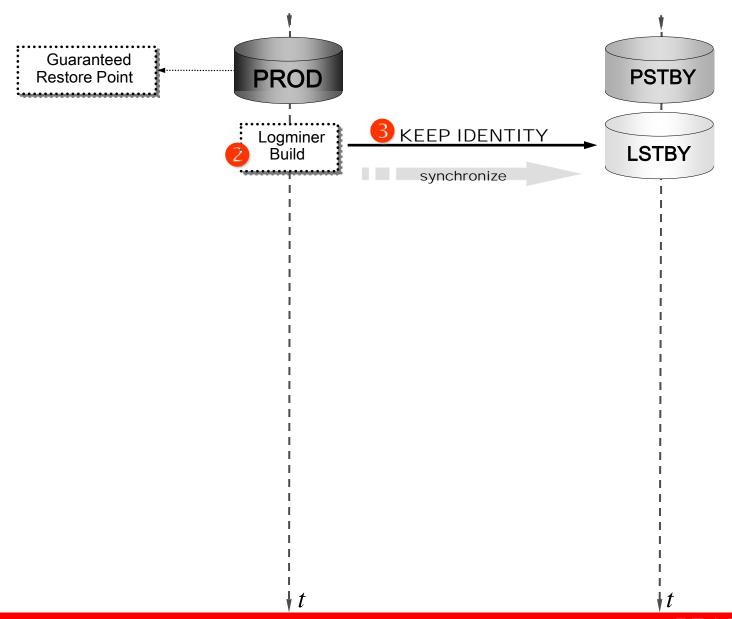
- Concept:
 - Build up a Physical Standby database
 - Convert the Physical Standby into a Logical Standby
 - Upgrade the Logical Standby database
 - Switchover Standby will be production system now
 - Then: Flashback the former production database
 - Convert it into a Physical Standby
 - Upgrade just by log apply
 - Eventually: Switchover to the original setup
 - Works pretty straight forward with Oracle Database 11g
 - Find shell scripts in Note:949322.1



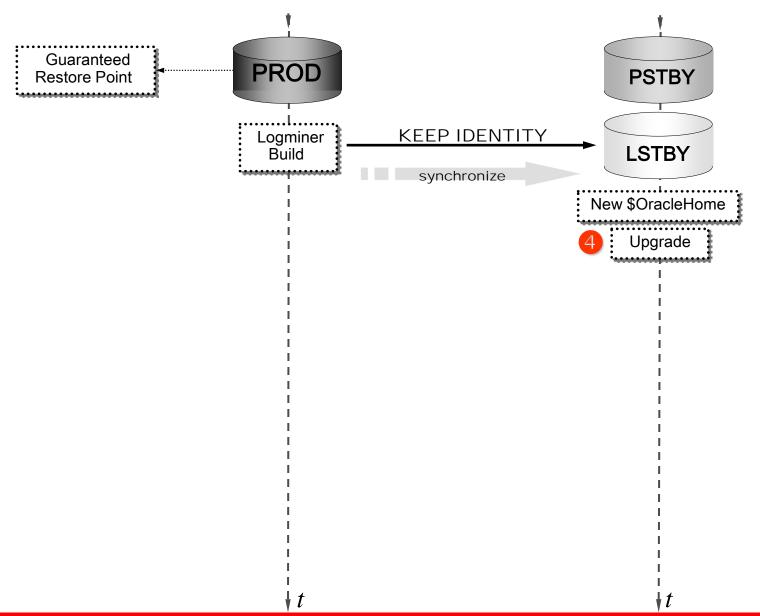
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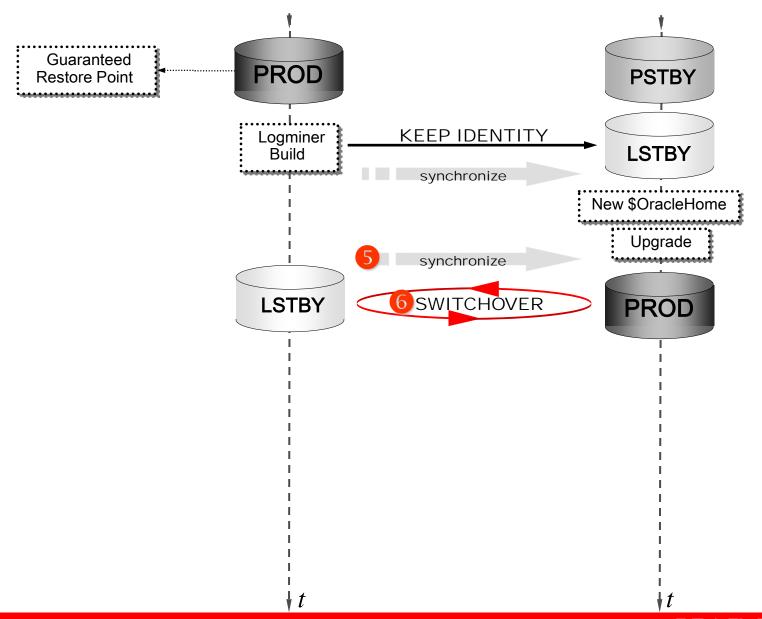
ORACLE



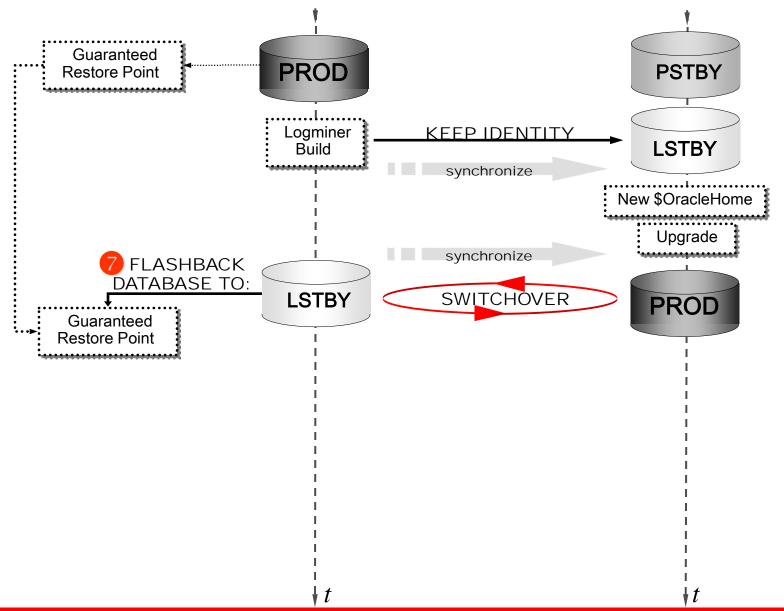
ORACLE!



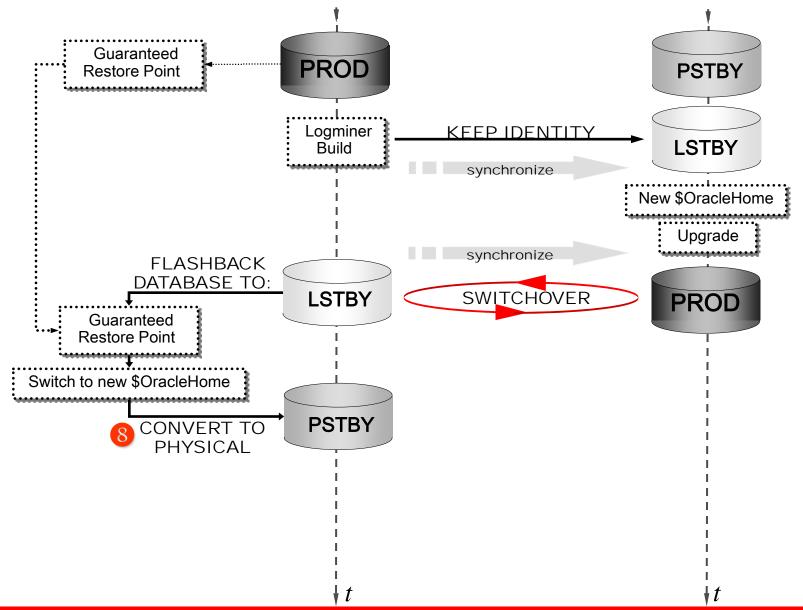
ORACLE!

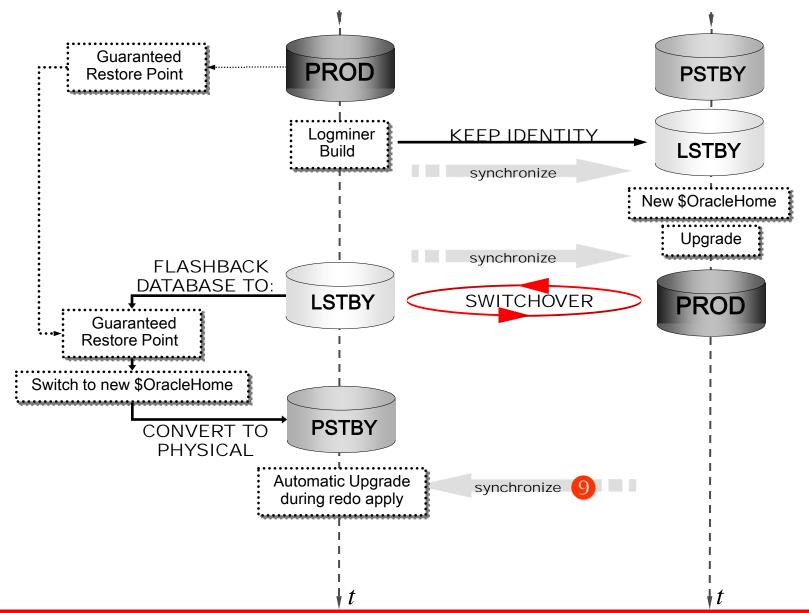


ORACLE!

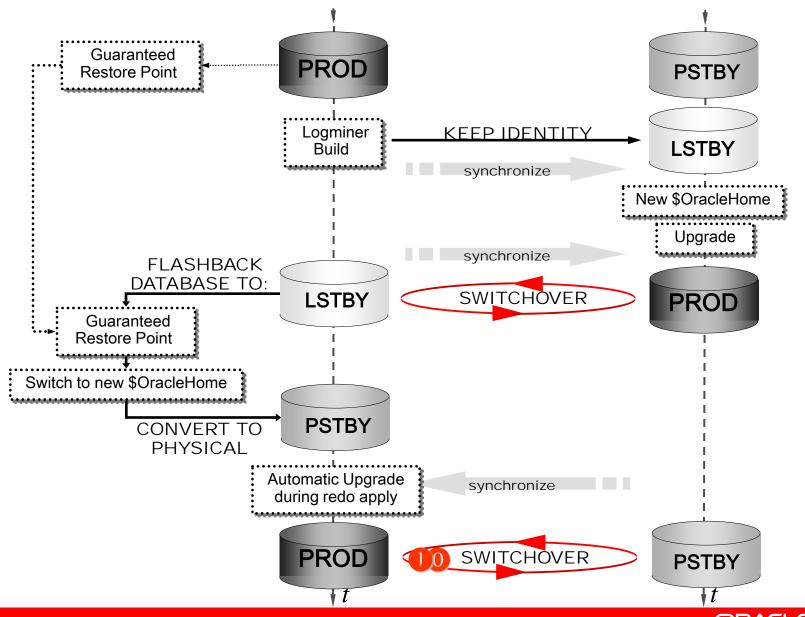


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Agenda

Regular Upgrade Methods

Post Upgrade Tasks

Upgrade Alternatives

Summary



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When to Choose the DBUA



- Can afford 30 90 minutes average downtime
- Operating system remains the same
- GUI is preferred over manual command line interface
 - Automatically performs useful pre-upgrade checks
 - Less error-prone / less manual effort
- Existing database is at least 9.2.0.8
- Note: especially useful for RAC databases
- Consideration:
 - Source and target Oracle Homes must be on the same system
 - Cannot be re-run if an error is encountered mid-upgrade

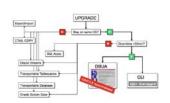
When to Choose Command-Line

SQL> spool upgrade.log
SQL> @catupgrd.sql

- Can afford 30-90 minutes average downtime
- Manual command-line interface is preferred over GUI
- Existing database is at least 9.2.0.8
- Migrating to a new hardware platform with same OS
- Consideration
 - Cannot migrate to a different operating system architecture
 - More manual steps required
 - Potential for errors due to typos, missed details

When to Choose an Alternative Method

- Alternative methods include
 - Original exp/imp or Data Pump expdp/impdp)
 - Oracle Streams or Oracle Golden Gate
 - Data Guard (SQL Apply)
 - Transportable Tablespaces, Transportable Database
 - Moving data via CREATE TABLE AS SELECT or other techniques
- Alternative methods must be used when
 - Moving to a different operating system platform (32- and 64-bit versions of an OS are considered "the same platform" in this case
 - Upgrading from a release older than 9.2.0.8
- Alternative methods may be a good option when
 - Minimal downtime (<30 minutes) required or desired
 - Re-organizing database storage or schemas



Upgrade Summary

- Choosing an upgrade method depends on:
 - Database environment
 - Amount of downtime that is acceptable
 - DBA's knowledge and tolerance for complexity
- If possible, using the DBUA is the recommended method for simplicity and ease-of-use
- Always create an online backup with RMAN
- Please remember:
 Upgrade has never been easier but you still have to test!!!
- 11g R2 is a stable database release so go for it!

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